# Population Genomics of Benzoxazinoid Resistance in Entomopathogenic Nematodes

Inaugural dissertation of the Faculty of Science, University of Bern

presented by

Vera Ogi

Supervisors of the doctoral thesis:

Prof. Dr. Matthias Erb, Institute of Plant Sciences, University of Bern Prof. Dr. Christelle AM Robert, Institute of Plant Sciences, University of Bern Prof. Dr. Christian Parisod, Department of Biology, University of Fribourg

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Accepted by the Faculty of Science.

Bern, 19.12.2024

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# 1 Abstract

2 The increasing global population and the need for enhanced food production present significant 3 challenges to modern agriculture. Traditional methods, such as expanding arable land and extensive 4 use of chemical pesticides, are no longer viable due to biodiversity conservation concerns and the 5 negative impacts of chemicals on ecosystems. This has led to the exploration of alternative pest 6 control strategies, including the use of entomopathogenic nematodes (EPNs). These nematode-7 bacterium symbiotic complexes can infect and kill insect pests and have been used in agricultural 8 biocontrol for decades. However, their generalist nature limits their effectiveness against specialist 9 pests such as the western corn rootworm (WCR), Diabrotica virgifera virgifera, which sequesters 10 maize-derived benzoxazinoids (BXs) to defend itself from natural enemies, including EPNs.

11 In this thesis, we used Heterorhabditis bacteriophora as an EPN model to explore the 12 evolutionary, ecological, and genomic factors that influence its ability to overcome the plant 13 defense compounds BXs. The thesis first provides a literature review of EPN adaptations to 14 environmental stressors, and highlights the underlying genetic and biochemical mechanisms. 15 Chapter 1 reports a de-novo chromosome-scale assembly and annotation of the H. bacteriophora 16 genome. It reveals the unique genomic features that distinguish EPNs from other nematodes, and 17 provides insights into their unique adaptations for insect parasitism. Annex I further explores the 18 chromosomal structure of H. bacteriophora, uncovering the presence of holocentric chromosomes 19 despite unusual transposable element distribution. Chapter 2 focusses on the interaction between 20 H. bacteriophora and its bacterial symbiont Photorhabdus, examining the genomic and phylogenetic 21 differences between susceptible and resistant EPN isolates exposed to BXs. Population genomics 22 revealed regions of the EPN genome associated with BX resistance. Chapter 3 presents a large-23 scale experimental evolution study where EPN isolates were exposed to BX-sequestering WCR 24 larvae. The results showed a rapid evolution of BX resistance in previously susceptible isolates, and 25 identified key genetic loci associated with this resistance.

This work advances the understanding of EPNs as biocontrol agents, providing novel insights into their genomic architecture and their potential for overcoming plant chemical defenses. These findings offer valuable avenues for improving the precision and efficacy of EPNs in agricultural pest management.

30General introduction - Review published in Journal of Invertebrate Pathology, 2023, 107953.This work is licensed under a Creative Commons Attribution 4.0 International License:<br/>https://creativecommons.org/licenses/by/4.0/doi: https://doi.org/10.1016/j.jip.2023.107953

# Introduction – Stress tolerance in entomopathogenic nematodes: Engineering superior nematodes for precision agriculture

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43

#### 44 Abstract

45 Entomopathogenic nematodes (EPNs) are soil-dwelling parasitic roundworms commonly used as 46 biocontrol agents of insect pests in agriculture. EPN dauer juveniles locate and infect a host in which 47 they will grow and multiply until resource depletion. During their free-living stage, EPNs face a series of internal and environmental stresses. Their ability to overcome these challenges is crucial to 48 49 determine their infection success and survival. In this review, we provide a comprehensive overview 50 of EPN response to stresses associated with starvation, low/elevated temperatures, desiccation, 51 osmotic stress, hypoxia, and ultra-violet light. We further report EPN defense strategies to cope with 52 biotic stressors such as viruses, bacteria, fungi, and predatory insects. By comparing the genetic 53 and biochemical basis of these strategies to the nematode model Caenorhabditis elegans, we 54 provide new avenues and targets to select and engineer precision nematodes adapted to specific 55 field conditions.

#### 57 Graphical abstract



#### 58

## 59 1. Introduction

Sustainable strategies to increase crop productivity are urgently required to ensure food safety and
food security worldwide (Vågsholm et al., 2020). Biological control is a promising and
environmentally friendly avenue to increase food production by decreasing herbivore-associated
crop losses.

64 Animal pests are responsible for 8-16% crop losses annually (Birch et al., 2011; Oerke, 2006). Among 65 these pests, root feeding insects are particularly damaging (Agrawal, 2011; Anbesse et al., 2013; 66 Hunter, 2001; Johnson and Murray, 2008; Kergunteuil et al., 2016). For example, the western corn 67 rootworm, Diabrotica virgifera virgifera Le Conte, causes damage and control costs exceeding two billion USD annually in the USA alone (Gray et al., 2009; Wechsler and Smith, 2018). Wireworms, 68 69 larvae of click beetles (Coleoptera: Elateridae), inflict potato yield losses and control costs of about 70 six million USD (Vernon et al., 2009). The greyback grub, Dermolepida albohirtum, causes about 28 71 million USD losses annually in Australian sugar industry (Chandler, 2002). While soil-dwelling 72 herbivores are out-of-sight, their populations can be controlled by biocontrol agents such as 73 entomopathogenic nematodes (EPNs) (Grewal et al., 2005; Koppenhöfer et al., 2020).

Entomopathogenic nematodes (EPNs), belonging to the Heterorhabditidae and Steinernematidae
families, are obligate pathogenic roundworms that can infect and kill soil-living arthropods (Poinar,
2018; Shapiro-Ilan et al., 2014; Weischer and Brown, 2000). EPNs shelter a community of

77 endosymbiotic bacteria (Machado et al., 2018; Ogier et al., 2020; Pervez et al., 2020; Poinar and 78 Grewal, 2012; Sajnaga and Kazimierczak, 2020). Infective juvenile (IJ) nematodes penetrate their 79 host through natural apertures or by puncturing the cuticle (Bedding and Molyneux, 1982; Castelletto et al., 2014; Ciche and Ensign, 2003; Dowds and Peters, 2002). The EPN cuticle can 80 81 contribute to suppressing and evading host immunity (Brivio et al., 2004; Brivio et al., 2002; Dunphy 82 and Webster, 1987). Additionally, EPNs release proteins that induce tissue damage, toxicity, 83 enzymatic inhibition, and immunosuppression (Burman and Pye, 1980; Chang et al., 2019; Han and 84 Ehlers, 2000; Kim et al., 2017; Lu et al., 2017; Toubarro et al., 2013; Toubarro et al., 2009). Finally, 85 EPNs release their bacterial symbionts by regurgitation or defecation (Ciche et al., 2006; Ciche and Ensign, 2003; Martens et al., 2004; Poinar, 1966). The bacteria produce digestive enzymes, 86 87 immunosuppressors, and toxins, which ultimately kill the host within a few days (Brivio and Mastore, 88 2018; Ciche and Ensign, 2003; Clarke, 2020; Duchaud et al., 2003; Gaugler and Kaya, 1990; Kaya and 89 Gaugler, 1993). EPNs then feed on the bacteria and reproduce in their insect host until resource 90 depletion (Ciche and Ensign, 2003). At this stage, the nematodes produce new IJs that leave the body and seek for a new host (Gaugler and Kaya, 1990; Grewal and Georgis, 1999; Zhang et al., 2021). 91 92 (Figure 1)



93

94 Figure 1. Life cycle of entomopathogenic nematodes (EPNs). The life cycle of the EPNs consists of the infective juvenile 95 (IJ) stage, which is spent between hosts. In this state the EPN does not feed or develop, but they move through the soil 96 matrix looking for the next host insect (cruiser) or wait for another insect to come by (ambusher). Generally, EPNs of the 97 genus Heterorhabditis are considered to be ambushers and Steinernema to be cruisers. Once the EPN has located a new 98 host, they enter either through natural orifices in the host body or through the cuticle, where they release their symbiotic 99 bacterium. The bacterium in turn releases toxic compounds into the host body, which leads to the death of the host insect, 100 at which point the bacteria will multiply and the EPNs will feed on them and develop into their sexual morphs and start a 101 reproductive period of multiple generations depending on the host size. Once the host insect has been completely

#### General Introduction

consumed by the bacteria, the EPN will once again produce a generation of IJs, which will leave the cadaver of the host and
 restart the cycle.

104 EPNs have evolved several strategies, ranging from ambush to cruising, to locate and/or to increase 105 probabilities of encountering preys (for review see Zhang et al., 2021). Their high virulence, together 106 with the ease of mass production (Ehlers, 2001), suggest them as a biological agent of high potential 107 for controlling soil-dwelling pests (Gaugler and Kaya, 1990; Kaya and Gaugler, 1993). To make use of 108 this potential, however, it is crucial to attain high consistency of EPN's impact on herbivore 109 populations that is independent of environmental conditions and pest characteristics, and robust to 110 changes in application strategies (Gaugler, 1988; Griffin, 2012; Khatri-Chhetri et al., 2011; 111 Koppenhöfer et al., 2020; Shapiro-Ilan et al., 2006a). This lack of understanding brings an urgent 112 need to identify and characterize nematode traits that enhance their success in different conditions 113 to generate superior EPNs.

114 Traits conferring superior efficacy include attributes increasing EPN persistence in soil, host encountering probability, infectivity success, and multiplication potential (Shapiro-Ilan et al., 2012; 115 116 Shapiro-Ilan et al., 2006b). Traits involved in EPN commercial suitability, such as EPN production, 117 formulation, and shelf-life, should also be considered for applied perspectives (Georgis and 118 Gaugler, 2002; Glazer, 2015; Vernon and van Herk, 2022), but are not under the scope of this review. 119 Although the identification of relevant traits by comparing EPN populations of different geographical 120 origins or through artificial selection, hybridization or genomics-assisted breeding offers valuable 121 insights, the functional characterization of genes encoding for specific traits remains a milestone to 122 be achieved in developing genetic improvement programs (GIPs), and ultimately superior nematode 123 isolates adapted to specific field conditions and pest targets.

124 Multiple genomes of EPNs and their endosymbionts are available to characterize the genetic 125 pathways and gene families underlying specific traits (Table 1). Initial resources, such as the H. 126 bacteriophora and H. indica draft genomes that are 77 Mb (~16'000 annotated protein coding genes) 127 and 91 Mb (~10'000 annotated protein coding genes) long respectively, are available for comparative 128 genomics (Bai et al., 2013; Bhat et al., 2021; McLean et al., 2018). Steinernema genomes have been 129 assembled for multiples species including S. carpocapsae, S. diaprepesi and S. feltiae (Table 1). 130 While the dissection of the genetic pathways involved in EPN biology and stress tolerance are still at 131 an early stage, extensive knowledge is available from the model nematode Caenorhabditis elegans. 132 C. elegans is a free-living nematode and lacks the molecular machinery to find and infect a host, and

- 133 originates from a narrow environmental background, rendering direct comparisons with EPNs
- 134 challenging. However, the knowledge gained from the model nematode may provide some avenues
- 135 to accelerate EPN research and genetic improvement in stress tolerance.

#### General Introduction

#### 136 Table 1: List of genomes available in the two genera of EPNs, including indicators of quality (#scaffolds, N50)

137

	Species	Assembly level	#scaffolds/ contigs	Bp [Mb]	scaffold/contig N50 [kb]	GC percent	Annotation	Accession	Reference
	bacteriophora	scaffold	1'240	77.0	312.3	33.0	yes	GCA_000223415.1	
Heterorhabditis	indica	scaffold	3'549	91.3	216.8	38.0	no	GCA_020740425.2	(Bhat et al., 2021)
Steinernema	carpocapsae	chromosome	16	84.5	7'400.0	45.5	yes	GCA_000757645.3	(Serra et al., 2019) (Rougon-Cardoso et al
	carpocapsae	scaffold	347	84.6	1'240.0	45.7	yes	NA	(1000gon Curdoso et un, 2016)
	diaprepsi	contig	35'417	118.2	11.5	45.0	no	GCA_013436035.1	(Baniya et al., 2020)
	feltiae	contig	4'678	121.6	60.4	46.0	no	GCA_007213375.1	(Fu et al., 2020)
	feltiae	scaffold	5'834	82.5	47.6	46.5	no	GCA_000757705.1	(Dillman et al., 2015)
	glaseri	scaffold	7'510	92.8	37.4	47.5	no	GCA_000757755.1	(Dillman et al., 2015) (Baniya and DiGennaro,
	khuongi	contig	8'783	81.8	46.1	47.5	no	GCA_016648015.1	(Duniyu und Dioonnaro, 2021)
	monticolum	scaffold	14'252	89.2	11.6	41.5	no	GCA_000505645.1	(Dillman et al., 2015)
	scanterisci	scaffold	2'864	79.7	91.1	47.5	no	GCA_000757745.1	(Dillman et al., 2015)

139 In this review, we provide an overview of EPN traits that modulate nematode tolerance to 140 endogenous and exogenous stressors and thus their persistence in soil as IJs. We highlight the 141 current knowledge about the involved genetic pathways underlying stress resistance in EPNs and 142 in *C. elegans*. Finally, we emphasize some of the limitations associated with the breeding of 143 superior EPNs and advocate mitigation plans for effective biocontrol strategies.

#### 144 2. Endogenous stresses

#### 145 2.1. Nutritional stress tolerance

IJs are nonfeeding organisms that rely on internal lipids (mainly triacylglycerols) and glycogen 146 147 reserves for survival (Grewal and Georgis, 1999; The C. elegans Research Community). Neutral lipids represent between 24 and 31% of the nematode dry weight (Patel and Wright, 1997a). In IJs 148 149 of H. bacteriophora and H. megidis, unsaturated fatty acids represent respectively 57% and 62% 150 of the detected fatty acids. Interestingly, steinernematid species contain relatively more 151 saturated fatty acids, as the latest can reach up to 70% of the lipid pool (Patel and Wright, 1997a; 152 Selvan et al., 1993b; Selvan et al., 1993a). Among the 18 fatty acids detected in Steinernema 153 species, oleic acid (C18:1n-9), palmitic acid (C16:0), and stearic acid (C18:0) decline over 154 storage time (100 days), suggesting that these fatty acids are preferentially used as energy suppliers (Patel and Wright, 1997a). On the other hand, glycogen reserves in steinernematids 155 156 ranges from 8% to 18% dry weight (Patel and Wright, 1997b) and their level seem to decline more 157 slowly than lipids in young IJs, but tend to decline faster after lipid depletion. Thus, it is 158 hypothesized that glycogen might be the alternative source of energy after lipids reserves 159 depletion in aged IJs. This was experimentally shown in S. carpocapsae, as the EPN maintained 160 its infectivity potential at low lipid levels when glycogen levels were high (Wright et al., 1997). 161 Infectivity later markedly decreased with decline in glycogen reserves. IJ reserves are critical 162 factors shaping the nematode survival, stress tolerance, motility, behaviour, and ultimately infectivity (Abu Hatab and Gaugler, 1997; Fitters and Griffin, 2006; Grewal and Georgis, 1999; 163 164 Griffin and Fitters, 2004; Hass et al., 2002; Jagdale and Gordon, 1997; Patel and Wright, 1997b; Qiu and Bedding, 2000). Although the role of neutral lipids and glycogen as energy storage for IJs 165 166 seems established, manipulative studies using the available information from C. elegans (e.g., 167 471 putative genes involved in the lipid metabolism, fatty acid pathway (Rappleye et al., 2003; 168 Watts and Ristow, 2017), should be undertaken to disentangle their specific roles in IJ nutritional 169 stress tolerance.

#### 170 2.2. Oxidative stress tolerance

Oxidative stress is caused by an imbalance between reactive species (RS) production and 171 172 antioxidant defenses (Sies, 2018; Sies, 1985). The production of RS, including reactive oxygen species (ROS) and reactive carbonyl species (RCS), results from the reduction-oxidation 173 174 reactions associated with the use of oxygen (Halliwell and Gutteridge, 2015; Pamplona and 175 Costantini, 2011). For instance, ROS are mostly produced by the electron transport system of mitochondria during ATP production (Zorov et al., 2014). As highly reactive molecules, RS can 176 177 disrupt the cellular metabolism and their overproduction results in cell death (Frijhoff et al., 178 2015; Nanette and Tim, 2013; Sies, 2018; Sies, 1985; Zorov et al., 2014). RS are detoxified through 179 several mechanisms, such as conjugation (e.g., to glutathione), oxidation (e.g., by aldehyde 180 dehydrogenases), or reduction (e.g., by aldoketoreductases) (Detienne et al., 2018; Hulbert et 181 al., 2007; Pamplona and Costantini, 2011; West and Marnett, 2006). In H. bacteriophora, IJ 182 tolerance to  $H_2O_2$  exposure correlates with their lifespan in sand (Sumaya et al., 2017). The 183 crossing of two isolates and mutagenesis further revealed that tolerance to oxidative stress can 184 be enhanced (Sumaya et al., 2018). These results are consistent with studies conducted in C. 185 elegans demonstrating the role of the oxidation level on nematode lifespan. For instance, 186 silencing antioxidant enzymes such as catalases (CTL-2), thioredoxins (TRX-1), peroxidases (PRDX-2 and PRDX-3), or glutathione-S-transferases (GST-5, GST-10) accelerates aging and 187 188 decreases lifespan (Ayyadevara et al., 2007; Ha et al., 2006; Jee et al., 2005; Miranda-Vizuete et 189 al., 2006; Oláhová et al., 2008; Petriv and Rachubinski, 2004). Noteworthy, not all RS 190 detoxification enzymes are associated with nematode lifespan and some of them have tissue-191 specific functions (for a review in C. elegans see Shields et al. 2021). The impact of RS and their 192 detoxification machinery on C. elegans is well advanced, however, how oxidative stress shapes 193 EPN IJs persistence in soil remains elusive and should be further investigated.

## 194 3. Abiotic stresses

#### 195 3.1. Low temperatures

EPN juveniles can persist in the soil for over a year in continental climates (Kurtz et al., 2007) and
can cope with cold temperatures (Ali and Wharton, 2013). For instance, *S. monticolum* is
adapted to ptolerate temperature as low as 5° C (Koppenhöfer et al., 2000). Yet, EPN tolerance
to low temperature and the underlying mechanisms are species- and likely even isolate-specific
(Godina et al., 2022; Grewal et al., 1994; Jagdale and Grewal, 2003).

201 IJs can withstand and acclimate to falling temperatures by lowering their metabolism activity (Ali 202 and Wharton, 2013; Brown and Gaugler, 1996). While IJs/dauer nematodes already have a 203 hypometabolism, IJs subjected to cold conditions further slacken their metabolism, as reflected 204 by the slower use rate of their lipid reserves (Andaló et al., 2011). In another example, H. megidis 205 IJs decrease the production of proteins involved in metabolism and protein synthesis (e.g., 206 ribosomal proteins), and S. carpocapsae decreases the production of proteins involved in 207 intermediary metabolism and oxidative phosphorylation (Jagdale and Grewal, 2003). Reducing 208 the metabolism and protein synthesis, as in *H. megidis*, may be an effective strategy to prevent 209 proteotoxic stress and to spare energy for the cruiser nematodes (Lillis et al., 2022). Decreasing 210 intermediary metabolism and oxidative phosphorylation may limit energy loss and reactive 211 oxygen species (ROS) production (Lillis et al., 2022).

212 Additionally, EPNs can enhance their tolerance to low temperatures by producing trehalose, a 213 natural disaccharide (a-d-glucopyranosyl-1,1-a-d-glucopyranoside) involved in resistance to 214 freezing in numerous organisms (Ali and Wharton, 2015; Grewal and Jagdale, 2002; Jagdale and 215 Grewal, 2003; Kandror et al., 2002; Liu et al., 2019; NDong et al., 2002; Virgilio et al., 1994). For 216 instance, cold shock induces the transient activity of the trehalose-6-phosphate synthase 217 (T6PS), trehalose accumulation, and cold tolerance in S. carpocapsae and H. bacteriophora 218 (Jagdale et al., 2005; Pellerone et al., 2003). Trehalose protects the cells from thermal injury by 219 stabilizing proteins in their native state and preventing denaturation or aggregation (Erkut et al., 220 2011; Hottiger et al., 1994; Jain and Roy, 2009; Singer and Lindquist, 1998), decreasing damage 221 by oxygen radicals (Benaroudj et al., 2001), and stabilizing lipid membranes (Crowe et al., 1998a; 222 Crowe et al., 1984; Leslie et al., 1994). In EPNs and in C. elegans, two trehalose phosphate 223 synthases, Tps-1 and Tps-2, were reported (Depuydt et al., 2014; Murphy et al., 2003; Sonoda et 224 al., 2016). In C. elegans, the two isoforms are under the control of one transcription factor (DAF-225 16) (Depuydt et al., 2014; Murphy et al., 2003; Sonoda et al., 2016). Trehalose is likely released in 226 the intestines and transported via the glucose transporter FGT-1 over the plasma membrane into 227 the pseudocoel (Feng, 2010; Kitaoka et al., 2013). Finally, trehalose and late embryogenesis 228 proteins can promote bioglass formation and enhance EPN tolerance to freezing temperatures 229 (Qiu and Bedding, 2002). Understanding the regulation of trehalose production may offer 230 promising targets to enhance cold tolerance in EPNs.

Some EPN species further respond to cold stress by producing stress and detoxification proteins
(Lillis et al., 2022). Incubating EPNs at 9°C promotes the production of dehydrogenases,
thioredoxins, glutathione-S-transferases (GSTs), catalase, and oxidoreductases in S.

carpocapsae (Lillis et al., 2022). In nematodes, the antioxidant-, detoxification-, and unfolding
protein response-pathways are regulated by the transcription factor SKN-1, a critical player for
nematode longevity (Choe et al., 2012; Ewald et al., 2015; Gillet et al., 2017).

237 In C. elegans, low temperatures induce epidermal-specific autophagy (Chen et al., 2019). 238 Autophagy is a process that maintains intracellular homeostasis by degrading and recycling 239 cytoplasmic components through a lysosomal pathway (Deter et al., 1967; Levine et al., 2011; 240 Megalou and Tavernarakis, 2009; Mizushima, 2007; Palmisano and Meléndez, 2019). The genetic 241 and molecular pathways involved in autophagy are well characterized in the model nematode 242 species and are likely to be conserved across the different nematode clades (Fu et al., 2022; 243 Palmisano and Meléndez, 2019). The product of PAQR-2 detects low temperatures and 244 upregulates poly-unsaturated fatty acids, gamma-linolenic acid, and arachidonic acid, which in 245 turn increases the ratio between unsaturated and saturated fatty acids and increases epidermal 246 autophagy (Chen et al., 2019; Svensk et al., 2013). Silencing genes such as bec-1, let-512, or epg-247 1 in C. elegans shortens the nematode lifespan when exposed to low, but not to ambient, 248 temperatures (Chen et al., 2019; Liang et al., 2012; Takacs-Vellai et al., 2005). Interestingly, in 249 their study, Lillis et al. (2022) noted that an autophagy-related protein accumulated over storage 250 time in *H. megidis*, and that the protein levels increased faster at 9°C than at 20°C, suggesting a 251 possible role of autophagy in cold tolerance in EPNs.

Additional cold-tolerance mechanisms were described in *C. elegans*, including sensing by transient receptor potential (TRP) channels, activation of a PKC-2/Ca2<sup>+</sup>-sensitive protein kinase C, activation of DAF-16/FOXO, or phosphorylation (Okahata et al., 2022). Whether similar mechanisms also exist in EPNs remains to be investigated.

#### 256 3.2. Elevated temperatures

Elevated temperatures (>32 °C) have detrimental effect on EPN reproduction, growth, survival and pathogenicity (Grewal et al., 1994; Kung et al., 1991; Zervos et al., 1991). However, some species have been isolated from hot deserts (Edgington et al., 2011; Glazer et al., 1991; Kusakabe et al., 2019). This shows that some EPNs have evolved adaptive mechanisms to tolerate elevated temperatures. Up to date, whether the variation in tolerance is genetically determined or plastic remains unclear.

263 Efforts have been made to elucidate genetic information linked to high temperature tolerance.
264 For example, comparing *H. bacteriophora* isolates with contrasting heat highlighted significant

265 downregulation of transcript levels in the heat tolerant (EN01) than in the heat sensitive (Grofit) 266 nematodes (Levy et al., 2020). EN01 transcripts include glycerol kinase (GK), fatty acid 267 desaturase (FAD), and a zinc finger protein (ZFP). Down regulation of TRE (trehalose)-stress 268 responding in Grofit while the heat shock proteins (HSPs) and related pathways were up 269 regulated in of both natural variants. HSPs catalyze the unfolding of either native or denatured 270 proteins enabling the cell to eliminate or renature proteins damaged by high temperature 271 (Candido et al., 1989). High genetic variation in one of the family protein, HSP70, has long been 272 reported using the Restriction Fragment Length Polymorphism (RFLP) among 6 different high 273 temperature surviving EPN species from different geographical locations (Hashmi et al., 1997). 274 However the involvement of these HSPs in heat tolerance is not well understood (Glazer, 1996). 275 In other studies, heat stress causes accumulation of trehalose as well as a shift in enzyme 276 activities in the trehalose metabolism (T6PS and trehalase). For example, the activity of T6PS and 277 trehalase increases and decreases, respectively, during the heat shock (Jagdale et al., 2005; 278 Jagdale and Grewal, 2003). Trehalose accumulation might be an adaptive way to cope with 279 thermal stress or preparation for desiccation stress that will subsequently follow due to 280 evaporation. The genetic manipulation and or modification to attain heat tolerance in EPNs is 281 possible through continuous exposure of EPNs to heat stress. For instance, in one study, hybrids 282 of H. bacteriophora (PS7 and H06 crosses), have increased their mean temperature tolerance 283 from 38.5 to 39.2°C after four selection steps (Ehlers et al., 2005).

284 Although the genetic mechanisms of heat tolerance in EPNs are not clear yet, studies in C. 285 elegans have shown that the model organism is equipped with systems involved in tolerating the 286 adverse effects of high temperatures stress. The Heat shock transcription factor-1 (HSF-1) and 287 the forkhead box O (FOXO) transcription factor DAF-16 upregulate chaperone expression after 288 acute heat exposure (Park et al., 2017). According to previous studies, HSF1 targets genes 289 encoding molecular chaperones, that are essential for protein folding, preventing misfolding, 290 and restoring the native conformation of misfolded proteins. Thus HSF1 promotes stability by preventing protein aggregation and subsequent proteome imbalance (Li et al., 2017; Servello and 291 292 Apfeld, 2020).

## 293 3.3. Desiccation

EPNs are soil organisms living in large soil pores on surface films of water or at relative humidity
> 97% (Jung et al., 2012; Navaneethan et al., 2010; Neher, 2010). They possess a hydrostatic
skeleton, inferring that the nematode body is supported by fluid pressure (Neher, 2010; Riddle et

al., 1997; Wallace, 1971). Low water content may thus drastically limit their motility and survival
(Glazer, 2002; Grant and Villani, 2003; Kaya, 2018).

299 Nematodes have evolved behavioural and biochemical strategies to cope with unfavourable soil 300 moisture levels. The model worm C. elegans can navigate towards more favourable environment 301 following a gradient in soil moisture, a process referred to as hygrotaxis (El-Borai et al., 2016). 302 The nematode perceives and responds to changes in water gradients as shallow as 0.03% 303 (Russell et al., 2014). Hygrotaxis requires the activation of both mechanosensitive- and 304 thermosensitive pathways (Hibshman et al., 2020; Russell et al., 2014). The mechanosensitive 305 pathway relies on a conserved DEG/ENaC/ASIC mechanoreceptor complex in the FLP neuron 306 pair in the nematode cuticle (Russell et al., 2014). FLP neurons are located below the epidermis 307 and are likely sensitive to changes in cuticle stretch by hydration (Russell et al., 2014). The 308 thermosensitive pathway is activated through cGMP-gated channels in the AFD neuron pair 309 (Russell et al., 2014). The relevance of thermosensing during the detection and response to dry 310 environment was suggested to reflect the drop of temperatures due to water evaporation in 311 moister soil layers (Russell et al., 2014). Signalling pathways involving a patched related protein 312 (DAF-6), a notch ligand (OSM-11), and mitogen-activated protein kinases (MAPK) were further 313 suggested to mediate the nematode behaviour (Banton and Tunnacliffe, 2012). Interestingly, 314 hygrotaxis depends on the nutritional status of the nematode, as dry conditions only trigger C. elegans directional movements in starved conditions (Russell et al., 2014). Although yet 315 316 untested, similar mechanisms likely drive moisture perception and response in EPNs.

317 Under severe dehydration, EPNs enter in anhydrobiosis (Gal et al., 2005a). Anhydrobiosis is a 318 reversible physiological process by which an organism loses up to 98% of its body water up to 319 metabolism arrest, a state called cryptobiosis (Cooper and van Gundy, 1971; Womersley, 1981). 320 EPNs can reach partial anhydrobiosis (decreasing oxygen consumption by ~80%, (Grewal, 321 2000a)), but not cryptobiosis, and are thus considered as quiescent anhydrobiotes (Gaugler and 322 Kaya, 1990; Simons and Poinar, 1973; Womersley, 1990). Desiccation elicits a transient increase 323 in EPN metabolism, prior to slowing it down to levels below the normal metabolic rate (Grewal, 324 2000b). The glycogen production decreases, as reflected by the down-regulation of the glycogen 325 synthase (gsy-1), while trehalose and glycerol synthesis from existing glycogen and neutral lipid 326 reserves increases (Behm, 1997; Crowe and Crowe, 1992; Gal et al., 2001; Qiu et al., 2000; 327 Womersley, 1990). Trehalose progressively replaces water in membranes and contributes to 328 maintaining the structures and stabilizing proteins (Behm, 1997; Crowe et al., 1998b; Crowe and 329 Crowe, 1992; Elbein et al., 2003). In S. feltiae, desiccation triggers a two-fold increase in trehalose contents (Solomon and Glazer, 1999). Additionally, the casein kinase (CK2) is induced
and elicits the transcriptional activation of a nucleosome-assembly protein (NAP-1) through
physical interaction (Gal et al., 2005b; Gal et al., 2003; Somvanshi et al., 2008). Osmoregulant
molecules (e.g. produced by ALDH), antioxidants (e.g., Gg., DESC47, HSP40) are further
synthesized and may further protect the cells from desiccation-induced damage (Gal et al., 2003;
Solomon et al., 2000; Somvanshi et al., 2008).

336 While C. elegans dauer nematodes are true anhydrobiotes which can lose more than 98% of their 337 water content (Erkut et al., 2011; Madin and Crowe, 1975), some of the genetic and molecular 338 mechanisms underlying desiccation responses are likely to be similar to those of EPNs' (for 339 review see Hibshman et al., 2020). These mechanisms include the production of trehalose (by 340 trehalose 6-phosphate synthases TPS-1 and TPS-2), an upregulation of the glyoxylate shunt to 341 synthesize succinate and malate (by the isocitrate lyase and malate synthase enzyme ICL-1), the 342 synthesis of late embryogenesis abundant proteins (LEA proteins), heat shock proteins (F08H9.3 343 and F08H9.4), antioxidant enzymes (SOD-1, GPX-2, GPX-6, GPX-7, CTL-1), and the desaturation 344 of fatty acids by fatty acid desaturases (FAT-3 through FAT-7) (Abusharkh et al., 2014; Braeckman 345 et al., 2009; Erkut et al., 2016; Erkut et al., 2013; Gal et al., 2004). The accumulation of trehalose, 346 heat-shock proteins, and LEA proteins is further associated with the formation of glasses in a dry 347 state, a process referred to as vitrification, which stabilizes biomaterials and avoid free-radical 348 oxidation, lipid phase transition and protein crystallization (Crowe, 2002; Crowe et al., 1998a; 349 Sun and Leopold, 1997). Finally, LEA proteins act as molecular shields around proteins and 350 prevent them from aggregating (Goyal et al., 2005; Wise and Tunnacliffe, 2004). EPN ability for 351 water replacement, vitrification, and molecular shielding under desiccation may be targeted as 352 promising trait for EPN selection (Hibshman et al., 2020).

353 Desiccation-induced quiescence can increase (e.g., S. carpocapsae) or decrease (e.g., in S. 354 feltiae) EPN lifespan, but has no or limited effect on their virulence when stored at room 355 temperature (25°C) (Grewal, 2000a; Grewal, 2000b, 1998; Matadamas-Ortiz et al., 2014). The 356 differential effect of quiescence on EPN lifespan has been attributed to their foraging lifestyles. 357 Ambushers (e.g., S. carpocapsae) may be more exposed to desiccation during nictation than 358 mobile cruisers (e.g., S. feltiae) and may thus have been under higher selection pressure to 359 tolerate desiccation stresses (Grewal, 2000a; Patel et al., 1997). However, a concomitant 360 storage of desiccated EPNs at lower temperatures reduce EPN lifespan (Grewal, 2000a). This 361 sharp effect may be explained by the costs associated with desiccation and low temperature 362 tolerance costs (Grewal, 2000a).

363 Desiccation tolerance is increased in EPNs being exposed to dehydration gradually rather than rapidly (Simons and Poinar, 1973; Womersley, 1990). Preconditioning EPNs to sub-lethal 364 365 dehydration levels elicit an adaptive response that enhances their tolerance to subsequent, 366 stronger, desiccating conditions (Kung et al., 1991; Nimkingrat et al., 2013; Patel et al., 1997; 367 Strauch et al., 2004). The potential of the adaptive response is species and isolate specific 368 (Grewal, 2000a; Nimkingrat et al., 2013; Somvanshi et al., 2008). The selection of desiccation 369 tolerant isolates was successful in several studies (Mukuka et al., 2010b; Mukuka et al., 2010a), 370 although this trait is rapidly lost during in vivo mass production when the selection pressure is 371 relieved (Anbesse et al., 2013; Mukuka et al., 2010c).

#### 372 3.4. Osmotic Stress

373 Nematodes can experience osmotic stress due to fluctuations in soil moisture levels. In dry soil 374 conditions, nematodes may experience hypertonic environments (higher solute concentration 375 compared to the intracellular solute concentration). As the soil dries out, the increasing 376 concentration of soil solutes creates an osmotic gradient that draws water out of the nematode's 377 body. Conversely, in wet soil conditions (e.g., after intense precipitations, soil amendments, or 378 irrigation), nematodes may encounter hypotonic environments. The lowering solute 379 concentrations can result in water entering the nematode's body, leading to swelling and cell 380 damage.

381 Entomopathogenic nematodes have evolved several strategies to cope with osmotic stresses, 382 although the response seems to be isolate- and salt-specific (Edmunds et al., 2021; Feng et al., 383 2006; Finnegan et al., 1999; Liu et al., 2002; Nielsen et al., 2011; Qiu et al., 2000; Thurston et al., 1994; Yan et al., 2010). First, nematodes may move towards areas with more favorable 384 385 conditions. This phenomenon was show in an experiment with *H. indica* with about 35% 386 nematode movement towards control conditions as compared to 0% towards above 30 dSm<sup>-1</sup> 387 salinity levels (Nielsen et al., 2011). Second, the nematode's outer cuticle serves as a protective 388 barrier against water loss in hypertonic environments. It helps reduce desiccation and prevents 389 excessive water influx during hypotonic conditions, providing a degree of osmotic protection (Lee 390 and Atkinson, 1976; Wright, 1998). Third, EPNs may regulate their internal osmotic balance by 391 adjusting the concentration of solutes within their cells. They may accumulate sugars or amino 392 acids to counterbalance the osmotic gradients and maintain water balance (Chen et al., 2006). 393 Ultimately, EPNs can enter partial anhydrobiosis rendering EPNs largely resilient to extreme 394 conditions (Chen and Glazer, 2004; Finnegan et al., 1999; Glazer and Salame, 2000; Lamitina et 395 al., 2004; Thurston et al., 1994; Wright, 1998). This osmotic dehydration involves the synthesis of 396 protectants, mostly glycerol and trehalose, from lipids and/or glycogen (Gal et al., 2004; Gal et 397 al., 2001; Qiu et al., 2000). In EPNs, osmotic dehydration induces numerous genes involved in 398 the production of osmoprotectants and proteins. Osmotic dehydration induced LEA protein 399 (LEA), (NAP-1) and Casein kinase 2 (CK2) genes in S. feltiae (Gal et al., 2003). LEA-1 is essential 400 for tolerance to osmotic stress in C. elegans, as silencing the gene normally expressed in the 401 body wall muscle resulted in a specific and significant reduction in worm survival during 402 induction of desiccation, osmotic and heat stress (Gal et al., 2004). Osmotic dehydration further 403 induced the production of heat shock protein 60, coenzyme q biosynthesis protein, inositol 404 monophosphatase, fumarate lyase, Actin, Proteasome regulatory particle (ATPase-like), GroEL 405 chaperonin, GroES co-chaperonin and Transposase family member (Chen et al., 2005; Gal et al., 406 2003). Overall, the molecular processes involved in osmotic stress tolerance seems to be similar 407 in EPNs and C. elegans (see for instance (Lamitina et al., 2004)(Possik et al., 2015; Rasulova et 408 al., 2021; Solomon et al., 2004; Yu et al., 2017) for C. elegans). The advantages of osmotic 409 dehydration to enhance EPNs resilience to different stresses can be exploited for optimizing 410 EPNs formulations (Chen and Glazer, 2005; Kagimu et al., 2017; Qiu et al., 2000).

#### 411 3.5. Hypoxia

412 Nematodes are aerobic organisms. They use oxygen to fuel mitochondrial energy production and 413 to ensure a proper metabolism. In soil, oxygen levels are naturally lower than ambient levels 414 (21%) due to root- and microbial respiration and/or soil water contents, and ranges between 0.5 415 and 21% (Félix and Braendle, 2010; Grant and Villani, 2003). Hypoxia (<1% oxygen for worms like 416 C. elegans) limits the cell mitochondrial respiration and energy production, disrupts proteostasis 417 (Fawcett et al., 2015). EPN tolerance to hypoxia is highly variable among species and isolates 418 (Burman and Pye, 1980; Grewal et al., 2002; Kour et al., 2021; Morton and García-del-Pino, 2009). 419 For instance, the survival rate of different *H. bacteriophora* isolates ranges from 10 to 90% after 420 four day exposure to hypoxia (Grewal et al., 2002). Nematodes have evolved behavioural and 421 physiological strategies to prevent hypoxia-induced detrimental effects on their virulence, 422 longevity, and survival.

Nematodes can perceive environmental oxygen levels and migrate up or down its concentration gradients. While this behaviour remains elusive in EPNs, it has been investigated in *C. elegans* (Choudhry and Harris, 2018; Gray et al., 2004; Kitazume et al., 2018; Kumar, 2016). Interestingly, the oxygen sensing neurons (AQR, PQR, URX, BAG) involved in *C. elegans* repellence from elevated (21%) levels of oxygen do not mediate the nematode response to hypoxia (Zhao et al., 2022). Instead, the sensory neurons ADL and ASH seem to interact with signals from neurons 429 producing 3',5'-cyclic guanosine monophosphate (cGMP), a major second messenger, to 430 modulate the nematode response (Zhao et al., 2022). Additionally, mitochondria are critical in 431 sensing and responding to hypoxic conditions. Hypoxia triggers a reversible oxidation of a 432 cysteine residue (Cys366) in the mitochondrial complex I subunit NDUF2.1, causing a decrease 433 in the complex activity (Onukwufor et al., 2022). This decrease in turn modulates the production 434 of ROS in a site-specific manner, a process sufficient to elicit a behavioural response to hypoxia 435 (Kaelin and Ratcliffe, 2008; Onukwufor et al., 2022). In parallel, the conserved oxygen-activated 436 prolyl hydroxylases (PHDs) can also act as oxygen sensors and activate the hypoxia adaptation 437 program (Bruick and McKnight, 2001; Darby et al., 1999; Epstein et al., 2001; Ivan et al., 2002; 438 Kaelin and Ratcliffe, 2008; Trent et al., 1983). In C. elegans, a single family member of PHD is 439 known (EGL-9) (Epstein et al., 2001). Under hypoxic conditions, the lower PHD activity leads to 440 the inhibition of the glutamate receptor (GLR-1) recycling, itself inducing a roaming behaviour, 441 and to the upregulation of the hypoxia inducible factor (HIF-1) and its target genes, including 442 genes involved in the energy metabolism, pathophysiological processes, cell differentiation, and 443 apoptosis (Dengler et al., 2014; Kumar, 2016; Liu et al., 2012; Ma et al., 2012; Park and Rongo, 444 2016; Powell-Coffman, 2010; Shen et al., 2006; Vora et al., 2022). Finally, the nuclear hormone 445 receptor NHR-49 is required to orchestrate a hif-1 independent response to hypoxia, including 446 detoxification, and autophagy genes (Doering et al., 2022). The orchestrated response to low 447 oxygen levels enables the nematode to switch from mitochondrial respiration to anaerobic 448 glycolysis while maintaining its homeostasis (physiological functions), and ultimately support 449 the nematode survival (Doering et al., 2022; Shih et al., 1996).

#### 450 3.6. Ultra-violet (UV) tolerance

451 UV light exposure elevates the mutation rates in the genome. EPN JJs can suffer from UV 452 irradiation, although an important variability between isolates and between studies should be 453 noted. For example, UV radiations drastically decreased IJ virulence and survival in S. 454 carpocapsae in three out of four studies (Fujiie and Yokoyama, 1998; Gaugler and Boush, 1978; 455 Jagdale and Grewal, 2007; Shapiro-Ilan et al., 2015). While UV exposure was similar in both 456 studies in terms of wavelength (254 nm) and exposure duration (7-10 min), several hypotheses 457 can explain the apparent discrepancy. First, the UV irradiation intensity is a crucial parameter to 458 consider. Studies in C. elegans demonstrate that UV irradiations at 20 J/m<sup>2</sup>/min triggers severe 459 stresses, while irradiations at 10 J/m<sup>2</sup>/min elicit limited toxicity (Wang et al., 2010). Second, pre-460 exposure to a mild, sub-lethal, stress can elicit an adaptive response of the organism, leading to 461 increased tolerance to higher stress levels (Yanase et al., 1999). When compared within the

462 same study, steinernematids have a higher tolerance to UV exposure than heterorhabditis463 (Shapiro-Ilan et al., 2015).

464 Several UV tolerance mechanisms were elucidated in C. elegans. The model nematode can 465 perceive and avoid UV light through (at least) four sensory neurons (ASJ, ASK, AWB, ASH) 466 (Bargmann, 2006; Ward et al., 2008). ASH and ASK neurons express a seven-transmembrane 467 protein (LITE-1) UV light receptor (Gong et al., 2016) whose activation triggers the release of a 468 neurotransmitter, glutamate (Ozawa et al., 2022). Glutamate receptors (glc-3, mgl-1, mgl-2) are 469 expressed only in ASH and ASK (glutaminergic neurons) (Ozawa et al., 2022). The mechanisms 470 involved in ASJ and AWB activation in response to UV light remain yet more elusive. Using similar 471 technologies than in C. elegans (e.g, neuron ablation, genetically inserted synapses, RNAi) will 472 contribute to better understanding whether the same cellular and molecular mechanisms are 473 involved in EPNs perception and behavioral responses to UV irradiations. Upon exposure to UV, 474 animal cells activate surveillance mechanisms responsible for cell cycle arrest, DNA repair, and 475 apoptosis in case of elevated DNA damage (Bailly and Gartner, 2013; Fortunato et al., 2021) 476 (Bailly and Gartner, 2013; Fortunato et al., 2021). These cellular mechanisms have been 477 extensively studied in C. elegans and their underlying pathways have been mostly elucidated (for review see (Canchaya et al., 2003; Elsakrmy et al., 2020). Because DNA damage response 478 479 pathways are highly conserved in animals (Clancy, 2008), it is likely that EPNs undergo similar 480 processes upon UV exposure. Understanding the impact of UV light on EPN biology is critical 481 when using inundative or foliar pest control strategies.

#### 482 4. Biotic stresses

#### 483 4.1. Viruses

484 To date, no viral pathogen is known to infect EPNs in soil, although the presence of bacteriophages has been described in the nematode endosymbiont genomes (Canchaya et al., 485 486 2003; Kaya et al., 1998). Accumulating genomic resources such as RNAseq data will likely 487 identify a diversity of viruses interacting with EPNs. Several viruses are indeed known to infect C. elegans (Félix et al., 2011). The nematode anti-viral response includes RNA interference (RNAi), 488 489 RNA uridylation, and intracellular pathogen response (IPR) (for review see (Martineau et al., 490 2021). The natural variation in C. elegans resistance to viral infections revealed the crucial role 491 of the dicer related helicase DHR-1 protein, a pattern recognition receptor (PRR) that mediates 492 the intracellular pathogen response (IPR) program (van Sluijs et al., 2021). Whether and which viruses can infect EPNs, and which markers are critical for EPN immune response remains to beelucidated.

#### 495 4.2. Bacteria

496 Soil bacteria can attach to nematodes' cuticle (Adam et al., 2014; Topalović et al., 2019) 497 Pathogenic bacteria have been isolated from free-living nematodes (Schulenburg and Félix, 498 2017), plant pathogenic nematodes (Adam et al., 2014; Elhady et al., 2017), and EPNs (Bajaj and 499 Walia, 2005; Enright et al., 2003). For instance, the screening of EPN soil isolates identified 500 Pasteuria sp. on the cuticle and inside S. pakistense IJs (Bajaj and Walia, 2005). In addition to 501 that, recently, several other bacteria were washed-off from soil borne nematodes ' cuticle and 502 most of them proved to be pathogenetic against Galleria mellonella larvae (Loulou et al., 2023). 503 The entomopathogenic bacterial strains isolated by the authors include Lysinibacillus fusiformis, 504 Kaistia sp., Alcaligenes sp., Enterobacter sp., Klebsiella quasipneumoniae subsp. 505 quasipneumoniae, Bacillus cereus, Acinetobacter sp., Pseudomonas aeruginosa, and 506 Morganella morganii subsp. morganii (Loulou et al., 2023). The presence of the endospore-507 forming bacteria is associated with the abolition of virulence in EPNs (Bajaj and Walia, 2005). The 508 EPN immune response to bacterial agents remains unclear. In C. elegans, a pathogen attack is 509 detected through PRRs that detect pathogen-associated molecular patterns and/or 510 disturbances in cellular homeostasis and triggers cell autonomous and non-autonomous 511 responses (Martineau et al., 2021). Interestingly, C. elegans is able to detect and evade 512 pathogenic bacteria (Bai et al., 2013; Kim and Flavell, 2020; Schulenburg and Müller, 2004; Tran 513 et al., 2017). For example, the worm can detect and avoid bacterial products, such as the exolipid 514 serrawettin W2 from Serratia marcescens (Pradel et al., 2007). Understanding how EPNs detect 515 and respond to specific pathogens may provide target markers that enhance EPN survival in soil.

#### 516 4.3. Fungi

517 Nematophagous fungi are common nematode enemies and can be classified as nematode-518 trapping (predators), endoparasitic, or egg- and cyst- parasites (Lopez-Llorca and Jansson, 2007; 519 Pathak et al., 2017; Soares et al., 2018). The presence of nematophagous fungi in the soil can 520 decrease EPN populations and their biocontrol efficacy (Kaya and Koppenhöfer, 1996; Soares et 521 al., 2018). EPNs can protect themselves from the fungal predators/parasites through several 522 mechanisms. First, cruising nematodes with high mobility can move away from a fungal trap 523 (Bright et al., 2009; Karthik Raja et al., 2021). Second, heterorhabditid species conserve their 524 second-stage cuticle after moulting for some time, often until invasion into the insect, which 525 provides them an enhanced protection from fungal infection (Timper and Kaya, 1989). Third, 526 EPNs can detect and avoid fungal chemical cues, although several fungal species have evolved 527 to produce nematode attractants (El-Borai et al., 2011). Finally, EPN can learn cues associated 528 with the presence of fungi and avoid them in subsequent exposure (Willett et al., 2017). In C. 529 elegans, the detection of nematophagous fungi is achieved through mechanical and chemical 530 perception, via the tyramine signalling (Maguire et al., 2011; O'Donnell et al., 2020). Fungus-531 induced cuticular damage and fungal polysaccharides further activate the worm immune system 532 (e.g., production of antimicrobial peptides) via pattern recognition receptors (PRRs) (Martineau 533 et al., 2021). EPNs with enhanced physical or immune defenses, as well as "educated" EPNs, 534 may provide future avenues to treat fields with high nematophagous fungi density and ensure 535 EPN efficacy.

#### 536 4.4. Insects

Predatory collembolas, such as *Folsomia candida, Sinella curviseta*, or *Hypogasturas cotti*, prey
on EPNs, reducing their biocontrol efficacy in natural conditions (Epsky et al., 1988; Gilmore and
Potter, 1993; Hodson et al., 2012; Ishibashi et al., 1987; Read et al., 2006; Ulug et al., 2014).
Although very few studies investigated the EPN defenses against insects, it can be hypothesized
that nematodes and/or their endosymbionts, are producing and exuding a range of chemicals
that repels their enemies.

## 543 5. Engineering superior nematodes

#### 544 5.1. Artificial selection and genetic engineering methods

545 Understanding mechanisms that modulate EPN IJ persistence in soil will be key to develop 546 "superior" (more resistant or tolerant) nematodes and thus to enhance pest biocontrol. 547 Engineering superior EPNs can be achieved through artificial selection or genetic engineering (Lu 548 et al., 2016). The short life cycle of EPNs and their ease of mass cultivation render them ideal to 549 select traits of interest.

Artificial selection can be conducted by exposing EPNs to a chosen selection pressure (e.g., desiccation, low temperature) for several generations. Genetic traits that promote EPN fitness under the imposed stress may then be selected. Many studies successfully improved EPN tolerance to diverse stressors, such as cold (Koppenhöfer et al., 2000), plant secondary metabolite (Zhang et al., 2019), plant volatiles (Hiltpold et al., 2010), etc. Sequencing the genome of the first and last, selected, EPN generations may further allow to elucidate the genetic 556 pathways/markers involved in tolerance. However, as several pathways can be concomitantly 557 involved in tolerance to one stress, it is judicious to split the initial EPN population in several sub-558 populations each ongoing artificial selection in parallel to compare the obtained results. 559 Additionally, selecting several superior isolates in variable genetic backgrounds is 560 recommended to prevent trait deterioration through inter-crossing (Bilgrami et al., 2006; 561 Chaston et al., 2011). Not only have EPNs been selected under stressful conditions, but also their 562 endosymbiontic bacteria alone. The best example was shown in an experimental evolution study where five *Photorhabdus* symbionts from different nematodes were selected in benzoxazinoids. 563 564 The selected endosymbionts were later reintroduced to their host isolate and one of the selected 565 bacteria significantly improved the infectivity of the host isolate against benzoxazinoid-566 sequestering western corn rootworm (Machado et al., 2020).

567 Genetic engineering tools include mutagenesis, transgene insertion, and genome editing tools. Mutagenesis can be performed by exposing nematodes to chemical agents such as ethyl 568 569 methane sulfonate (EMS) (Liu et al., 2012; Sumaya et al., 2018). The induced DNA mutations may 570 (or may not) lead to the appearance of a desired phenotype that can then be stabilized. 571 Transgenes can be inserted into the gonads of adult hermaphrodites, resulting in 572 extrachromosomal arrays that can be further incorporated into the genome (Mello et al., 1991). 573 However, the insertion site of the sequences is not controlled in these assays (Praitis et al., 2001; 574 Wilm et al., 1999). To palliate these limitations, several genome editing technologies were 575 developed, such as zinc-finger nucleases (ZFNs), transcriptional activator-like nucleases 576 (TALENs), and clustered regularly interspaced short palindromic repeats (CRISPR/Cas9) (Sugi, 577 2016). ZFNs and TALENs are engineered site specific nucleases that induce double strand breaks 578 at desired loci (Wood et al., 2011). The breaks are then repaired by non-homologous end-joining, 579 resulting in insertions and deletions at the site of interest (Wei et al., 2014; Wood et al., 2011). 580 CRIPSR/Cas9 allows targeted genome editing by incorporating foreign nucleotides into the 581 CRISPR locus of the host genome, resulting in the production of CRISPR RNAs (crRNAs) and to 582 the sequence-specific cleavage of homologous target dsDNA by Cas endonucleases (Jinek et al., 583 2012). CRISPR/Cas9 can induce heritable changes in the nematodes' genome (Bortesi and 584 Fischer, 2015; Chiu et al., 2013; Frøkjær-Jensen, 2013; Lo et al., 2013; Tzur et al., 2013).

#### 585 5.2. Selection limitations

586 Engineering EPNs that are superior in tolerating some stresses can be associated with some 587 limitations. First, the success of selection depends on the trait heritability ( $h^2$ ) (Hartl and Clark,

#### **General Introduction**

588 1997). For instance, the heritability of oxidative stress tolerance is of  $h^2$ >0.9 in H. bacteriophora, 589 implying a high probability for the tolerance trait to be transmitted to the next generation (Sumaya 590 et al., 2018). Second, the selected traits may deteriorate in absence of the selective pressure 591 (Anbesse et al., 2013; Chaston et al., 2011). Third, selecting specific traits may come at the 592 expense of other traits relevant for EPN efficacy (persistence in soil, but also infectivity, 593 reproduction, or storage ability). Neutral (e.g., between desiccation and freezing tolerance) 594 (Sumaya et al., 2017), negative (e.g., between desiccation tolerance and EPN fitness) (Gaugler 595 and Kaya, 1990), and positive (e.g., between heat-tolerance and longevity) (Grewal et al., 2002) 596 correlations were reported. A better understanding of the genetic mechanisms underlying the 597 different responses will be crucial in predicting the occurrence of possible cross-talks. Genetic 598 improvement should always be associated with a thorough characterization of the EPN 599 virulence, longevity, and reproduction abilities, ideally under field conditions (Bilgrami et al., 600 2006; Gaugler et al., 1989).

## 601 6. Conclusion

EPNs are promising biological control agents of numerous agricultural pests. Yet, their variable outcome in controlling pest populations in the field has hindered their use. Engineering superior EPNs with enhanced tolerance to endogenous and exogenous stresses encountered at the freeliving stage (IJs) will likely promote their efficacy. Importantly, the selection of traits of interest should be performed according to the specific characteristics of the field and target pest. Using such a precision approach may reinforce the use of biological control in sustaining food production and food security.

## 609 Acknowledgments

The authors warmly thank Dr. Ivan Hiltpold, Dr. Raquel Campos-Herrera, and Dr. David ShapiroIlan for their invitation to contribute to this special issue. We are grateful Dr. Pierre Mateo,
Sheharyar Ahmed Khan, Kristyna Filipova, Dr. Adriana Moriguchi Jeckel, and two anonymous
reviewers for the feedback they provided on a previous version of this manuscript.

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# 1316 Study model

Heterorhabditis bacteriophora, a well-established EPN model
organism to investigate the evolutionary, ecological, and genomic
mechanisms underlying adaptation to plant specialized metabolites
sequestered by their insect hosts

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# 1322 The Entomopathogenic nematode Heterorhabditis bacteriophora

1323 Entomopathogenic nematodes (EPNs) are aquatic, soil dwelling nematodes which infect and kill 1324 insects (Koppenhöfer et al. 2020; Gaugler and Kaya 1990). They are representatives of two either 1325 of two genera, Steinernema and Heterorhabditis, respectively, which are the only uncontested 1326 members of the EPN clade, while several other nematode genera and species which are also 1327 known to parasitise insects, they differ in the fact that only EPNs kill their host within a few days after infection, whereas the other insect parasitic nematodes usually live within the living insect. 1328 1329 The genus Heterorhabditis is associated with a symbiotic bacterium, Photorhabdus, which is an 1330 integral part of the life cycle and strategy of the nematode. Axenic Heterorhabditis individuals, 1331 while being able to a kill their host insect through a combination of physical damage and venom, 1332 are not able to successfully infect a host and therefore reproduce. But in combination with their 1333 symbiont, they can quickly and efficiently kill insect hosts, and this ability has been studied for their potential as natural pesticides 1980 and they have been actively used in a wide range of 1334 agricultural applications for a large part of them. However, despite this ecologically interesting 1335 1336 and promising natural herbivore control agent, not much has previously been studied regarding 1337 their genome. A reference genome has been created by (Bai et al. 2013) and the annotation has been updated by (McLean et al. 2018). Yet, due to the fragmented state of the reference genome, 1338 1339 it did not lend itself to more in-depth analysis of chromosomal regions and interactions, and not 1340 many studies have been conducted using it (Vadnal et al. 2017; Kenney et al. 2019) and

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# 1342 Maize, benzoxazinoids and the western corn rootworm

Maize is an important cereal crop grown widely, which contributes a lot of calories to human consumption, and is also used for animal feed. Maize has been cultivated agriculturally for around 9000 years, with a beginning in Mexico and continued expansion through the Americas. It has been introduced in Europe in the 1500 and is cultivated nearly globally (Rebourg et al. 2003). Maize, along with several other cereal crops such as wheat, produces a group of compounds 1348 called benzoxazinoids (Robert and Mateo 2022). Benzoxazinoids are indole derived compounds 1349 which serve a double function, partly for nutrient acquisition, particularly iron, and partly as a 1350 defence compound (Wouters et al. 2016a; Hu et al. 2018a; Hazrati et al. 2020). They are stored 1351 spatially separate, with glucosides being stored in vacuoles which only encounter  $\beta$ -1352 glucosidases upon tissue disruption, such as an herbivore attack, or upon release into the soil. 1353 Together, they then form reactive, unstable aglycones, which in turn spontaneously degrade into 1354 benzoxazolinones (Wouters et al. 2016b; Hu et al. 2018b). These compounds are toxic to 1355 herbivores but have the ability to chelate iron in the soil, thereby allowing the plant to more easily 1356 acquire essential nutrients for growth and reproduction (Zhou et al. 2018). In the herbivore, the 1357 resulting toxin can have anti-feeding, anti-digestive, or toxic effects (Wouters et al. 2016a). 1358 Benzoxazinoids are also known to have effects on the cellular level, as such they can interact 1359 with proteins and thereby impact the activity of digestive enzymes, and they also interact with 1360 DNA, as they can intercalate in there, thereby causing damage to the genetic material and 1361 affecting all downstream processes, such as transcription and translation and any proteins that 1362 are formed based on the damaged DNA (Hashimoto and Shudo 1996). These effects are a major 1363 problem for many herbivorous insects, but there are some that have adapted to these 1364 compounds and specialized in feeding on maize, such as the western corn rootworm (WCR, 1365 Diabrotica virgifera virgifera, Poinar). It is a maize specialist herbivore pest, whose larval stages 1366 feed on the roots of maize plants and whose adults can feed on leaves or reproductive organs of 1367 maize plants (cobs, silk etc) (Elliott et al. 1990). They originate in the same region as maize and 1368 have spread from Mexico throughout much of the Americas (Lombaert et al. 2018). Differently to 1369 maize they have only relatively recently been introduced to Europe, with first records occurring 1370 in the early 1990s, and have spread since then (Baca 1994). The WCR is an economically 1371 significant herbivore pest, causing >2 billion USD yearly in the US alone, both in yield loss and in 1372 pest management strategies (FAO 2017). They have developed many coping mechanisms to deal 1373 with conventional pest management strategies. They can circumvent crop rotation strategies, 1374 particularly the widely distributed maize/soybean rotation by feeding on soybean plants as adults 1375 and laying eggs in soybean fields so they can emerge in newly planted maize fields the following 1376 year (Miller et al. 2007). They have a high tolerance for chemical pesticides and genetically 1377 modified maize plants trigger adaptations in WCR which largely negate the benefits of the 1378 adaptations in what can be considered an evolutionary arms race (Meinke et al. 2021). Their 1379 coping strategy in response to BXs produced by maize is even more intricate, as they have 1380 evolved the ability to feed on maize and instead of being harmed by the toxins present therein,

they stabilize them through glycosylation and sequester them to use against their own enemies(Machado et al. 2020; Robert et al. 2017).

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# 1384 Interaction and evolutionary history

1385 The ability of the very generalist EPNs to act in a wide range of insects makes them economically interesting, since they can we used to control a wide variety of herbivore pests. However, this 1386 1387 same generality may lessen their effect on more specific threats. As such, the very specific 1388 defense the western corn rootworm employs through sequestration of plant specialized 1389 metabolites in their glycosylated form can be challenging for such a generalist natural enemy (Zhang et al. 2019). The state of the benzoxazinoids that is sequestered in WCR larvae is non-1390 1391 toxic, hence the possibility of employing this strategy, but the continued presence of the benzoxazinoids allows the insect to use it to protect itself against its own enemies, by de-1392 1393 glycosylating the compound when an attack is detected so the toxic form of the compound is 1394 released upon contact to an enemy (Robert et al. 2017). EPNs of the genus Heterorhabditis have 1395 been shown to have a significantly lower rate of infection in WCR larvae that were feeding on maize that contained BXs than maize that did not contain BXs, however, not all isolates of the 1396 1397 species had the same infection success (Zhang et al. 2019). Particularly isolates collected in 1398 Mexico and the US showed a higher infection rate than isolates from other regions of the world, 1399 such as Europe. This is due to the shared evolutionary history of the organisms in the original 1400 range of both maize and the WCR. Maize has been globally produced for a long time, as 1401 mentioned above, but since the ability to sequester BXs is not very common, H. bacteriophora 1402 from other regions of the world have not been exposed to the same level of selection pressure to 1403 develop the ability to tolerate this toxin.

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1466 Scope of the PhD thesis

# Scope – Investigating the genomics and evolution of benzoxazinoid resistance in *Heterorhabditis bacteriophora*.

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Over the course of my dissertation, I aimed to investigate a variety of aspects to the biology of EPNs in general by comparing them to other nematode species, and both the ecology, evolution and genomics of *H. bacteriophora* in particular. I created a de-novo assembled chromosome scale genome for *H. bacteriophora*, we conducted a large-scale guided evolution experiment focussing on the changes due to the presence of BXs and I conducted various population and comparative genomic analyses based on whole genome sequencing data of both different species of *Heterorhabditis* as well as different isolates of *H. bacteriophora*.

1477 In **Chapter 1**, I de novo assembled and annotated a chromosome scale high quality reference 1478 genome for *H. bacteriophora* as genomes available prior to the beginning of this project were 1479 insufficient for the analysis planned. This genome massively improved the contiguity of 1480 previously assembled resources and allowed for more in-depth analysis of chromosomal 1481 structure and differences to other genomes (**Annex I**). We also investigate what makes EPNs 1482 unique among nematodes by comparing both known and confirmed genera of EPNs to other 1483 species of nematodes, including plant parasites, mammalian parasites and free-living ones.

1484 In **Chapter 2**, we identified which *H. bacteriophora* isolates have a higher or lower tolerance to 1485 BXs and used the new reference genome to identify regions of the genome that show 1486 differentiation between susceptible and resistant populations. We also investigated the 1487 importance of the symbiotic bacterium in EPN speciation and the relative exclusivity of different 1488 species of *Photorhabdus* and *Heterorhabditis*. Chapter 1 and 2 were recently submitted to 1489 *Molecular Ecology* as one consolidated manuscript.

1490 **Chapter 3**, consists of a large-scale experimental evolution experiment where both BX 1491 susceptible and resistant EPN isolates were exposed to BXs or a control treatment for several 1492 generations, followed by an analysis of the genetic changes occurring in differently samples that 1493 were adapted to the presence of BXs compared to those that underwent a control treatment.

To conclude, I will discuss the findings discovered in this project and their importance in the field,
as well as explain what other experiments and analyses can be conducted in the future to delve
even more deeply into the world of benzoxazinoid resistance in EPNs.

1 Chapter 1 – Chapters 1 and 2 are submitted to Molecular Ecology as one manuscript

# Chapter I – A de-novo chromosome-scale genome of Heterorhabditis bacteriophora provides insight into EPNs

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11

# 12 Abstract

The growing nutritional demand of the world population poses great challenges to sustainable 13 14 and productive agriculture. Entomopathogenic nematodes (EPNs) are an economically interesting alternative to traditional methods of pest control, despite poorly understood aspects 15 16 of their biology and genomics. This study provides a comprehensive characterization of the 17 genome of Heterorhabditis bacteriophora, the place of EPNs in nematode phylogeny and the 18 genomic uniqueness of EPNs. We performed a de novo chromosome-scale assembly of the H. 19 bacteriophora genome and compared it with other nematodes, highlighting syntenic orthologs 20 and genome organization in EPNs. WE identified several orthologs that are unique to EPNs in the 21 context of the sample, such as Striatin domain-containing proteins and RBR-type E3 ubiquitin 22 transferase as well as Heparan-alpha-glucosaminide N-acetyltransferase and Glyco\_trans\_2-23 like domain-containing proteins. These insights show what makes an EPN unique through the 24 challenges they face that are different from other nematodes.

# 26 Introduction

27 The continued provision of food to the ever-growing human population largely relies on our ability 28 to maximise food production per area and reduce any losses of yield (FAO 2017), such as 29 damaging and destruction of plants through insect herbivores (Birch et al. 2011; Oerke 2006). 30 Entomopathogenic nematodes (EPNs) are parasitic nematodes that target and kill insects and 31 present a sustainable and economically viable solution for controlling herbivores. By offering an 32 environmentally friendly alternative to chemical pesticides, EPNs reduce the reliance on 33 synthetic agrochemicals and contribute to more sustainable agricultural practices (Gaugler and 34 Kaya 1990; Lu et al. 2016).

35 EPNs are naturally present in (agro)ecosystems as obligate parasites of insects and molluscs 36 (Chen et al. 2004). EPNs count at least two independently evolved genera, Heterorhabditis and 37 Steinernema (Kaya and Gaugler 1993; Burnell and Stock 2000), which host symbiotic bacteria 38 (genus Photorhabdus and Xenorhabdus respectively) that support the infection and prove fatal 39 to insects. Their ability to independently locate and infect hosts through chemical perception and 40 to attract uninfected hosts through chemical signalling make them a promising natural and 41 sustainable alternative for herbicides in the attempt to control insect herbivores . However, 42 maximizing their efficacy requires in-depth knowledge about what makes them effective insect 43 parasites (Westwood et al. 2010; Blaxter and Koutsovoulos 2015; Luong and Mathot 2019; Trejo-44 Meléndez et al. 2024).

Despite their economically interesting prospects, the genomic era of EPNs is in its infancy.-The genome of *Heterorhabditis bacteriophora* has been sequenced by (Bai et al. 2013) and has benefitted from an updated annotation by (McLean et al. 2018), although available resources are fragmented to such a degree that they hardly promote progresses in our understanding of its genomic and phenotypic evolution.

This study aimed at providing functional insights on the *H. bacteriophora* genome and adaptation
to benzoxazinoids. Following whole genome sequencing, we provide insights into the genomic
features associated with an entomopathogenic lifestyle.

# 54 Materials and Methods

# 55 Rearing of nematodes and insects

56 Thirty-five nematode isolates representing 6 different species of entomopathogenic nematodes (Heterorhabditis bacteriophora, H. beicherriana, H. georgiana. H. zacatecana, and H. ruandica) 57 58 were included in this study (Supplementary Table 1). The different nematode isolates were 59 identified using different molecular and morphological characters (Machado et al. 2021). For 60 population maintenance and amplification, greater wax moth larvae (Galleria melonella L.) were 61 infected with water suspension containing about 100 nematodes. Infected larvae were placed in 62 White traps (White, 1927), from which the emerging nematodes were collected. Nematodes were immediately frozen in liquid nitrogen and stored at -80°C before further processing in the case of 63 64 DNA extraction. For the infectivity assays, the emerging nematodes were collected in bacterial 65 culture flasks at a concentration of 50-500 living nematodes per ml and stored at 4-8°C in liquid culture (tap water) for 2-4 weeks before use. 66 67 Eggs of the Western Corn Rootworm (WCR, Diabrotica virgifera virgifera (Poinar)), provided by

68 Chad Nielson (USDA, US), were incubated at 25-28°C until hatching. They were then reared in

69 soil and fed on Maize plants provided by Delley Seeds and Plants Ltd (DSP, Delley, Switzerland)

of the line W22, and the corresponding mutant in the Benzoxazinoid pathway, bx1::W22 (Maag

- et al. 2016). They were raised on the corresponding diets until the larvae reached the L3 stage,
- 72 at which time they were used for the infectivity assay.
- 73

# 74 DNA extraction, sequencing and genome assembly

75 High molecular weight DNA was extracted from a pool of ~350,000 nematodes using the 76 Circulomics Nanobind Tissue Big DNA Kit (Circulomics, Baltimore, Maryland, United States), 77 with the addition of buffer NL specifically for the extraction of DNA from nematodes, and 78 deviations from the original protocol as follows: a volume of nematodes weighing less than 100 79 mg were combined with proteinase K and buffer NL to ensure optimal access to extract the 80 highest quality DNA possible. The extracted DNA was sequenced at the Next Generation 81 Sequencing centre of the University of Bern, using PacBio HiFi (Pacific Biosciences of California, 82 Inc.) sequencing in a single SMRTcell (Pacific Biosciences).

The genome size expected from flow cytometry was validated using a k-mer decomposition approach in TAREAN (Novák et al., 2017) and used to predict the genome size in the assembly process. The genome sequencing data was assembled using the canu pipeline with an expected genome size of 111 Mb (Ciche, 2007) and only using reads longer than 15000 bp (Koren et al.,2017).

88 Hi-C enables long-range scaffolding of short contigs. It uses the physical closeness within single 89 chromosomes to infer contiguity between different contigs in the assembly (Burton et al. 2013). 90 The crosslinking was done using formaldehyde to fix the organization of the genome and link 91 nearby loci and the HindIII restriction enzyme was added to digest chromatin, and a Phenol-92 Chloroform extraction was performed. Sequencing of the crosslinked material was done using 93 half a flow cell Illumina Sequencing at the NGS sequencing platform at the University of Bern. Contigs assembled from PacBio HiFi reads were scaffolded by crosslinked HiC data, using the 94 95 juicer pipeline (Durand et al., 2016). The resulting assembly was assessed for completeness 96 using BUSCO (nematoda\_odb10, n = 3131) in nucleotide mode (Manni et al., 2021).

97

# 98 Genome annotation

99 Transposable elements were annotated using EDTA with default settings except for --anno 1 (Ou et al. 2019). The same method of TE annotation was also used for the reference genome of *C*. 101 *elegans* to facilitate comparisons between the two genomes. Finer classifications of the TEs in 102 *H. bacteriophora* were achived using TEsorter (Zhang et al. 2022). The divergence of TE copies 103 from their consensus was estimated using parseRM (Mitra et al. 2013) on annotated TEs following 104 Ou et al. (2019) and used for relative dating of transposition events according to Maumus and 105 Quesneville (2014).

De novo annotation was done using MAKER (Cantarel et al. 2008), after having soft-masked TE annotations. In a first step, predictors in the form of a combination of RNAseq data (downloaded from ncbi for *H. bacteriophora* isolate m13e, under different stresses) and protein sequences from other nematode species (downloaded from uniport at 4.4.2022) were used. The RNAseq data was aligned to the assembly using Trinity (Grabherr et al. 2011), and then used as an input to MAKER along with the annotation data. In a second round, the evidence based-annotation was used for one pass of *ab-initio* annotation.

113

# 114 Comparative phylogenomics

For comparison purposes, genomes and protein sequences were retrieved from a range of 15
nematode species (*Globodera pallida, Bursaphelenchus xylophilus, Strongyloides ratti,*Steinernema carpocapsae, Enterobius vermicularis, Loa Loa, Brugia malayi, Toxocara canis,

Heterorhabditis bacteriophora, Teladorsagia circumcincta, Angiostrongylus cantonensis, Necator americanus, Caenorhabditis briggsae, Caenorhabditis remanei, Caenorhabditis elegans, Supplementary Table 2). The species were selected to represent a phylogenetically diverse selection of different parasitic life strategies (International Helminth Genomes Consortium 2019), including EPNs, plant parasitic nematodes (PPNs), other parasites (mammalian) as well as free-living nematodes.

124 To investigate similarities between these species, orthology between the annotations was 125 examined using Orthofinder (Emms and Kelly 2019) identifying orthogroups present in all 126 species. Orthofinder also created a phylogenetic tree from the protein sequences using STAG 127 (Species Tree from All Genes) (Emms and Kelly 2018) and rooting it using STRIDE (Emms and Kelly 128 2017). This allowed orthofinder to use all data, including multi-copy orthologs, towards robust tree generation despite high levels of duplication within the genomes. The resulting tree was 129 130 bootstrapped using iqtree (Minh et al. 2020) on the SpeciesTreeAlignment.fa output form 131 orthofinder (settings: -st AA -m LG+I+G -B 1000).

To further analyse the orthogroups of interest without *C. elegans*-centred biases, an Hmmer scan
(Finn et al. 2011) was conducted to find putative function for genes, where protein sequences
obtained from the orthogroups genes were used as input and the basic settings were used with
E-value cut-offs as Significant E-values of 0.01 for a sequence and 0.023 for a hit.

136

# 137 Results

# 138 A nearly complete Heterorhabditis bacteriophora genome

The genome of *H. bacteriophora*, strain m13e, was sequenced using PacBio Hifi (mean read length: 12,939 bp; coverage ca. 250x), assembled using Canu (Koren et al. 2017) and scaffolded by Hi-C using the Juicer pipeline (Dudchenko et al. 2018). Consisting of 226 scaffolds (N50: 11,8 Mb; Busco score: 93.6% complete), the resulting assembly reached a total size of 85 Mb, being close to the genome size estimated by k-mer decomposition analysis (105 Mb) as well as flow cytometry (i.e. 111.7 Mb; Ciche 2007). The seven largest scaffolds (>9 Mbp) (Figure 1) corresponding to the expected number of chromosomes according to Curran (1989).

A total of 13,832 copies of transposable elements (TEs) were annotated using EDTA (Ou et al. 2019), summing up to 12% of the chromosome-scale scaffolds. Consistent with the similarly repetitive genome of *C. elegans* (Cutter et al., 2009), abundant TEs in *H. bacteriophora* included a majority of Mutator DNA transposons (5.04%) and BelPao LTR retrotransopsons (~1.5%;

Supplementary Table 3). However, in contrast to *C. elegans* and its relatives (e.g. Woodruff and Teterina, 2020), TEs appeared particularly abundant in the centre of scaffolds rather than along chromosome arms in *H. bacteriophora* and showed evidence of recent transposition according to their divergence (Supplementary Figure 1 A&B). In particular, Mutator transposons appeared to have contributed more than 4 Mb of interspersed sequences in the distant past (i.e. ~10 MYA), whereas Gypsy LTR retrotransposons proliferated recently (i.e. ~4 MYA) and specifically comprised almost 2.5 Mb across centres of chromosomes in *H. bacteriophora*.

A total of 17,787 gene models containing on average 6.5 exons were supported by a combination 157 of ab initio annotation, RNAseq-based evidence, and annotations from other nematodes, 158 corresponding to 75 Mb and thus encompassing approximately 88% of the genome assembly. 159 160 With this gene annotation, showing a score of 79.7% complete BUSCOs (based on the nematode dataset nematoda\_odb10, n = 3131), the assembly presented 2040 additional genes as 161 compared to previously released assemblies (Bai et al. 2013; McLean et al. 2018). The assembly 162 presented here showed greatly improved contiguity, with a N50 of 11,8 Mb as compared to 312 163 164 kb of the prior version, supporting a nearly complete *H*. bacteriophora genome.



### 165

166 Figure 1: Comparative genomics of Heterorhabditis bacteriophora and nematodes. A: Chromosome-scale assembly 167 of the genome of H. bacteriophora (strain m13e) in comparison to Caenorhabditis elegans, with orthologous 168 relationships shown as lines connecting their chromosomes, with blocks of five syntenic genes displayed in bold. Lines 169 on the outer circle show the density of genes (blue) and transposable elements (red) along chromosomes. The short 170 lines perpendicular to each chromosome represent the scale of the chromosomes in Mb. B: Phylogeny with bootstrap 171 support (on tree nodes) based on orthologous genes of 15 species of nematodes (Globodera pallida, Bursaphelenchus 172 xylophilus, Strongyloides ratti, Steinernema carpocapsae, Enterobius vermicularis, Loa Loa, Brugia malayi, Toxocara 173 canis, Heterorhabditis bacteriophora, Teladorsagia circumcincta, Angiostrongylus cantonensis, Necator americanus, 174 Caenorhabditis briggsae, Caenorhabditis remanei, Caenorhabditis elegans) coloured according to their lifestyle

175 (black: mammalian parasites, blue: free living, orange: EPN, green: plant parasitic) showing the number of genes

176 identified in the annotation, their percentage forming orthogroups and the number of species-specific orthogroups.

### 177 Genomic comparison with other nematodes reveals elements of

# 178 pathogenicity

A coalescence-based phylogenetic tree based on gene trees from all orthologous groups among
15 mostly parasitic nematodes (Supplementary Table 2) placed *H. bacteriophora* as ancestral to
a monophyletic clade including mammalian parasites, plant parasitic nematodes, and the other
EPN *Steinernema carpocapse* as well as the clade of free-living *Caenorhabditis* nematodes
(Figure 1B). The large phylogenetic distance between the two EPN species is consistent with the
notion that entomopathogenicity evolved multiple independent times.

Orthologous genes annotated across this set of nematode species identified 266 orthogroups 185 186 present exclusively in *H. bacteriophora* (Supplementary Table 4). Although only 17 orthogroups 187 were specific to both EPN species as compared to other life strategies, most of the 188 corresponding genes in H. bacteriophora showed no clear orthology in C. elegans 189 (Supplementary Table 5). Accordingly, to infer their putative functions, we searched for protein 190 domains with Hmmer (Finn et al. 2011) and found several candidates such as Striatin domain-191 containing proteins which are involved in calcium dependent phosphorylation or 192 dephosphorylation and may function as scaffolding or signalling protein promoting tolerance of 193 EPNs to the immune system of insects (Tanti et al. 2023). Similarly, RBR-type E3 ubiquitin 194 transferase being involved in ubiquitin conjugation (Kodama et al. 2007) and Heparan-alpha-195 glucosaminide N-acetyltransferase involved in the lysosomal degradation of heparin sulfate may 196 increase immune system tolerance (Schiff et al. 2020), whereas Glyco\_trans\_2-like domain-197 containing proteins involved in glycosyltransferase during the biosynthesis of sugars can play a 198 role to cope with bacteria as food source in EPNs (Sobhanifar et al. 2016) (Supplementary Table 199 5)

200

# 201 Discussion

Entomopathogenic nematodes are an ecologically and economically interesting biological alternative to chemical pesticides. To better understand their biology and facilitate improvement in infection rates and host defense tolerance, we present a novel high quality, contiguous assembly and annotation of the EPN *H. bacteriophora*, as well as population genomic analysis into EPNs in general and benzoxazinoid resistance in particular.

207

# The genome of Heterorhabditis *bacteriophora* and comparison with other nematodes

210 The genome of Heterorhabditis bacteriophora assembled here added more than 8 Mb to the 211 previously available resources (Bai et al. 2013) and yielded an assembly of 85 Mb being resolved 212 into seven large scaffolds corresponding to chromosomes. On top of considerably improved 213 contiguity, this genome model is likely nearly complete, although its size is lower than estimated 214 by flow cytometry or k-mer decomposition as could be expected from an organism containing 215 symbionts or from collapsed rDNA and other repeats. This new resource opens avenues for 216 detailed genomic investigations of *H. bacteriophora* and entomopathogenic nematodes in 217 general, including the characterization of factors influencing their efficacy as natural pest control 218 of economic and ecological importance. It further promotes our understanding of genome 219 evolution among highly diverse nematodes and unlocks opportunities to assess evolutionary 220 processes having shaped populations of H. bacteriophora in response to their bacterial 221 symbionts as well as insect hosts, helping to unlock the potential of EPN genetics for pest control 222 in sustainable agriculture (Maushe et al. 2023).

223 The organisation of the genome in *H. bacteriophora*, as seen from the distribution of both genes 224 and TEs, is surprisingly different from C. elegans. Genes indeed appeared evenly distributed 225 across whole chromosomes, contrasting with their lower density across distal regions of 226 chromosomes in C. elegans. Even more contrasted, the TE density was highest in the centre of 227 chromosomes in H. bacteriophora, whereas TEs are most abundant on the arms of 228 chromosomes in C. elegans. Given that C. elegans is one of the most well-known examples of a 229 species with holocentric chromosomes (Albertson and Thomson 1993), this questions the 230 general assumption that chromosomes in *H. bacteriophora* are organized and segregate as in the 231 model species (Carlton et al. 2022). Some nematode species such as Trichinella spiralis 232 (Subirana and Messeguer 2013) have indeed been shown to be monocentric. The recent 233 accumulation of Gypsy LTR retrotransposons in the centre of chromosomes in H. bacteriophora 234 mimics their high density across non-recombining pericentromeric regions of e.g. plant 235 chromosomes (Kumar and Bennetzen 1999; Wlodzimierz et al. 2023), suggesting that the 236 distribution of kinetochore activity shall be further assessed among nematodes.

With *H. bacteriophora* being in distantly related to *Steinernema carpocapsae*, EPNs are
 confirmed as polyphyletic. Consistent with previous evidence that entomopathogenicity evolved
 multiple times in nematodes, evolution towards obligate insect parasitism has been postulated

240 to rely on the close association between nematodes and their symbiotic bacteria (Trejo-241 Meléndez et al. 2024). Beyond symbiotic interactions, gene families related to protease and 242 protease inhibitor families have been associated with parasitism in Steinernematids (Dillman et 243 al. 2015). Accordingly, genes encoding for Striatin domain-containing proteins and RBR-type E3 244 ubiquitin transferase were here shown to be specifically enriched among investigated EPNs, which both influence protein structure, as to potentially promote their tolerance to specific 245 246 immune responses when infecting insects. One possible reason for a specific adaptation to 247 insect immune systems is that the antimicrobial peptides are produced differently in insect than 248 they are in mammals, which would explain a need for a change in the response (Sheehan et al. 249 2018). Other candidates for entomopathogenicity are Glyco\_trans\_2-like domain-containing 250 proteins, whose involvement in the biosynthesis of sugars may be an adaptation to the high level 251 of symbiosis with bacteria, where it could have an effect on the digestion of bacteria.

# 252 Acknowledgements:

253 We would like to thank the NGS platform of the University of Bern for the PacBio sequencing.

The work of VO was supported by the Swiss National Science Foundation [310030\_192564] to ME. The work of MP was supported by the Swiss National Foundation [310030L\_197839] to CP. The work of S.G. was supported by the Swiss National Science Foundation (310030\_200704). The work of CAMR was supported by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme [ERC-2019-STG949595] and the Swiss National Science Foundation [310030\_189071]

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Supplementary Figure 1: Divergence and distribution of annotated TEs in Heterorhabditis bacteriophora. A) Percentage of divergence between TE copies and the consensus sequence representative of their TE family as estimate of the time spent since transposition. B) Distribution of TE copies from different families as annotated along the chromosomes.

# 304 Supplementary Tables

- 305 Supplementary Table 1: Isolates of Heterorhabditis used in the study. Including information regarding if any infectivity
- 306 assay has been conducted and if applicable is they were susceptible of resistant to benzoxazinoids.

Isolate	species	country	Infectivity	Susceptibility
			assessed	to
				benzoxazinoids
0943	H. bacteriophora	Turkey	Yes	Yes
Ма	H. ruandica	Rwanda	No	NA
Вој	H. bacteriophora	Iran	Yes	No
RW14Art	H. ruandica	Rwanda	No	NA
CN4e	H. beicherriana	China	No	NA
H06	H. beicherriana	China	No	NA
DE2	H. bacteriophora	Germany	Yes	No
DE6	H. bacteriophora	Germany	Yes	Yes
EN01e	H. bacteriophora	Commercial	Yes	Yes
Hb17	H. bacteriophora	Turkey	Yes	No
Hbbio	H. bacteriophora	Usa	Yes	No
HU2e	H. bacteriophora	Hungary	Yes	No
IL9e	H. bacteriophora	Australia	Yes	No
IR2e	H. bacteriophora	Iran	Yes	No
IT6e	H. bacteriophora	Italy	Yes	No
m13e	H. bacteriophora	Trinidad and	No	NA
		Tobago		
MEX23	H. bacteriophora	Mexico	Yes	No
MEX20	Heterorhabditis sp	Mexico	No	NA
MEX32	H. bacteriophora	Mexico	Yes	No
MEX37	H. bacteriophora	Mexico	Yes	No

MG618b	H. bacteriophora	Switzerland	Yes	Yes
MEX40	H. zacatecana	Mexico	No	No
MEX41	H. zacatecana	Mexico	No	No
PT1e	H. bacteriophora	Portugal	Yes	No
RBP	H. bacteriophora	Spain	Yes	No
RW14	H. bacteriophora	Rwanda	No	NA
S12	H. bacteriophora	USA	Yes	No
Hbb	H. georgiana	USA	No	NA
S14	H. bacteriophora	USA	Yes	No
S15	H. bacteriophora	USA	Yes	No
S5P8	H. bacteriophora	USA	Yes	No
S7	H. bacteriophora	USA	Yes	No
TT01	H. bacteriophora	Trinidad_Tobago	Yes	Yes
VM1	H. bacteriophora	Spain	Yes	No
S8	Heterorhabditis sp.	USA	No	NA

Supplementary Table 2: List of the species used for the orthofinder analysis, including the information regarding the quality of the assembly and the accession number.

	Common name	Life strategy	Genome	scaffolds	N50	# Genes	Accession
Scientific name			size				
	potato cyst nematode	Plant	119.6 Mb	173	2.2 Mb	7,870	GCA_023343765.
Globodera pallida		parasitic					1
Bursaphelenchus	Pine wood nematode	Plant	78.3 Mb	11	12.8 Mb	15,884	GCA_904066235.
xylophilus		parasitic					2
	NA	Parasite	43.2 Mb	135	11.7 Mb	12,446	GCA_001040885.
Strongyloides ratti							1
Steinernema	NA	EPN	84.5 Mb	16	7.4 Mb	30,825	GCA_000757645.
carpocapsae							3
Enterobius	Human pinworm	Parasite	150.1 Mb	19,832	20.6 kb	12,895	GCA_900576705.
vermicularis							1
	African eye worm	Parasite	91.4 Mb	5,764	174.4	15,040	GCA_000183805.
Loa Loa					kb		3
	lymphatic filariasis	Parasite	87.2 Mb	196	14.2 Mb	11,688	GCA_000002995.
Brugia malayi							5

	Dog roundworm	Parasite	317.1 Mb	22,857	375.1	18,596	GCA_000803305.
Toxocara canis					kb		1
Heterorhabditis		EPN	85 Mb	226	12 Mb	17,787	GCA_000223415.
bacteriophora							1
Teladorsagia	NA	Parasite	700.6 Mb	81,730	47.1 kb	26,258	GCA_002352805.
circumcincta							1
Angiostrongylus	Rat lungworm	Parasite	293.3 Mb	26,577	860.8	10,314	GCA_009735665.
cantonensis					kb		1
	New world hookworm	Parasite	244.1 Mb	11,864	211.9	19,663	GCA_000507365.
Necator americanus					kb		1
Caenorhabditis	NA	Free living	108.4 Mb	638	727.9	22,727	GCA_000004555.
briggsae					kb		3
Caenorhabditis	NA	Free living	130.5 Mb	186	21.5 Mb	26,296	GCF_010183535.1
remanei							
Caenorhabditis	NA	Free living	100.3 Mb	6	17.5 Mb	46,927	GCA_000002985.
elegans							3

Supplementary Table 3: Statistics regarding the annotated TEs. A) TEs annotated through the use of EDTA, including LTRs, TIRs and non-TIRSs. The count shows how many times they appear in the genome, bpMasked shows how many base pairs are masked as TEs for future analysis, such as annotation. % masked shows what percentage of the genome is represented in such masked regions. B) Further classification of annotated TEs using TEsorter, including both Bel-Pao and Copia, which had not been identified using ETDA.

# EDTA output

Class	Count	bpMasked	%maske	d
LTR				
Gypsy	2,968	2,455,998	3.02	
Unknown	857	557,579	0.69	
TIR				
CACTA	1,844	909,821	1.12	
Mutator	5,707	4,095,822	5.04	
PIF_Harbinger	88	36,545	0.04	
Tc1_Mariner	552	313,681	0.39	
hAT	434	187,400	0.23	
nonTIR				
Heliotron	1,382	1,012,416	1.25	
Total interspersed	13,832	9,569,262	11.78	
TEsorter output				
Order Superfamily	# of sequ	uences # o	f clades	# of full Domain

LTR	Bel-Pao	1211	0	92
LTR	Copia	84	1	0
LTR	Gypsy	869	4	9
LTR	Retrovirus	1	0	0
LTR	Mixture	2	0	0
LINE	Unknown	2	0	0
TIR	Tc1_Mariner	2204	0	0
TIR	hAT	70	0	0

Supplementary Table 4: GO term enrichment analysis for orthogroups only found in H. bacteriophora and not in any of the other nematode species used in this study.

-- biological processes\_ \_genes.txt --

	GO.ID	Term	Annotated	Significant	Expected	P-value
		negative regulation of protein localization to				
1	GO:1904780	centrosome	10	1	0.01	0.0075
2	GO:0051228	mitotic spindle disassembly	10	1	0.01	0.0075
3	GO:0030970	retrograde protein transport, ER to cytosol	10	1	0.01	0.0075
		ER-associated misfolded protein catabolic				
4	GO:0071712	process	10	1	0.01	0.0075
5	GO:0097352	autophagosome maturation	11	1	0.01	0.0083
6	GO:0034504	protein localization to nucleus	21	1	0.02	0.0158
7	GO:1902476	chloride transmembrane transport	23	1	0.02	0.0173
8	GO:0030433	ubiquitin-dependent ERAD pathway	24	1	0.02	0.0181
9	GO:0036498	IRE1-mediated unfolded protein response	41	1	0.03	0.0307

--

molecular\_functions\_genes.txt

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	GO.ID	Term	Annotated	Significant	Expected	P-value
		polyubiquitin modification-dependent protein				
1	00.0001500	binding	1.4	1	0.01	0 011
T	GO:0031593	binding	14	1	0.01	0.011
2	GO:0005254	chloride channel activity	23	1	0.02	0.018
3	GO:0042802	identical protein binding	36	1	0.03	0.029

Supplementary Table 5: Results of the Hmmer search of 17 H. bacteriophora genes that represent the 17 orthogroups that were found to be unique to EPNs.

Gene name	#	code	characterization	E-value
hbac_00007187-RA	7	AOAON4T1Z9_BRUPA	Uncharacterized protein	1.6e-10
hbac_00004341-RA	28	W7XG84_TETTS	Uncharacterized protein	3.9e-14
hbac_00004291-RA	2280	A0A158R3L6_NIPBR	Striatin domain-containing protein	8.9e-26
hbac_00005379-RA	14	A0A498SNK3_ACAVI	Uncharacterized protein	2.0e-13
hbac_00002450-RA	273	A0A6G1R074_9TELE	Uncharacterized protein	1.6e-35
hbac_00000927-RA	40	AOAON4YNV9_NIPBR	Uncharacterized protein	2.9e-18
hbac_00004141-RA	23	A0A0R3PIMO_ANGCS	Uncharacterized protein	1.3e-36
hbac_00000741-RA	3	H3FD44_PRIPA	RBR-type E3 ubiquitin transferase	1.5e-08
hbac_00011793-RA	3296	A0A1D2MH46_ORCCI	Heparan-alpha-glucosaminide N-	2.1e-69
			acetyltransferase	
hbac_00010881-RA	33	A0A016VRK7_9BILA	Glyco_trans_2-like domain-containing	4.2e-11
			protein	
hbac_00011921-RA	6628	T1J5Y8_STRMM	Uncharacterized protein	3.8e-41
hbac_00007326-RA	7	AOAON4X407_HAEPC	Uncharacterized protein	4.6e-10
hbac_00000697-RA	26	A0A3P6R0L6_CYLGO	Uncharacterized protein	1.3e-14
hbac_00005144-RA	12	A0A016UBG3_9BILA	Uncharacterized protein	3.8e-17
hbac_00005179-RA	334	A0A3Q2UC95_CHICK	VWFD domain-containing protein	2.2e-
				100
hbac_00009700-RA	74	AOAOKODM32_ANGCA	Uncharacterized protein	5.2e-54
hbac_00012213-RA	15	AOAOKOG3U8_STRVS	DNA polymerase epsilon catalytic subunit	2.4e-20

1 Chapter 2 – Chapters 1 and 2 are submitted to Molecular Ecology as one manuscript

# Chapter II – Plant toxin resistance of *Heterorhabditis bacteriophora* and the relationship with its symbiotic bacterium

5

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- 10

# 11 Abstract

The growing nutritional demand of the world population poses great challenges to sustainable 12 13 and productive agriculture. Entomopathogenic nematodes (EPNs) are an economically 14 interesting alternative to traditional methods of pest control, despite poorly understood aspects 15 of their biology and genomics. This study provides an insight into the importance of the symbiotic 16 relationship between an EPN and its symbiotic bacterium and the EPNs capacity to resist 17 benzoxazinoids that are sequestered as defense compounds by an important insect pest. We 18 performed phylogenetic analyses and genetic structure within Heterorhabditis characterized 19 species being largely inconsistent with the delineation in the *Photorhabdus* endosymbiotic 20 bacterial species, suggesting that H. bacteriophora have evolved partially independently of their 21 endosymbionts. Population genomics analyses within H. bacteriophora identified genetic 22 variation distinguishing between strains susceptible and resistant to benzoxazinoids. Genome-23 wide differentiation ( $F_{ST}$ ) pointed to genomic regions related to deoxyribonucleotide biosynthetic 24 processes, polypeptide N-acetylgalactosaminyltransferase activity and single-stranded DNA 25 endodeoxyribonuclease activity that were shaped by strong selective pressures. Having 26 identified candidate genes associated with insect pathogenicity and benzoxazinoid resistance, 27 our findings provide a foundation for future work on the efficacy and infectivity of EPNs in pest 28 management.

- 29
- 30

# 31 Introduction

32 The continued provision of food to the ever-growing human population largely relies on our ability 33 to maximise food production per area and reduce any losses of yield (FAO 2017), such as 34 damaging and destruction of plants through insect herbivores (Birch et al. 2011; Oerke 2006). 35 Entomopathogenic nematodes (EPNs) are parasitic nematodes that target and kill insects and 36 present a sustainable and economically viable solution for controlling herbivores. By offering an 37 environmentally friendly alternative to chemical pesticides, EPNs reduce the reliance on 38 synthetic agrochemicals and contribute to more sustainable agricultural practices (Gaugler and 39 Kaya 1990; Lu et al. 2016).

40 EPNs are naturally present in (agro)ecosystems as obligate parasites of insects and molluscs 41 (Chen et al. 2004). EPNs count at least two independently evolved genera, Heterorhabditis and 42 Steinernema (Kaya and Gaugler 1993; Burnell and Stock 2000), which host symbiotic bacteria 43 (genus Photorhabdus and Xenorhabdus respectively) that support the infection and prove fatal 44 to insects. Their ability to independently locate and infect hosts through chemical perception and 45 to attract uninfected hosts through chemical signalling make them a promising natural and 46 sustainable alternative for herbicides in the attempt to control insect herbivores . However, 47 maximizing their efficacy requires in-depth knowledge about what makes them effective insect 48 parasites (Westwood et al. 2010; Blaxter and Koutsovoulos 2015; Luong and Mathot 2019; Trejo-49 Meléndez et al. 2024).

50 While H. bacteriophora has been shown to be effective in controlling pest herbivores (Hiltpold et 51 al. 2010), its effectiveness can be reduced in specialist herbivores that sequester and use plant specialized metabolites (Robert et al. 2017). The Western Corn Rootworm (WCR, Diabrotica 52 53 virgifera virgifera) is a devastating specialist herbivore, whose larvae specifically feeds on maize 54 roots (Eben 2022). The larval stage of this specialist herbivorous beetle causes damages worth 55 >2 billion USD a year (FAO 2017). Originating from southern North America (Mexico), WCR has 56 adapted to maize defenses (Eben 2022; Robert et al. 2017), which include a group of indole-57 derived specialized metabolites called benzoxazinoids that act in both nutrient (iron) acquisition 58 and defense (Robert and Mateo 2022). To circumvent these effects, WCR larvae have developed 59 the ability to not only tolerate benzoxazinoids, but also to sequester and stabilize some of them, 60 namely MBOA and HDMBOA-Glc, for use as toxic compounds against their own enemies, such as EPNs and their endosymbionts (Robert et al. 2017). MBOA is stabilized through glucosylation, 61 62 and continuously exuded by the larvae as MBOA-Glc that is repellent for EPNs and sufficient to 63 decrease infection (Zhang et al. 2019). HDMBOA-Glc is sequestered in the haemolymph and can 64 be converted to MBOA upon EPN infection. Interestingly, *H. bacteriophora* strains that originate

65 in the same area of the world as maize and the WCR have developed the ability to cope with the 66 presence of benzoxazinoids in their host (Zhang et al. 2019). In contrast, H. bacteriophora from other parts of the world such as Europe, where the herbivore was introduced relatively recently 67 68 (~50 years ago) (Bažok et al. 2021), remain susceptible to benzoxazinoids (Zhang et al. 2019). 69 Previous work has shown that, under laboratory conditions, susceptible EPNs can adapt to the 70 presence of benzoxazinoids within as little as five generations (Zhang et al. 2019). The 71 mechanistic and genomic basis of benzoxazinoid resistance in H. bacteriophora remains unclear 72 (Zhang et al. 2019).

This study aimed at providing functional insights on the *H. bacteriophora* genome and adaptation to benzoxazinoids. Following whole genome sequencing, we provide insights into the genomic features associated with an entomopathogenic lifestyle. Furthermore, we investigate how *Heterorhabditis* species differ genetically and how much the speciation of the nematodes and their symbiotic bacteria influence each other. Finally, we compare the genomes of *H. bacteriophora* strains that are resistant and susceptible to benzoxazinoids to identify candidate genes involved in this important trait.

80

# 81 Materials and Methods

### 82 Rearing of nematodes and insects

83 Thirty-five nematode isolates representing 6 different species of entomopathogenic nematodes 84 (Heterorhabditis bacteriophora, H. beicherriana, H. georgiana. H. zacatecana, and H. ruandica) 85 were included in this study (Supplementary Table 1). The different nematode isolates were 86 identified using different molecular and morphological characters (Machado et al. 2021). For 87 population maintenance and amplification, greater wax moth larvae (Galleria melonella L.) were 88 infected with water suspension containing about 100 nematodes. Infected larvae were placed in 89 White traps (White, 1927), from which the emerging nematodes were collected. Nematodes were 90 immediately frozen in liquid nitrogen and stored at -80°C before further processing in the case of 91 DNA extraction. For the infectivity assays, the emerging nematodes were collected in bacterial 92 culture flasks at a concentration of 50-500 living nematodes per ml and stored at 4-8°C in liquid 93 culture (tap water) for 2-4 weeks before use.

- 94 Eggs of the Western Corn Rootworm (WCR, *Diabrotica virgifera* virgifera (Poinar)), provided by
- 95 Chad Nielson (USDA, US), were incubated at 25-28°C until hatching. They were then reared in
- 96 soil and fed on Maize plants provided by Delley Seeds and Plants Ltd (DSP, Delley, Switzerland)
- 97 of the line W22, and the corresponding mutant in the Benzoxazinoid pathway, bx1::W22 (Maag

- 98 et al. 2016). They were raised on the corresponding diets until the larvae reached the L3 stage,
- 99 at which time they were used for the infectivity assay.

### 100 Whole-genome sequencing within *Heterorhabditis*

- 101 A total of thirty-five nematode isolates were collected from locations all over the world,
- 102 particularly from North-America and Europe (Supplementary Table 1). These isolates were
- 103 molecularly and morphologically identified and assigned to the different species
- 104 (Heterorhabditis bacteriophora, H. beicherriana, H. georgiana, H. zacatecana and H. ruandica).
- 105 DNA was extracted using the Analytik Jena DNA extraction kit according to the manufacturer's
- 106 instructions with some adjustments, which are mainly using a pellet pestle motor to
- 107 homogenize pelleted nematodes in a small amount of lysis buffer before adding the remaining
- 108 buffer and continuing with the extraction. The extracted DNA was then used for PCR free library
- 109 preparation and the libraries were 150 bp paired-end sequenced using Illumina sequencing at
- 110 the NGS platform at the University of Bern. Sequencing was done on half a flow cell, resulting in
- 111 a total of 44.4 gb data (~1.2 GB per isolate)
- 112 The whole genome sequences were aligned to the reference genome using gatk (Version
- 113 4.2.0.0) (McKenna et al. 2010). The aligned vcf file was then filtered using a combination of gatk
- 114 (Version 4.2.0.0) and bcftools (Li 2011) as to only retain SNPs with a minimum depth >10, a
- 115 minor allele frequency > 0.1 and less than 10% missing data. Filtering for neutral SNPs for some
- 116 of the analysis was done using SNPeff (Cingolani et al. 2012)

# 117 Population genetic structure

- 118 The best likelihood phylogenetic tree for both the nematode and the symbiotic bacterium was
- 119 created based on concatenated SNPs using RAxML, using the standard settings (GTRGAMMA)
- 120 (Stamatakis 2014). To compare the phylogenetic trees of the nematode (host) and the
- 121 bacterium (symbiont), the R package dendextend (Galili 2015) was used. The Baker's gamma
- 122 index was estimated as a measure of association between the two phylogenies using the
- 123 cor\_bakers\_gamma function. A permutation test was performed by randomly shuffling the
- 124 labels on the nematode tree 100 times to assess the null distribution of Baker's gamma and
- 125 checking if the observed nematode tree is significantly more associated with the symbiont tree
- 126 than expected by chance. Visualization of the tanglegram was done in R using a combination of
- 127 the dendextend package and base R.
- 128 The species of the symbiotic bacteria were defined based on a combination of 16S sequencing,
- 129 housekeeping genes and whole genome sequencing (Machado et al. 2024). Association
- 130 between nematode and bacterial species (particularly *H. bacteriophora* and *P. laumondii* was

131 determined using a X<sup>2</sup> test by comparing the number of *H*. bacteriophora isolates in symbiosis

132 with *P. laumondii* subsp. *laumondii* and with other bacterial species to non-bacteriophora

133 species in association with *P. laumondii* subsp. *laumondii* and with others. The same analysis

134 was conducted for susceptible and resistant isolates of *H. bacteriophora* associated with *P*-

135 *laumondii* subsp. *laumondii* and others.

136 For the nematode and the symbiotic bacterium, genetic variation was a posteriori partitioned

among 2 to 7 and 2 to 10 K groups respectively, using STRUCTURE (Pritchard et al. 2000) with

138 10,000 BURNIN and 100,000 repetitions after Burnin using the admixture model. The best K was

139 determined using the highest delta K produced by Structure Harvester (Earl and vonHoldt 2012)

and the outputs of multiple runs of STRUCTURE were consolidated using CLUMPP (Jakobsson

141 and Rosenberg 2007).

142 Isolation by distance between Heterorhabditis species was tested using Loiselle kinship

143 coefficients (Loiselle et al. 1995) based on the first 10000 SNPs of each isolate estimated with

144 Spagedi (Hardy and Vekemans 2002) as genetic distances and associated to Euclidean spatial

145 distances based on longitude and latitude, using the R package 'vegan' (Oksanen et al. 2001).

146

# 147 Infectivity assays and identification of susceptible vs resistant isolates

148 To assess the infectivity of the different isolates of *H. bacteriophora*, an infectivity assay in WCR 149 larvae containing benzoxazinoids or not containing benzoxazinoids was conducted. For this, 1.25 150 oz solo cups (frontier agricultural sciences) were filled with 3.5 g of autoclaved sand (LANDI 151 Schweiz AG, Dotzingen, Switzerland). To each cup, 5 WCR larvae were added. For each isolate 152 and each diet, 5 cups with 5 larvae were used, bringing the total number of larvae to 25 per 153 treatment. To each solo cup, 50 infective juveniles were added (counted under the microscope, 154 mean of 3 drops of 5 µl). To ensure similarity of treatment, it was made sure to add a total of 600 155 µl liquid to each cup, adding tap water to close the difference, as well as in control treatments. 156 The solo cups were then incubated at 25°C in the dark until data collection. Data collection was 157 done at days 3-7, by visually checking the larvae for infectivity (denoted by clear red colouring 158 and stiffness of dead larvae)

The percentage of infected larvae at day 7 post infection was collected from different experiments. To account for potential differences in different experiments, at least 1 isolate was always used in multiple experiments, to ensure comparability. In 3 experiments that isolate was DE6, and in 2 IL9. Those data were them used to correct the other experiments to make sure that the same isolate always had the same mean. The data was then plotted in R, using the ggplot2 package (Hadley Wickham 2016). Infectivity data was also assessed on days 3-6, which any
isolate showing significant (t-test) susceptibility to benzoxazinoids being considered for further
experiments.

167

### 168 Genome scans

169 To assess genetic differences underlying resistance vs susceptibility to benzoxazinoids, genetic 170 differentiation ( $F_{ST}$ ) and divergence ( $d_{xy}$ ) among populations were estimated based on SNPs using 171 the PopGenome package (Pfeifer et al. 2014). F<sub>st</sub> and d<sub>xy</sub> analysis between a group of susceptible 172 and a group of resistant isolates was conducted in R using the PopGenome package (Pfeifer et 173 al. 2014), with non-overlapping sliding windows of 5 biallelic SNPs and visualized in using the 174 ggplot2 package (Hadley Wickham 2016). Outlier windows presenting higher values than the 3rd 175 quartile plus 1.5 times the interquartile range of the complete dataset were identified for both  $F_{st}$ 176 and d<sub>xy</sub> independently. Provided that differentiation can artificially inflate in regions of low diversity (Cruickshank and Hahn 2014), only windows presenting outlier values for both  $F_{ST}$  and 177 178 d<sub>xy</sub> were considered as candidate loci shaped by selection. Genes partly or completely 179 encompassed by outlier windows were considered as putatively under selection and used in a 180 GO term enrichment analysis as well as in a Hmmer search (Finn et al. 2011).

181

### 182 Go enrichment analyses

GO-term annotations available for *C. elegans* were used and assigned to other nematodes
species using blast (Altschul et al. 1990). This annotation as then used to conduct the GO term
enrichment analysis, using the topGO package on R with the standard weight correction (Alexa A
2023). We only considered GO-terms with a P-value of <0.05 for analysis.</li>

187

### 188 Results

189 Co-evolution of *Heterorhabditis* nematodes and their *Photorhabdus*190 symbionts

A total of 35 *Heterorhabditis* isolates representing five species (*H. bacteriophora, H. beicherriana, H. georgiana. H. zacatecana*, and *H. ruandica*) were characterized with wholegenome sequence data mapped to the new reference genome (mean coverage ~18x). This
resulted in 3,371,445 high-quality SNPs that were concatenated to infer a RAxML likelihood

195 phylogeny that highlighted H. ruandica and H. zacatecana as well as H. georgiana and H. beicherriana as sister species, whereas H. bacteriophora appeared as early diverged from the 196 197 other species (Figure 2). Genetic differentiation using STRUCTURE on a subset of 332'941 neutral 198 SNPs optimally grouped the different Heterorhabditis isolates into three genetically related 199 clusters (optimal K = 3)(Earl and vonHoldt, 2012 (Pritchard et al. 2000)) (Supplementary Figure 1). 200 More specifically, STRUCTURE clustered H. beicherriana and H. georgiana as well as H. 201 zacatecana and H. ruandica together into two groups differentiated from H. bacteriophora, 202 confirming phylogenetic insights and supporting their sister species status (Figure 2). Beyond the 203 widespread H. bacteriophora presenting isolates in multiple regions, samples from other 204 species were indeed geographically isolated from one another (Supplementary Figure 2, 205 Supplementary Table 1), with H. ruandica (from Africa) and H. beicherriana (from Eastern 206 Eurasia) appearing largely allopatric. Geographic isolation hence appears to poorly represent the 207 extent of genetic differentiation between taxa.

208





218 bacteriophora, as well as several strains that seem ancestral to all other H. bacteriophora symbionts as well as the

219 *other* Heterorhabditis *symbionts*.

220

221 To assess the extent to which genetic differentiation among symbiotic bacteria associates with 222 genetic differentiation among nematodes, we characterized genotypes of Photorhabdus by 223 mapping raw sequencing reads to the genome of Photorhabdus laumondii subsp. laumondii 224 (isolate TT01) to yield 79'816 SNPs of a minimum depth of 3. STRUCTURE analysis on the 225 bacterial genotypes grouped them into four related clusters (optimal K = 4) (Supplementary 226 Figure 3). The first genetic cluster included P. thracensis symbionts which are associated to H. 227 bacteriophora. A second cluster included P. kayaii which are associated to H. bacteriophora, P. 228 bodei which are associated to H. beicherriana, and P. kleinii which are associated to H. georgiana 229 and H. zacatecana. A third cluster included P. laumondii subsp. clarkei and P. laumondii subsp. 230 laumondii which are associated to H. bacteriophora. Lastly, the fourth cluster, closely related to 231 the third cluster was represented by P. laumondii subsp. laumondii XMEX20 which is associated 232 to H. bacteriophora.

233 Despite inconsistent genetic structure of the nematodes and their bacterial symbionts, a co-234 phylogenetic analysis highlighted that their phylogenies are more similar (Baker's gamma = 235 0.386) than expected by chance as assessed from a permutation test (P-value < 0.01)(Figure 2, 236 Supplementary Figure 4). The monophyletic clade of Heterorhabditis species (i.e. H. 237 beicherriana, H. zacatecana, and H. georgiana) was associated with more diverse symbionts also forming a monophyletic group (assigned to P. kleinii and P. bodei) being absent from H. 238 239 bacteriophora, whereas symbionts associated with H. bacteriophora were included within a 240 monophyletic group (assigned to P. laumondii subp. laumondii) as well as an early-diverging, 241 paraphyletic group (assigned to P. thracensis). Although multiple Photorhabdus taxa were 242 represented within H. bacteriophora (i.e. P. laumondii subsp. laumondii, P. laumondii subsp. 243 clarkei, P. thracensis and P. kayaii), whereas the sister clades H. ruandica and H. zacatecana 244 were associated with phylogenetically distant bacteria, the matching of Heterorhabditis and 245 Photorhabdus phylogenies were consistent with specialized interactions and co-speciation 246 having ruled diversification of the nematode and its bacterial symbionts (Půža and Machado 247 2024).

The genetic differentiation matching the delineation of nematode species that can be seen in both the phylogenetic and the STRUCTURE results is largely absent in the bacteria, although the in the samples in this study *H. bacteriophora* was significantly associated with *P. laumondii* subsp. *laumondii* (chi-squared = 4.3697, P-value = 0.03658).



# 253 Population genomics of *Heterorhabditis bacteriophora* and adaptation to

# 254 host benzoxazinoid defenses

255



256

Figure 3: Distribution of genetic variation within Heterorhabditis bacteriophora. Pie charts show allocation of each
sample to the genetic clusters inferred by STRUCTURE at K=6 (Supplementary Figure 5), with the outer ring coloured
according to the isolate being susceptible (black) or resistant (grey) to benzoxazinoids (Supplementary Figure 6). The
absence of a ring indicates a lack of data.

261

Within H. bacteriophora, the STRUCTURE analysis based on neutral SNPs for K=6 (i.e. the best K 262 263 according to structure harvester; Supplementary Figure 5) showed mostly genetically pure groups in North America and across the easternmost part of our sampling area (Middle-East). In 264 265 contrast, isolates from Central Europe were genetically heterogeneous, showing particularly 266 strong admixture. A mantel test correlating the genetic distance and the geographic distance 267 between all *H. bacteriophora* isolates showed no significant correlation (p = 0.88) consistent with 268 non-equilibrium between genetic drift and gene flow, supporting Central Europe as a melting 269 spot of genetic diversity within that species.

Infectivity assays assessing the extent to which infective juveniles of 25 *H. bacteriophora* isolates
infect their WCR host (Supplementary Table 1, Supplementary Figure 6) distinguished isolates

being susceptible vs resistant to benzoxazinoids. Only four isolates (DE6, EN01, TT01, 0943) were
273 clearly susceptible, as shown by their significantly lower infection rates in insects containing 274 benzoxazinoids. An additional isolate, MG618b, was also considered to be susceptible to 275 benzoxazinoids as it similarly showed lower infection in the three days following exposure to 276 benzoxazinoids. Therefore, a total of five isolates, mostly sampled in Europe, were shown to be 277 susceptible to benzoxazinoids (Supplementary Figure 6). These susceptible isolates were 278 assigned to different STUCTURE clusters and were partly admixed from different genetic 279 backgrounds (Figure 3). Most susceptible isolates were indeed genetically clustered with 280 resistant ones (e.g. susceptible MG618b being closely related to the resistant Hbbio). Only the 281 non-European isolate being susceptible (i.e. TT01, from Trinidad and Tobago) was assigned to a 282 genetic cluster including only resistant ones such as PT1, S12 and S5P8.

283 Susceptible isolates presented diverse Photorhabdus bacteria being also sampled in resistant 284 isolates, suggesting that bacterial species are uncoupled to benzoxazinoid resistance (chi-285 squared = 9.491e-32, P-value = 1). They further showed a restricted distribution across Central 286 Europe (except TTO1), supporting that geography and the lack of long-term exposure to Maize 287 and its WCR specialized herbivore may explain susceptibility to benzoxazinoids. Given its lack of 288 strict association with the genetic structure, susceptibility to benzoxazinoids failed to result in 289 genome-wide differentiation and hence likely evolved multiple times through changes at a few 290 loci only.



Figure 4: Genome-wide  $F_{sT}$  estimated in non-overlapping windows of 5 SNP between isolates of Heterorhabditis bacteriophora stains being susceptible vs resistant to benzoxazinoids. Outlier  $F_{sT}$  windows (larger than the 3rd quartile plus 1.5 times the interquartile range) are depicted in black. Windows which are outliers both for  $F_{sT}$  and for  $d_{xy}$  (orange) represent windows that may be subject to strong selective pressure and reduced gene flow.

297

292

298 To identify loci underlying benzoxazinoid resistance in H. bacteriophora, a genome scan 299 assessed the differentiation ( $F_{ST}$ ) and divergence ( $d_{xy}$ ) in non-overlapping windows of five SNPs 300 between susceptible and resistant isolates (Figure 4). A total of 19 windows were conservatively 301 identified as both  $F_{sT}$  and  $d_{xy}$  outliers, supporting large frequency differences and sequence 302 divergence that were likely shaped specifically by selection. The corresponding 42,208 bp were 303 chiefly distributed on scaffolds 3 and 7, as well as a narrow peak on chromosome 5, and 304 contained a total of 12 genes putatively involved in the resistance of EPNs to benzoxazinoids. Out 305 of them, only 3 genes presented a high similarity to C. elegans genes and were subjected to a GO 306 term enrichment analysis, highlighting a variety of enriched GO terms chiefly related to DNA 307 maintenance and repair (Table 1). The three genes that had close relatives in C. elegans were 308 putatively involve in ATP binding and the deoxyribonucleotide biosynthetic process 309 (WBGene00004391), the polypeptide N-acetylgalactosaminyltransferase activity 310 (WBGene00001630) single-stranded DNA endodeoxyribonuclease and activity (WBGene00003405). 311

313 Table 1: GO terms that are enriched in genomic regions identified by the genomes scan (Figure 3) between isolates of

314 Heterorhabditis bacteriophora showing different susceptibilities to benzoxazinoids. Top: GO terms that are biological

315 processes, bottom: GO terms that are classified as molecular functions

-- enriched biological processes --

GO.ID	Term	Annotated	Significant	Expected	P-	
					value	
GO:0000723	telomere maintenance	6	1	0.01	0.0068	
GO:0007095	mitotic G2 DNA damage checkpoint signalling	7	1	0.01	0.0079	
GO:0000724	double-strand break repair via homologous	12	1	0.02	0.0135	
	recombination					
GO:0006260	DNA replication	17	1	0.03	0.0192	
GO:0036498	IRE1-mediated unfolded protein response	41	1	0.06	0.0458	
enriched molecular functions						
GO.ID	Term	Annotated	Significant	Expected	P-	
					value	

GO:0004653	polypeptide N-acetylgalactosaminyltransferase	6	1	0.01	0.0072
	activity				
GO:0030246	carbohydrate binding	29	1	0.05	0.0344

#### 317 Discussion

Entomopathogenic nematodes are an ecologically and economically interesting biological alternative to chemical pesticides. To better understand their biology and facilitate improvement in infection rates and host defense tolerance, we a population genomic analysis into EPNs in general and benzoxazinoid resistance in particular.

#### 322 *Heterorhabditis* entomopathogenic nematodes have evolved independently

#### 323 of their endosymbionts

324 Whole-genome sequencing of multiple nematode isolates supported a genetic structure 325 matching taxonomic species within the genus Heterorhabditis, being grouped into three genetic 326 clusters: one composed of Heterorhabditis ruandica and H. zacatecana, the second one 327 composed of H. beicherriana and H. georgiana, and the third one composed of H. bacteriophora. 328 There is significant geographic isolation between the species of the first two groups. More 329 specifically, H. ruandica has only been reported in Rwanda, and H. zacatecana has only been 330 reported in Mexico. Similarly, H. georgiana has only been reported in the United States of America 331 and *H. beicherriana* has only been reported in China and in the Caribbean islands. Hence, it is 332 likely that these sister species are not allopatric populations, but have not yet sufficient time to 333 diverge, consistently with Heterorhabditis being an evolutive young nematode group. An 334 alternative explanation could be that there is extensive convergent evolution in this nematode 335 group. Despite the limits inherent to the poorly known distribution of some of the isolates within 336 the Heterorhabditis genus, the partitioning of genetic variation among investigated samples is 337 overall consistent with H. bacteriophora being genetically isolated from their other species, 338 despite their co-occurrence.

339 The genetic structure of sampled Photorhabdus symbionts demonstrates that the same species 340 of nematode (H. bacteriophora) can live with different species of bacteria (i.e. P. laumondii, P. 341 kleinii, P. thracensis) and that different species of nematodes can host the same species of 342 bacteria (H. bacteriophora and H. ruandica). Such discrepancy suggests that the coevolution 343 between nematodes and bacteria hardly resulted in highly specialized interactions and is likely 344 inherent to the chiefly maternal transmission of symbionts among *Heterorhabditis* generations 345 (Enright and Griffin 2004; Gaugler and Kaya 2018). Despite non-random association between 346 nematode and their bacterial strains, symbionts have been regularly swapped following events such as admixture reported in Heterorhabditis and hinders confident predictions on members of 347 348 the relationship (McMullen et al. 2017; Wang et al. 2023).

349

# Entomopathogenic nematodes of the genus *Heterorhabditis* have evolved resistance to plant benzoxazinoids sequestered by some host insects

Isolates of *H. bacteriophora* being able to infect insects sequestering benzoxazinoid compounds that are toxic to them were sharing an evolutionary history with the western corm rootworm (WCR, *Diabrotica virgifera* subsp. *virgifera*) and maize (*Zea mays*), confirming insights from Zhang et al. (2019). Showing a higher rate of infection than isolates from regions with historically limited exposure to benzoxazinoids, resistant isolates of *H. bacteriophora* provide a valuable opportunity to assess the genetic basis of that phenotype.

358 As expected from their recent divergence, susceptible and resistant isolates of H. bacteriophora presented a lack of genome-wide genetic differentiation to be expected with incipient species or 359 360 ecotypes, and rather indicated adaptation to benzoxazinoids having locally evolved through a 361 few high impact changes in the genome. Accordingly, candidate loci highlighted by their extreme 362 divergence between populations of susceptible and resistant isolates likely contained genes 363 controlling tolerance to benzoxazinoids, and appeared enriched in GO terms associated with 364 DNA maintenance and repair, as expected from benzoxazinoids interacting and modifying DNA 365 under physiological conditions (Hashimoto and Shudo 1996). Similar mechanisms have been 366 found to be important in the adaptation of the symbiotic bacterium to benzoxazinoids (Machado 367 et al. 2020). Furthermore, the candidate genes involved in protein folding are likely relevant to 368 remedy interference of benzoxazinoids with the structure of proteins.

369 Surprisingly, some isolates of *H. bacteriophora* lacking a long history of co-existence with the 370 WCR and maize (e.g. IR2 and Boj, isolates from Iran) appeared strongly resistant to 371 benzoxazinoids. Although recent adaptation following local cultivation of maize cannot be ruled 372 out, resistant isolates of H. bacteriophora may have evolved in response to other insects and 373 crops, such as wheat that also produces benzoxazinoids (Shavit et al. 2022). Although supporting 374 an oligogenic architecture of resistance to benzoxazinoids, our indirect evidence must be 375 functionally validated in order to ascertain their role and further understand how such a complex 376 phenotype has evolved, considering also that different isolates may have developed adaptations 377 on different genes. Furthermore, a more comprehensive sampling is necessary to shed light on 378 the eco-evolutionary feedback promoting resistance to benzoxazinoids across the mosaic of 379 abiotic conditions and communities to which *H. bacteriophora* is exposed.

# 380 Acknowledgements:

- 381 We would like to thank the NGS platform of the University of Bern for the Illumina sequencing.
- 382 The work of VO and DM was supported by the Swiss National Science Foundation
- 383 [310030\_192564] to ME. The work of CAMR was supported by the European Research Council
- 384 (ERC) under the European Union's Horizon 2020 research and innovation programme [ERC-
- 2019-STG949595] and the Swiss National Science Foundation [310030\_189071]

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# 434 Supplementary material

# 435 Supplementary Figures



437 Supplementary Figure 1: Results for different K for all isolates of Heterorhabditis available. On the right, the Delta K

438 for the different K can be seen, with K=3 being the best.

439



440

- 441 Supplementary Figure 2: Geographic origins of all isolates of Heterorhabditis used in this study. Green = H.
- 442 bacteriophora, *Blue* = H. beicherriana, *Yellow* = Heterorhabditis sp., *Orange* = H. georgiana, *Pink* = H. zacatecana,
- 443 Dark orange = H. ruandica



445

446 Supplementary Figure 3: all K tested for the STRUCTUE analysis of the 35 strains of Photorhabdus. K2 to K9 were

- tested, shown are the results of the clump analysis of 10+ runs for each K. on the right, the results of the evanno
- 448 method to determine the best K can be seen, here the highest Delta K was found for K=4.



## Baker's gamma distribution under H0





452 repeatedly. The vertical lines represent the important values, in red is the comparison of the same tree (i.e. same

453 topology), in black is the zero value and in blue is the value found for the trees compared in the original tangelgram.



Supplementary Figure 5: all K tested for the STRUCTUE analysis of the 26 strains of H. bacteriophora. K2 to K9 were tested, shown are the results of the clump analysis of 10+ runs for each K. on the right, the results of the evanno method to determine the best K can be seen, here the highest Delta K was found for K=6



458

459 Supplementary Figure 6: Infectivity assay of different isolates of H. bacteriophora.in red is always shown the

460 infectivity in WCR larvae fed on Maize of genotype W22, in orange in those fed on bx1::W22, mutants in the

461 benzoxazinoid pathway which only produce small amounts of benzoxazinoids.

462

# 464 Supplementary Tables

- 465 Supplementary Table 1: Isolates of Heterorhabditis used in the study. Including information regarding if any infectivity
- 466 assay has been conducted and if applicable is they were susceptible of resistant to benzoxazinoids.

Lendassessedto benzoxazinoids0943H. bacteriophoraTurkeyYesYesMaH. ruandicaRwandaNoNABojH. bacteriophoraIranYesNoRW14ArtH. ruandicaRwandaNoNACN4eH. beicherrianaChinaNoNAH06H. beicherrianaChinaNoNADE2H. bacteriophoraGermanyYesNoDE6H. bacteriophoraGermanyYesYesHb17H. bacteriophoraCommercialYesYesHb17H. bacteriophoraIurkeyYesNoHb2eH. bacteriophoraIurkeyYesNoHb2eH. bacteriophoraIurkeyYesNoHU2eH. bacteriophoraIurkeyYesNoII.9eH. bacteriophoraIuranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2aH. bacteriophoraIranYesNoMEX23H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	Isolate	species	country	Infectivity	Susceptibility
O943H. bacteriophoraTurkeyYesMesMaH. ruandicaRwandaNoNABojH. bacteriophoraIranYesNoRW14ArtH. ruandicaRwandaNoNACN4eH. bacteriophoraChinaNoNAH06H. beicherrianaChinaNoNADE2H. bacteriophoraGermanyYesYesEN01eH. bacteriophoraGermanyYesYesHb17H. bacteriophoraCommercialYesNoHbbioH. bacteriophoraTurkeyYesNoHbbioH. bacteriophoraIlagryYesNoHU2eH. bacteriophoraIranYesNoIL9eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraTrinida and TobagoNoNAMEX23H. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoYesNoMEX37H. bacteriophoraMexicoYesNo				assessed	to
0943H. bacteriophoraTurkeyYesYesMaH. ruandicaRwandaNoNABojH. bacteriophoraIranYesNoRW14ArtH. ruandicaRwandaNoNACN4eH. beicherrianaChinaNoNAH06H. beicherrianaChinaNoNADE2H. bacteriophoraGermanyYesYesDE6H. bacteriophoraGermanyYesYesEN01eH. bacteriophoraCommercialYesYesHb17H. bacteriophoraTurkeyYesNoHU2eH. bacteriophoraUSAYesNoILSeH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2eH. bacteriophoraIranYesNoIR2aH. bacteriophoraTrinidad and TobagoNoNAMEX23H. bacteriophoraMexicoYesNoMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo					benzoxazinoids
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RW14ArtH. ruandicaRwandaNoNACN4eH. beicherrianaChinaNoNAH06H. beicherrianaChinaNoNADE2H. bacteriophoraGermanyYesNoDE6H. bacteriophoraGermanyYesYesEN01eH. bacteriophoraCommercialYesYesHb17H. bacteriophoraCommercialYesNoHbbioH. bacteriophoraUSAYesNoHU2eH. bacteriophoraHungaryYesNoIL9eH. bacteriophoraItalyYesNoIT6eH. bacteriophoraItalyYesNoMEX23H. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	Вој	H. bacteriophora	Iran	Yes	No
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DE2H. bacteriophoraGermanyYesNoDE6H. bacteriophoraGermanyYesYesEN01eH. bacteriophoraCommercialYesYesHb17H. bacteriophoraTurkeyYesNoHbbioH. bacteriophoraUSAYesNoHU2eH. bacteriophoraHungaryYesNoIL9eH. bacteriophoraIranYesNoIR2eH. bacteriophoraItalyYesNom13eH. bacteriophoraItalyYesNoMEX23H. bacteriophoraMexicoYesNoMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	H06	H. beicherriana	China	No	NA
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EN01eH. bacteriophoraCommercialYesYesHb17H. bacteriophoraTurkeyYesNoHbbioH. bacteriophoraUSAYesNoHU2eH. bacteriophoraHungaryYesNoIL9eH. bacteriophoraAustraliaYesNoIR2eH. bacteriophoraIranYesNoIT6eH. bacteriophoraItalyYesNom13eH. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoYesNoMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	DE6	H. bacteriophora	Germany	Yes	Yes
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HU2eH. bacteriophoraHungaryYesNoIL9eH. bacteriophoraAustraliaYesNoIR2eH. bacteriophoraIranYesNoIT6eH. bacteriophoraItalyYesNom13eH. bacteriophoraTrinidad and TobagoNoNAMEX23H. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoNoNAMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	Hbbio	H. bacteriophora	USA	Yes	No
IL9eH. bacteriophoraAustraliaYesNoIR2eH. bacteriophoraIranYesNoIT6eH. bacteriophoraItalyYesNom13eH. bacteriophoraTrinidad and TobagoNoNAMEX23H. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoNoNAMEX32H. bacteriophoraMexicoYesNoMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	HU2e	H. bacteriophora	Hungary	Yes	No
IR2eH. bacteriophoraIranYesNoIT6eH. bacteriophoraItalyYesNom13eH. bacteriophoraTrinidad and TobagoNoNAMEX23H. bacteriophoraMexicoYesNoMEX20Heterorhabditis spMexicoNoNAMEX32H. bacteriophoraMexicoYesNoMEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	IL9e	H. bacteriophora	Australia	Yes	No
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MEX32H. bacteriophoraMexicoYesNoMEX37H. bacteriophoraMexicoYesNo	MEX20	Heterorhabditis sp	Mexico	No	NA
MEX37 H. bacteriophora Mexico Yes No	MEX32	H. bacteriophora	Mexico	Yes	No
	MEX37	H. bacteriophora	Mexico	Yes	No
MG618bH. bacteriophoraSwitzerlandYesYes	MG618b	H. bacteriophora	Switzerland	Yes	Yes

MEX40	H. zacatecana	Mexico	No	No
MEX41	H. zacatecana	Mexico	No	No
PT1e	H. bacteriophora	Portugal	Yes	No
RBP	H. bacteriophora	Spain	Yes	No
RW14	H. bacteriophora	Rwanda	No	NA
S12	H. bacteriophora	USA	Yes	No
Hbb	H. georgiana	USA	No	NA
S14	H. bacteriophora	USA	Yes	No
S15	H. bacteriophora	USA	Yes	No
S5P8	H. bacteriophora	USA	Yes	No
S7	H. bacteriophora	USA	Yes	No
TT01	H. bacteriophora	Trinidad and Tobago	Yes	Yes
VM1	H. bacteriophora	Spain	Yes	No
S8	Heterorhabditis sp.	USA	No	NA

#### 1 Chapter 3 – Unpublished work

# <sup>2</sup> Chapter III – Experimental adaptation of *Heterorhabditis*

# <sup>3</sup> bacteriophora isolates to plant specialized metabolites.

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8

## 9 Abstract

The western corn rootworm (WCR), Diabrotica virgifera virgifera, is a major maize pest that 10 sequesters benzoxazinoids (BXs), plant defense metabolites, to resist entomopathogenic 11 12 nematodes (EPNs). While BXs enhance the defenses of the WCR, certain EPNs have evolved 13 mechanisms to overcome these defenses, likely through genetic adaptation. This study 14 examines the evolution of BX resistance in EPN populations using experimental evolution across 15 five host generations of benzoxazinoid-containing WCR larvae and benzoxazinoid-deficient 16 larvae, thereby selecting susceptible isolates to become resistant and having them remain 17 susceptible respectively. Infectivity assays demonstrated that EPN isolates initially susceptible 18 to BXs evolved resistance within three host cycles, achieving infectivity rates comparable to 19 those in BX-deficient conditions. Whole-genome sequencing of EPN populations revealed significant genetic divergence between the ancestral (F<sub>0</sub>) and evolved (F<sub>5</sub>) populations, identifying 20 21 key loci potentially associated with BX resistance. These findings highlight the capacity of EPNs 22 to rapidly adapt to plant chemical defenses, providing new insights into enhancing the efficacy 23 of biocontrol strategies against BX-sequestering pests like WCR.

## 25 Introduction

26 Insect pests are regulated by both bottom-up forces, such as plant defenses that reduce 27 herbivore survival and reproduction, and top-down forces, including natural enemies like 28 predators, parasitoids, and pathogens, which reduce herbivore abundance (Gripenberg and 29 Roslin 2007; Cloyd 2020). These forces are critical determinants of ecosystem functioning and 30 biodiversity, as they influence species interactions, population dynamics, and the balance 31 between herbivores and their natural enemies (Price et al. 1980). Some specialized herbivores 32 have evolved the ability to hijack plant defenses by sequestering toxic compounds, which they 33 can repurpose for their own defense against natural enemies (Erb and Robert 2016). This 34 strategy, while beneficial to the herbivores, can turn them into significant agricultural pests. Yet, 35 natural enemies can still succeed in controlling herbivores and mitigating their negative impacts 36 on plant health and yield (Hajek and Eilenberg 2018). Understanding how pest enemies have 37 evolved to counter plant defenses being sequestered or utilized by insects could open new 38 avenues for developing sustainable biocontrol strategies in pest management and to ensure food 39 security.

40 Predators and parasitoids have evolved different strategies to resist or to tolerate plant defense 41 metabolites. For example, it has been demonstrated that birds in Mexico that prey on monarch 42 butterflies have become insensitive to the bitter-taste-plant cardenolides that the butterflies 43 sequester from plants (Fink and Brower 1981). The same scenario was also shown with big-eyed 44 bugs, which prey equally on nicotine-sequestering Manduca sexta larvae that had been fed on 45 either nicotine-containing or nicotine-free plants (Kumar et al. 2014). Furthermore, development 46 of the specialist parasitoid Cotesia melitaearum was unaffected by plant- sequestered iridoid 47 glycosides sequestered by its host Melitaea cinxia (Reudler et al. 2011). Yet, the underpinnings 48 of genomic variation underlying these adaptations remain unclear (Ode 2006).

49 A notable example of specialized herbivores that can tolerate, sequester, and use plant 50 specialized metabolites is the western corn rootworm (Diabrotica virgifera virgifera LeConte, 51 WCR) (Robert et al. 2017). The WCR is one of the most economically significant agricultural pests 52 worldwide, responsible for over \$2 billion in annual losses due to yield reduction and pest 53 management costs in the United States alone (Gray et al. 2009). Having spread across European 54 regions, the WCR poses a global threat to maize production, a crop that provides 5% of global caloric intake and is an important source of animal feed as well as in biofuel production (Ranum 55 56 et al. 2014; Erenstein et al. 2022). WCR larvae indeed feed on maize roots and, unlike other 57 insects, can tolerate, stabilize, and sequester maize benzoxazinoids (BXs) (Robert et al. 2012;

58 Robert et al. 2017). BXs are indole-derived compounds, stored as glucosides in the plant vacuole, 59 and being deglucosylated by a glucosidase upon tissue disruption (Robert and Mateo 2022). 60 HDMBOA-Glc and DIMBOA-Glc are two BXs known to be involved in maize defense against 61 herbivores (Meihls et al. 2013; Robert and Mateo 2022). Upon attack, the unstable aglucones 62 HDMBOA and DIMBOA are formed and are spontaneously converted to MBOA. While these BXs 63 have shown to be toxic to several herbivores such as aphids (Zhang et al. 2021; Shavit et al. 2022; 64 Tzin et al. 2015) or caterpillars such as Ostrinia and Spodoptera (Campos et al. 1988; Glauser et 65 al. 2011; Maag et al. 2016), WCR larvae tolerate these compounds, sequester HDMBOA-Glc, and glucosylate MBOA for sequestration as MBOA-Glc (Robert et al. 2017). 66

67 Despite sequestration of toxic BXs, WCR larvae can be attacked by entomopathogenic 68 nematodes (EPNs) such as Heterothabditis spp. that are obligate parasites (Dillman and 69 Sternberg 2012)). EPN infective juveniles (IJs) are free-living in soil and enter an insect host 70 through natural openings or by penetrating the cuticle (Zhang et al. 2021; Dillman and Sternberg 71 2012). Once inside the host, EPNs release their symbiotic bacteria, which act in concert with the 72 nematode's venom in killing the insect host (Lu et al. 2017; Dillman and Sternberg 2012; Chang 73 et al. 2019), providing a nutrient-rich environment for the EPNs to reproduce (Dillman et al. 2012). 74 Upon resource depletion, new IJs emerge from the host cadaver.

75 EPN infectivity can be drastically reduced when in BX-sequestering larvae, being as low as half 76 the infectivity in larvae that are free of BXs (Robert et al. 2017; Zhang et al. 2019). First, WCR 77 larvae release MBOA-Glc through their skin, acting as a repellent to EPNs (Robert et al. 2017). 78 Second, EPNs that enter the insect host encounter elevated level of HDMBOA-Glc, itself toxic for 79 EPNs (Robert et al. 2017). Finally, HDMBOA-Glc is locally deglucosylated, leading to the rapid formation of MBOA, toxic for both EPNs and their endosymbiotic bacteria (Robert et al. 2017). 80 81 Although BXs offer a robust, multilayered defense for the WCR, EPN isolates that have co-82 evolved with WCR (e.g. in the US) have developed the ability to overcome this defense and exhibit 83 higher infectivity than isolates that have not evolved alongside the BX-sequestering insect (Zhang 84 et al. 2019). Experimental selection of Heterorhabditis bacteriophora in BX-sequestering WCR 85 led to higher infectivity rates compared to EPNs selected in a non-sequestering Diabrotica insect 86 (Zhang et al. 2019), indicating that swift adaptation to the sequestered plant metabolites is 87 possible, potentially through (epi)genetic changes or shifts in microbial associations.

Studies investigating EPN resistance evolution to plant specialized metabolites from their hosts
have primarily focused on microbial factors, such as the role of bacterial symbionts, rather than
exploring the genetic responses of the nematodes themselves. Experimental evolution of

91 Photorhabdus, the bacterial endosymbiont of Heterorhabditis EPNs, improved the ability of EPNs 92 that carried the selected isolates to kill WCR larvae (Machado et al. 2020). Endosymbionts 93 carrying a mutation in the aquaporin-like channel gene aqpZ indeed enhanced EPN effectiveness 94 in killing BX-sequestering WCR larvae, although such endosymbiont resistance to BXs was also 95 associated with substantial costs as four out of the five selected isolates resulted in EPN growth 96 impairment (Machado et al. 2020). These findings indicate that bacterial symbiont modifications 97 alone may not fully account for the observed EPN adaptation to BXs, suggesting that genetic or 98 epigenetic changes within the nematodes themselves are also crucial for overcoming the plant's 99 defenses (Ogi et al. in review). Recently developed genomic resources in Heterorhabditis (Ogi et 100 al, in prep) now provides the essential tools to investigate the genetics of adaptation to host-101 sequestered BXs in EPNs. Understanding the genomic changes that modulate the infectivity 102 success of Heterorhabditis EPNs toward pests provides insights into genetic variants and 103 pathways that enhance EPN resilience to plant specialized metabolites and could lead to more 104 effective biocontrol strategies.

105 In this study, we investigate the genomic basis of EPN adaptation to BXs sequestered by their 106 insect host through a guided evolution experiment. First, we chose four resistant and four 107 susceptible EPN isolates and confirmed their tolerance to BXs in infectivity tests. Next, we 108 adapted all isolates to BX containing or BX lacking WCR larvae over five host cycles ( $F_0$  to  $F_5$ ). We 109 then examined changes in infectivity and conducting DNA sequencing of  $F_0$  and  $F_5$  allowed us to 100 perform genome structure and  $F_{ST}$  analyses, identifying putative genes mediating EPN resistance 111 to BXs.

## 112 Methods

#### 113 Biological resources

114 Maize seeds of both the benzoxazinoid mutant bx1::W22 (Tzin et al. 2015), which is a loss of 115 function mutant in the benzoxazinoid pathway and the wild type inbred line W22 were kindly provided by Georg Jander (Boyce Thompson Institute). Western corn rootworm (WCR, Diabrotica 116 117 virgifera virgifera) eggs were obtained from USDA-ARS-NCARL (North Central Agricultural 118 Research Laboratory, US Department of Agriculture, Agriculture Research Service, Brookings, 119 SD, USA). WCR eggs were incubated at room temperature and hatching larvae were raised on 120 either bx1::W22 ("bx-") or W22 (BX+) seedlings. WCR larvae fed on bx1::W22 are hereafter referred 121 to as bx-fed WCR, whereas WCR larvae fed on W22 are hereafter referred to as BX<sup>+</sup>-fed WCR. 122 Second and third instar larvae were used for infectivity assays. Entomopathogenic nematodes 123 (EPNs) were originally provided by Prof. Raquel Campos Herrera (Institute of Grapevine and Wine

124 Sciences, Spain) and Prof. Ralf Udo Ehlers (e-nema GmbH, Germany) and maintained in an internal collection. The detailed description of 8 individual EPN isolates used in this study can be 125 126 found in Table S1. All these isolates benefit from whole genome sequencing data and were 127 previously assessed regarding susceptibility to benzoxazinoids (Ogi et al. in review) as to select 128 four BX resistant isolates (HU2, IT6, VM1 and DE2) and four BX susceptible isolates (De6, 129 0943, MG618b and EN01). EPNs were amplified in Galleria mellonella larvae bought from a local 130 fish store (Fischereibedarf N. Wenger AG, Kasernenstrasse 11, 3013 Bern). Emerging EPNs were 131 collected using white traps (White 1927), filtered through 25 µm sieves, and kept in tap water in 132 cell culture flasks (Thermo Fisher scientific, Switzerland). All EPNs were stored in 8°C fridges 133 until use. Infective juveniles (IJs) were used in all experiments unless specified otherwise.

#### 134 Experimental selection

Each of the eight chosen EPN isolates were divided into four subpopulations. The individual EPN 135 136 subpopulations were then selected in either BX<sup>+</sup>-fed or bx<sup>-</sup>-fed WCR larvae, resulting in 64 replicates within subpopulations (8 isolates x 4 subpopulations x 2 host diet). EPNs were 137 138 multiplied by adding 50 EPNs to solo cups (30 mL; Frontier Scientific Services, Inc.) containing 139 3.5g of moist, autoclaved sand (Selmaterra, Bigler Samen AG) and 5 WCR larvae over five host 140 cycles (called  $F_0$  to  $F_5$ , n= 5 per subpopulation). Each host cycle represents approximately 2-3 141 EPN generations depending on host size (Dillman and Sternberg 2012; Trejo-Meléndez et al. 142 2024; Kaya and Gaugler 1993). EPN susceptibility to BXs, BXs preference, and infectivity were 143 tested at  $F_0$ ,  $F_3$ , and  $F_5$ .

#### 144 Infectivity assays

The infectivity of the selected subpopulations was tested in WCR larvae fed on either BX<sup>+</sup> or bxmutant plants. Briefly, 5 WCR larvae were placed into solo cups (30 mL; Frontier Scientific Services, Inc., Newark, USA) containing 3.5 g of moist, autoclaved, sand (Selmaterra, Bigler Samen AG, Steffisburg, Switzerland). Approximately 100 EPNs suspended in 700  $\mu$ l tap water were added into the solo cups and incubated at 25 ± 0.5 °C for 7 days. As infected larvae turned red within 3-5 days, the infectivity rate was recorded visually 3-7 days post exposure (n=5 per each of the 64 subpopulations).

#### 152 Genomic analyses

153 Of the 64 subpopulations produced by the experimental evolution experiment, 46 could be 154 reared to a large enough quantity in generation  $F_5$  to enable DNA extraction and sequencing along 155 with the 8 original  $F_0$  isolates (Table S2). DNA extraction was performed using the QIAamp<sup>®</sup> Micro

156 kit (Qiagen), following the manufacturer's instructions with the deviations that (i) 100 µl EPNs at a concentration of >50K EPNs/ml were added to 180 µl buffer ATL, (ii) homogenization was 157 158 performed by adding eight 1.4 mm zirconium oxide beads and shook in a bead beater at 30 X for 159 3 minutes, and 20 µl proteinase K were only added after. Elution was done using 70 µl elution 160 buffer after a five-minute incubation time. Samples were stored at -20°C until further processing 161 at the Next Generation Sequencing (NGS) facility of the University of Bern. A PCR-free low input 162 library was prepared and 150 bp paired end illumina sequencing was done on half a flow cell 163 (Illumina, California, USA).

164 Sequence read processing and variant calling were conducted through a custom Snakemake 165 SnakeGATK4\_v2 (https://github.com/parisodlab/snakeGATK4\_v2). Accordingly, pipeline, 166 sequencing reads were aligned to the reference genome (produced for the isolate m13e) (Ogi et 167 al. in review) using BWA-MEM (v0.7.18; (Li 2013)), and duplicate reads were marked using 168 Picard's MarkDuplicates tool (v3.2; Broad Institute). Variant calling was performed using GATK4 169 (v4.1.3; (Poplin et al. 2017)). Individual genomic variant calls (gVCF) were generated for each 170 sample using the HaplotypeCaller function with the Heterorhabditis bacteriophora genome set 171 as reference (Ogi et al. in review)). These gVCFs were subsequently merged and imported into a 172 genomic database using the GenomicsDBImport function. Joint genotyping of the gVCF files was 173 performed using GenotypeGVCFs. Variant filtering was conducted using stringent criteria: sites 174 were filtered based on quality metrics, including QualByDepth (QD) < 20, StrandOddsRatio (SOR) > 5.0, variant quality score (QUAL) < 30.0, and FisherStrand (FS) > 100.0, utilizing the 175 VariantFiltration function in GATK4. Additionally, we applied minimum depth per sample 176 177  $(\min_{depth} < 5)$ , genotype quality (GQ < 15), and reference genotype quality (RGQ < 15) filters to 178 remove low-confidence variant and invariant sites. We excluded sites with >95% missing 179 genotype data to ensure high-quality variant calls using bcftools (v1.20; (Danecek et al. 2011)).

To confirm the correctness of the sequenced samples to the isolate assigned to them, a
concordance analysis was conducted using previous sequencing results as a baseline (Ogi et al.
in review). The concordance analysis was done on vcf files using the bcftools function gtcheck
(Li 2011) (Figure S1).

184 Population genomic analyses:

A principal component analysis was conducted on all samples, using the SNPRelate package (Zheng et al. 2017) in R on the filtered vcf file to establish both clear differentiation between the isolates used here and a lack of differentiation based on treatment for the  $F_5$  samples. A PCA analysis was also conducted on all  $F_0$  samples to confirm if susceptibility is not the major dividing

189 factor between isolates (Ogi et al. in review). PCA analyses on individual isolates was performed for all samples of the isolates to check for the level of differentiation between F<sub>0</sub> and F<sub>5</sub> samples, 190 191 and on F<sub>5</sub> samples of all originally susceptible strains, to investigate if the adaptation to BX<sup>+</sup> hosts 192 is a major dividing force. A phylogenetic tree was built using RAxML (Stamatakis 2014), using the 193 GTRGAMMA model, selecting the best tree out of 20 and building a bootstrap support out of 100 194 runs. Visualization was done in R using the ape package (Paradis and Schliep 2019). A genetic 195 structure analysis was conducted using the program STRUCTURE, using the admixture model at 196 10,000 burnin and 100,000 repetitions, 10 replicates for each K (K1-K8). The best K was 197 determined using Structure harvester and different runs were combined using clumpp.

#### 198 Comparative genomic analyses

199 Comparisons of different treatments (F<sub>0</sub>, F<sub>5</sub> BX<sup>+</sup>, F<sub>5</sub> bx<sup>-</sup>, each in susceptible and resistant isolates) 200 were conducted to get a baseline for what regions of the genome could be important for the 201 susceptibility to BXs, but this of course also highlights differences that accumulated for other 202 reasons, as the different isolates are not extremely closely related and these comparisons may 203 also disguise the relevant mutations, as different isolates may adapt differently to the presence 204 of BXs. Since there are more differences in treatment between  $F_0$  and  $F_5$  than between  $F_5 BX^*$  and 205  $F_5$  bx<sup>-</sup>, as the changed environment from previously being reared in G. mellonella larvae to 206 Diabrotica virgifera larvae may have a larger impact than the two treatments where the only 207 difference is the level of BXs contained in the food of the host larvae, this comparison was not 208 delved into deeply. The main comparison presented here are within susceptible isolates, 209 comparing  $F_5 BX^+$  and  $F_5 bx^-$  samples to get the closest idea about which loci are actually involved 210 in the response to BXs.

211 The F<sub>ST</sub> analysis was conducted on filtered vcf files using the R package PopGenome (Pfeifer et 212 al. 2014). All comparisons are window based with non-overlapping windows of 1000 bp, and the  $F_{ST}$  was calculated using the F\_ST.stats function. Outlier  $F_{ST}$ s were defined as windows with an 213 214  $F_{ST}$  that is larger than the third quartile plus 1.5 times the interquartile range. All windows with 215 higher  $F_{ST}$  values were considered for functional annotation and GO term enrichment analysis, 216 and from these regions, SNPs were extracted directly, genes that either end or start in these 217 windows were extracted using the annotation form (Ogi et al. in review) and the sequence of 218 these genes was extracted from the reference fasta file that was used to align the illumina 219 sequences above.

Further investigation into the genes was done using GO term enrichment analysis, using the GO
 term annotation from *Caenorhabditis elegans* (Carbon and Mungall 2024). This approach

inherently lacks some depth into the specific organisms, as the relative phylogenetic distance between *H. bacteriophora* and *C. elegans* means that there are significant genomic differences, and therefore only a somewhat biased subset of genes will be considered in the GO term analysis. For non-described genes, other methods were used, including blast searches and hmmer searches. Shiny-GO was used to create a network of important GO terms, using a translation of *H. bacteriophora* genes into C. elegans genes using an adapted basic blast alignment (Ge et al. 2020).

229

#### 230 Statistical analyses

For statistical analyses, infectivity assays statistical comparisons were done using a Two-Way Repeated Measures ANOVA (One Factor Repetition), after data passed both Normality Test (Shapiro-Wilk) and Equal Variance Test (Brown-Forsythe) in SigmaPlot. For significant different groups, all pairwise comparisons were done using Bonferroni t-test. One subpopulation was considered as one replicate, all data shown here are the average of all subpopulations, which in turn are the average of all technical replicates within that subpopulation.

#### 237 Results

# Susceptible EPN isolates evolved resistance to benzoxazinoids within three growth cycles in BX-fed WCR larvae

240 In average, F<sub>0</sub> resistant isolates showed a similar infectivity rate of BX<sup>+</sup>-fed and bx<sup>-</sup>-fed WCR 241 larvae (Figure S2), even though individual differences were noted during the assay (Figure S3). In 242 particular, HU2 was more successful in infecting BX\*-fed WCR larvae, DE2 and VM1 in infecting 243 bx<sup>-</sup>-fed larvae, and IT6 showed no difference (Figure S3). However, no difference could be noted 244 between infectivity rates of BX<sup>+</sup> and bx<sup>-</sup> fed WCR larvae within any of the recording days (Figure S3). As expected,  $F_0$  susceptible isolates displayed a higher infectivity in bx<sup>-</sup>-fed WCR larvae than 245 246 in BX<sup>+</sup>-fed ones at all timepoints (Figure S2), a pattern that was consistently observed for each of 247 the four isolates. These observations confirmed the categorization of HU2, IT6, VM1, and DE2 as 248 resistant isolates and of DE6, 0943, MG618b, and EN01 as BX susceptible isolates.

After three host cycles (F<sub>3</sub>) in BX<sup>+</sup>-fed WCR larvae, BX-resistant and BX-susceptible isolates did show non-significant differences in infecting BX<sup>+</sup> or bx<sup>-</sup>-fed WCR larvae (Figure 1), a behaviour that was consistently observed through all individual isolates except for 09\_43 (Figure S4) suggesting the adaption has already been at least partly successful. As expected, after five host cycles (F<sub>5</sub>), BX-resistant and BX-susceptible isolates similarly infected BX<sup>+</sup> or bx<sup>-</sup>-fed WCR larvae

- 254 (Figure 1), a behaviour that was consistently observed through all individual isolates (Figure S5).
- $255 \qquad \text{Overall, three host cycles in BX}^{+}\text{-fed WCR larvae were sufficient to clear the initial differences}$
- 256 observed between BX-resistant and BX-susceptible isolates (F<sub>0</sub>) (Figure 1).





<sup>258</sup> 

Figure 1: Comparing the infectivity of susceptible and resistant isolates in benzoxazinoid-containing larvae
 (BX\*-fed) over several generations (F<sub>0</sub>s (A), F<sub>3</sub>s(B) and F<sub>5</sub>s (C)) Asterisk (\*) indicate significant difference in
 infectivity between resistant and susceptible nematode isolates at a time point between day 3 and 7 post exposure
 (P<0.05). The legend on the right of each Figure shows the significance of the contribution the factors phenotype and</li>
 time have in determining these differences. ,\*\*:0.001<p<0.01,\*: 0.01<p<0.05, Error Bars: MSe.</li>

264

The results showed that generation  $F_0$  started with significant infectivity differences (P<0.05) between susceptible (black line) and resistant isolates (red line, Figure 1A). However, at  $F_3$  as well as  $F_5$  (Figures 1B and 1C), infectivity differences between resistant and susceptible isolates disappeared for all data points (P>0.05). Thus, the difference between susceptible and resistant isolates in benzoxazinoid tolerance disappeared after continuous exposure to benzoxazinoids, suggesting that adaptation to BXs has taken place within few generations.

271

### 272 Genomics

### 273 Population genomics

274 The information gathered from the PCA, the STRUCTURE output and the Phylogeny (Figure S6) all 275 showed a much higher divergence between the individual isolates than between the different treatments, as expected due to the much longer divergence time between the different strains 276 277 than within the experimental evolution, thus confirming that the experiment provoked evolution 278 rather than large scale genetic drift. PCA analyses of individual isolates show in most cases a 279 stronger distinction between the  $F_0$  sample and the post evolution samples, rather than between 280 the BX<sup>+</sup> and bx<sup>-</sup> adapted post-evolution samples (separated on PC1 in 4 cases, PC2 and PC4 in 1 281 case each, Figures S8-S13). The STRUCTURE analysis showed a lack of differentiation large

282 enough to show a genome wide effect within isolates, only distinguishing between the isolates 283 rather than the treatments, with some isolates not even having a unique structure group 284 assigned. The phylogenetic tree, rooted using a isolate of the species Heterorhabditis 285 beicherriana, showed a similar picture, with all isolates forming monophyletic groups, but no 286 clear differentiation between treatments appearing, as was expected due to the selection 287 experiment having been intended to act on few loci, rather than producing genome-wide 288 divergence. DE2, which only had two sequenced samples, that  $F_0$  and one  $F_5$  does not conform 289 to the monophyletic sorting, which was why they were excluded from further analyses presented 290 here.

291

#### 292 Comparative genomics

293 The pairwise  $F_{ST}$  landscape analysis of the different combinations of treatments (susceptible F<sub>0</sub>, 294 susceptible  $F_5$  on BX<sup>+</sup>, susceptible  $F_5$  on bx<sup>-</sup>, resistant  $F_0$ , resistant  $F_5$  on BX<sup>+</sup>, resistant  $F_5$  on bx<sup>-</sup>) 295 showed a much higher level of divergence in some of the comparisons, particularly in ones 296 comparing initially susceptible to initially resistant isolates (e.g. resistant F<sub>5</sub> adapted to BX<sup>+</sup> 297 compared to susceptible F<sub>5</sub> adapted to bx<sup>-</sup>) (Figure S7), which shows that there is higher 298 differentiation between resistant and susceptible isolates than within each of the two 299 phenotypes. Both the high level of differentiation between the different susceptibilities and the 300 very low level of differentiation within the same susceptibility suggest that the susceptibility is a 301 major diverging factor between the groups. The low divergence within groups allows for much 302 more precise specification of areas of heightened differentiation between the different 303 treatments, such as between susceptible  $F_5 BX^+$  and susceptible  $F_5 bx^-$ , which is the comparison

- 304 where the most interesting mutations are expected to happen. However, including all isolates in
- 305 one comparison may veil adaptations that are different in all isolates.



306Figure 2: Population genomic analysis between susceptible and resistant  $F_0$ , including an  $F_{ST}$  landscape, a PCA,307a GO term network analysis and a list of enriched GO terms. A)  $F_{ST}$  landscape comparing susceptible and resistant308isolates, with red points denoting windows (1000 bp) that is higher than the 3rd quartile plus 1.5 times the interquartile309range. B) a PCA showing PC 1 and 2 of the susceptible (light green) and resistant (brown) isolates. The ellipses hold no310statistical significance and only show the range of both groups. C) A network analysis of gene in high  $F_{ST}$  windows,311translated to C. elegans genes using a blast alignment, performed in ShinyGO. D) GO terms enriched in high  $F_{ST}$ 312windows, calculated using the R package topGO.

- 313 The direct comparison between non-adapted  $F_0$  susceptible and resistant isolates showed a
- 314 large amount of significantly high  $F_{ST}$  windows (Figure 2A), the highest of which were
- 315 concentrated on chromosomes 5 and 7. A GO term enrichment analysis of the windows with high
- 316  $F_{ST}$  showed a selection of enriched genes, including terms involved in translation (e.g. DNA
- 317 replication, double-strand break repair via break-induced replication), protein synthesis (protein

folding, unfolded protein binding), fatty acid metabolism (fatty acid binding, very long-chain fatty acid biosynthetic process), transport processes (ABC-type transporter activity, lipid homeostasis) and growth and development (developmental process). (Figure 2D). A Network analysis of the same genes using a translation to C. elegans genes showed an accumulation of metabolic pathways enrichment as well as many binding proteins, particularly ones involved in energy storage and DNA maintenance (Figure 2C).

324 To get a clearer idea about factors that influence exclusively the adaptation to BXs, a comparison 325 between susceptible isolates after adaptation to BX<sup>+</sup> over 5 generations to samples of the same 326 isolate after adaptation to BX<sup>-</sup> was conducted. The reason for the comparison of two groups post-327 adaption rather than  $F_0$  and  $F_5$  despite the presence of a low concentration of BXs in the control 328 treatment was that the isolates had previously been exclusively amplified on G. mellonella larvae 329 since collection, and the adaptation to the different host (D. virgifera) would have introduced a 330 factor of differentiation that could have obfuscated the effect of the BXs. The pairwise  $F_{ST}$ 331 landscape analysis, for the three isolates that had more than one  $F_5$  sample highlighted a very 332 restricted number of significantly high- $F_{ST}$  windows for each of the isolates, 1 window for DE6, 7 333 windows for MG618b and 12 windows for 0943 (Figure 3 B, D, F). Further analysis, including 334 identifying the annotated genes that were at least partially inside the high- F<sub>ST</sub> windows revealed 335 some genes that are of a similar family to the genes found in the comparison of the susceptible 336 and resistant  $F_0$  samples.

337 In MG618b, the ATP-binding cassette was found in a high- $F_{ST}$  window, which is expected to play 338 a role in the provision of energy that would be needed to provide translocation across 339 membranes. In 09\_43, one high-  $F_{sT}$  window was the same as one that was identified in the 340 comparison between the two  $F_0$  phenotypes, however, no genes were present in that window 341 which therefore makes further analysis difficult. However, in the other windows, a few genes 342 were identified. The sarcoplasmic calcium binding protein was identified through a blast search. 343 An adhesion G-coupled receptor was also identified, which may be involved in the G-protein-344 coupled receptor signalling pathway. There were also several uncharacterized genes that are 345 also found in the human hookworm, Necator americanus, where they were matched with a 346 partial mRNA.



347

Figure 3: Population genomic analysis withing susceptible isolates, comparing samples reared on BX<sup>+</sup> and bx.
 Shown here are PCA analyses (, the ellipses hold no statistical significance) and F<sub>sT</sub> landscapes (red points
 denoting windows with an F<sub>sT</sub> value that is higher than the 3rd quartile plus 1.5 times the interquartile range). A)
 PCA analysis of the isolate 0943, B) F<sub>sT</sub> landscape of the isolate 0943; C) PCA analysis of the isolate MG618b, D) F<sub>sT</sub>
 landscape of the isolate MG618b; E) PCA analysis of the isolate DE6, F) F<sub>sT</sub> landscape of the isolate DE6

Table 2: Enriched GO terms in regions of *F*<sub>ST</sub> value that is higher than the 3rd quartile plus 1.5
 times the interquartile range. Significant: number of genes associated to OG term that are
 considered significant, Expected: number of genes from dataset that would be expected to be
 associated to the GO term given random chance.

biological processes fstpeaks							
	GO.ID	Term	Annotated	Significant	Expected	P_value	
1	GO:0006412	translation	104	26	15.19	5.40E-05	
2	GO:0008610	lipid biosynthetic process	71	15	10.37	6.90E-05	
3	GO:0040018	positive regulation of multicellular organism growth	46	12	6.72	0.00073	
5	GO:0008340	determination of adult lifespan	75	16	10.95	0.00113	
6	GO:0008150	biological_process	1613	247	235.57	0.00194	
7	GO:0007165	signal transduction	292	61	42.65	0.00256	
8	GO:0036498	IRE1-mediated unfolded protein response	41	10	5.99	0.00349	
9	GO:0055085	transmembrane transport	196	31	28.63	0.00509	
10	GO:000003	reproduction	192	27	28.04	0.00584	
11	GO:0007041	lysosomal transport	8	4	1.17	0.00694	
12	GO:000027	ribosomal large subunit assembly	5	3	0.73	0.00699	
16	GO:0030199	collagen fibril organization	6	3	0.88	0.01303	
17	GO:0006535	cysteine biosynthetic process from serine	6	3	0.88	0.01303	
18	GO:0009792	embryo development ending in birth or egg hatching	103	17	15.04	0.01309	
19	GO:0018991	egg-laying behavior	42	7	6.13	0.01666	
20	GO:0007229	integrin-mediated signaling pathway	9	4	1.31	0.02105	
24	GO:0006099	tricarboxylic acid cycle	13	4	1.9	0.02703	
25	GO:0009653	anatomical structure morphogenesis	164	29	23.95	0.0277	

26	GO:0035264	multicellular organism growth	64	18	9.35	0.02839
27	GO:0006629	lipid metabolic process	140	30	20.45	0.0405
28	GO:0006631	fatty acid metabolic process	57	13	8.32	0.04161
29	GO:0007186	G protein-coupled receptor signaling pathway	33	8	4.82	0.04318
30	GO:0008361	regulation of cell size	17	4	2.48	0.04418
31	GO:0042026	protein refolding	15	4	2.19	0.04451
32	GO:0006096	glycolytic process	15	4	2.19	0.04451
33	GO:0072583	clathrin-dependent endocytosis	15	4	2.19	0.04451
40	GO:0010171	body morphogenesis	39	9	5.7	0.0463
molecular functions fstpeaks						
	GO.ID	Term	Annotated	Significant	Expected	P_value
1	GO:0005524	ATP binding	249	44	37.58	2.30E-05
2	GO:0003735	structural constituent of ribosome	46	13	6.94	0.00025
4	GO:0050661	NADP binding	5	3	0.75	0.00766
8	GO:0003779	actin binding	13	4	1.96	0.01418
9	GO:0050017	L-3-cyanoalanine synthase activity	6	3	0.91	0.01423
10	GO:0004124	cysteine synthase activity	6	3	0.91	0.01423
11	GO:0003756	protein disulfide isomerase activity	7	3	1.06	0.02315
18	GO:0046872	metal ion binding	328	42	49.5	0.03416
19	GO:0005544	calcium-dependent phospholipid binding	8	3	1.21	0.03444
20	GO:0005509	calcium ion binding	21	5	3.17	0.04505
21	GO:0050660	flavin adenine dinucleotide binding	21	5	3.17	0.04505

<sup>357</sup> 

## 358 Discussion

This study provides a remarkable demonstration of the rapid adaptation of EPNs to a class of plant defense compounds sequestered by their insect host. The significant differences in infection rates between susceptible and resistant EPN isolates, which were evident at the start of the experiment, disappeared after only three generations of exposure to BX-sequestering WCR larvae. The genomic analyses highlight key loci involved in DNA repair, protein synthesis, and metabolism, providing new insights into the genetic mechanisms driving this adaptation and offering potential targets for enhancing biocontrol strategies.

366 The rapid adaptation to BXs highlights the remarkable plasticity and adaptability of EPNs. Such 367 rapid adaptation to host-sequestered plant defense compounds suggests strong selective 368 pressures in BX<sup>+</sup>-fed WCR larvae, allowing for swift genetic shifts. This is consistent with previous 369 findings (Zhang et al. 2019), where BX susceptibility was overcome in a short evolutionary 370 timeframe. While the lower infectivity of resistant  $F_5$  isolates compared to  $F_0$  might initially seem puzzling, it is likely explained by differences in environmental factors at the time of the assay. 371 372 Variations in conditions such as temperature, humidity, UV, could have influenced the outcome. 373 This hypothesis will be further tested by performing infectivity assays with  $F_0$  and  $F_5$  isolates 374 simultaneously, ensuring that environmental factors remain consistent and allowing for a more

accurate comparison of their infectivity levels. The fact that BX<sup>+</sup>-selected susceptible and
resistant isolates performed similarly emphasizes the potential for natural enemies, like EPNs,
to quickly adjust to specialized herbivores. Yet, the underlying genetic mechanisms remained
unexplored.

379 Population genomics revealed that metabolic and developmental processes might explain 380 differences in infectivity of BX-resistant and BX-susceptible EPN isolates. The high- $F_{\rm ST}$  regions in 381 the comparison between  $F_0$  susceptible and  $F_0$  resistant isolates highlighted a wide range of 382 enriched GO terms which may be involved in the ability of the EPN to handle BXs. The heightened 383 activity in translation could be linked to the BX's potential to intercalate into DNA, which could 384 disrupt normal transcription and translation processes (Du Fall and Solomon 2011). In response, 385 H. bacteriophora may be ramping up ribosomal activity to ensure that protein synthesis remains 386 efficient, compensating for any BX-induced transcriptional hindrance. This could reflect a 387 cellular strategy to overcome BX's interference by ensuring a steady output of necessary 388 proteins, crucial for survival and infectivity. The regulation of genes involved in protein synthesis, 389 particularly translation and ribosome-associated processes could be important given that BX 390 compounds are known to inhibit enzymes, especially those containing cysteine residues. This 391 increased demand for protein production may represent a compensatory mechanism. The 392 organism might be overproducing proteins to counteract the inhibitory effects of BX on key 393 enzymes, such as those involved in digestion, ensuring that essential functions are maintained 394 even under BX stress. Fatty acid metabolism, another enriched process, plays a significant role 395 in the context of BX exposure. BXs could impair membrane integrity or lipid biosynthesis, key 396 components for maintaining cellular structure and energy storage. The organism's response 397 appears to involve upregulation of lipid biosynthesis and fatty acid metabolism, which may be 398 linked to maintaining membrane stability and adapting energy resources to support survival in 399 the presence of BX. Since lipids are crucial for infectivity, particularly in processes like 400 membrane fusion during host invasion, this metabolic adjustment might also help maintain the 401 nematode's infective potential despite BX stress. Such gene families are already known to be 402 important in the ability of other EPN species to infect their hosts (Dillman et al. 2015) and have 403 been shown to potentially play a role in BX resistance (Ogi et al. in review). Transport processes, 404 particularly transmembrane transport, could be pivotal in managing BX exposure. The 405 enrichment in transmembrane transport pathways suggests that H. bacteriophora might be 406 employing transporter proteins to exclude BX from entering its cells, thus mitigating the toxic 407 effects (as in Machado et al. (2020)). Regulating the movement of BX across membranes could 408 potentially limit its accumulation and minimizing its harmful impact on cellular processes.

409 Finally, the enrichment of pathways related to growth and development, such as the regulation 410 of multicellular organism growth and anatomical structure morphogenesis, indicates that H. 411 bacteriophora is balancing stress adaptation with maintaining developmental processes. 412 Despite the toxic environment, the organism appears to prioritize growth, possibly to ensure its 413 ability to develop and remain infective. This suggests a robust adaptive mechanism that 414 integrates stress response with essential developmental pathways, allowing the nematode to 415 maintain its life cycle and infectivity even under BX exposure. Some of the GO terms, particularly 416 polypeptide N-acetylgalactosaminyltransferase activity has already been found to be in areas of 417 divergence in previous studies on the aspect of plant toxin tolerance in EPNs (Ogi et al. in review)

418 The population genomic approaches showed a stronger divergence between  $F_0$  and  $F_5$  isolates. 419 The single isolate PCAs separating the  $F_0$  samples more strongly from the  $F_5$  samples in most 420 cases could be due to the fact that the nematode isolates had been exclusively reared on G. 421 mellonella larvae between their entry into the lab rearing and the start of the experiment 422 presented here, so the adaptation for five generations on a different insect larvae (D. virgifera) 423 who is not only much smaller than G. mellonella but also has a massively different diet, even 424 disregarding the presence of BXs. The greater wax moth larva is a parasitic organism that prays 425 on honeybees, which would on one hand include much more animal protein in their diet and also 426 make them an organism that may not be part of the natural rang of hosts for *H. bacteriophora*, 427 which are aquatic nematodes exclusively found underground.

428 One gene family that was found in single isolate comparisons between BX<sup>+</sup> and bx<sup>-</sup> F<sub>5</sub> adapted 429 samples, particularly the one involved in ATP binding has already previously been found to show 430 difference between susceptible and resistant nematode isolates (Ogi et al. in review). This 431 particular apparent importance of energy conservation could be a change that is relevant for all 432 the different potential aspects listed above, as the basis of energy conservation is instrumental 433 in the execution of the other processes. The sarcoplasmic calcium binding protein that was 434 identified in the isolate 09\_43 can exclusively be found in invertebrates, particularly in neuronal 435 and muscle tissue (Hermann and Cox 1995). They are characterized as EF-hand calcium buffering proteins and hypothesised to play a role in invertebrate muscle relaxation. Calcium has 436 437 been shown to play an important role int both neural functioning an aging/neurodegeneration in 438 C. elegans (Tanimoto et al. 2017; Alvarez et al. 2020), which could mean that a calcium binding 439 protein would be important in the ability of H. bacteriophora to ensure the damage acquired from 440 the BXs could be evaded, such as through rapid development followed by refocusing much of the 441 energy present into reproduction, to heighten chances of survival for the following generations.

442 The adhesion G-coupled receptor is known to play a role in the immune system, where it is shown 443 to be involved in the response to systemic inflammation (Yona et al. 2008). Since G-protein 444 coupled receptors are very diverse sensory receptors, they also have a wide variety of application 445 in an organism, particularly as a signalling receptors in immune response. Though most of the 446 knowledge of their role in immune response is gathered from the response of C. elegans to 447 pathogens and damage associated molecular patterns (Gupta and Singh 2017), a similar 448 importance could be assigned to them in response to other types of damage, such as the 449 presence of benzoxazinoids. Though BXs interact with cells and cell functioning in different ways 450 to pathogens, the importance of actually transmitting information regarding any type of attack 451 can not be underestimated, and in such a generalist organism as H. bacteriophora, the need to 452 use any possible mechanism for the lack of specific responses may be present.

453 In conclusion, in this study we demonstrate the remarkable ability of Heterorhabditis 454 bacteriophora to rapidly adapt to the host-sequestered plant defense compounds 455 benzoxazinoids within as few as three generations of selection. The genomic analyses revealed 456 key loci involved in DNA repair, protein synthesis, and metabolic processes that likely contribute 457 to this swift adaptation. These findings highlight potential targets for enhancing the efficacy of 458 EPNs in biocontrol strategies and provide insights into the broader mechanisms by which 459 organisms can overcome defense mechanisms. These genes and loci will have to be confirmed 460 by conducting additional and complementary experiments, such as identifying selective sweeps 461 in addition to the  $F_{st}$  analyses, as well as performing RNAi silencing on the identified genes to 462 establish if the ascribed function is truly their effect.

463

## 464 Acknowledgements

We would like to thank the Next Generation Sequencing Platform of the University of Bern for performing the high-throughput sequencing experiments. The work of VO and DM was supported by the Swiss National Science Foundation [310030\_192564] to ME. The work of CAMR was supported by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme [ERC-2019-STG949595] and the Swiss National Science Foundation [310030\_189071].

## 472 Tables

473 **Table 3:** Enriched GO terms in regions of  $F_{ST}$  value that is higher than the 3rd quartile plus 1.5 times the interquartile 474 range

475

# 476 Figure legend

- 477 Figure 1: Comparing the infectivity of susceptible and resistant isolates in benzoxazinoid-containing larvae
- 478 (BX<sup>+</sup>-fed) over several generations (F<sub>0</sub>s (A), F<sub>3</sub>s(B) and F<sub>5</sub>s (C)) Asterisk (\*) indicate significant difference in
- 479 infectivity between resistant and susceptible nematode isolates at a time point between day 3 and 7 post exposure
- 480 (P<0.05). The legend on the right of each figure shows the significance of the contribution the factors phenotype and
- time have in determining these differences. ,\*\*:0.001<p<0.01,\*: 0.01<p<0.05, Error Bars: MSe.

482

483Figure 2: Population genomic analysis between susceptible and resistant  $F_0$ , including an  $F_{ST}$  landscape, a PCA,484a GO term network analysis and a list of enriched GO terms. A)  $F_{ST}$  landscape comparing susceptible and resistant485isolates, with red points denoting windows (1000 bp) that is higher than the 3rd quartile plus 1.5 times the interquartile486range. B) a PCA showing PC 1 and 2 of the susceptible (light green) and resistant (brown) isolates. The ellipses hold no487statistical significance and only show the range of both groups. C) A network analysis of gene in high  $F_{ST}$  windows,488translated to C. elegans genes using a blast alignment, performed in ShinyGO. D) GO terms enriched in high  $F_{ST}$ 489windows, calculated using the R package topGO.

490

491Figure 3: Population genomic analysis withing susceptible isolates, comparing samples reared on BX\* and bx.492Shown here are PCA analyses (, the ellipses hold no statistical significance) and  $F_{ST}$  landscapes (red points493denoting windows with an  $F_{ST}$  value that is higher than the 3rd quartile plus 1.5 times the interquartile range). A)494PCA analysis of the isolate 0943, B)  $F_{ST}$  landscape of the isolate 0943; C) PCA analysis of the isolate MG618b, D)  $F_{ST}$ 495landscape of the isolate MG618b; E) PCA analysis of the isolate DE6, F)  $F_{ST}$  landscape of the isolate DE6

496

# 498 Supplementary Figures

- Figure S1: Concordance analysis of all samples compared to previously sequenced samples of the eight isolates
   represented here
- Figure S2: Benzoxazinoid (BX)-susceptible entomopathogenic nematodes (EPNs) can be selected to be as infective
   as BX-resistant EPNs within three parasitism cycles in BX<sup>+</sup>-fed Western Corn Rootworm larvae (WCR).
- Figure S3: F<sub>0</sub> infectivity rates of individual entomopathogenic nematode (EPN) isolates in Western Corn Rootworm
   larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx).
- Figure S4: F₃ infectivity rates of individual entomopathogenic nematode (EPN) isolates (F₀) in Western Corn
   Rootworm larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx)
- Figure S5: F₅ infectivity rates of individual entomopathogenic nematode (EPN) isolates (F₀) in Western Corn
   Rootworm larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx)
- 509 Figure S6: Population genetic comparison between all the samples created and used in this study
- 510 Figure S7:  $F_{ST}$  landscape of all comparisons between different treatments (susceptible isolates F<sub>0</sub>, resistant isolates 511 F<sub>0</sub>, both in F<sub>5</sub> adapted to BX<sup>+</sup> and both in F<sub>5</sub> adapted to bx<sup>-</sup>
- 512 Figure S8: PCA showing principal components (PC) 1 to 5 for the isolate VM1, a resistant isolate
- 513 Figure S9: PCA showing principal components (PC) 1 to 5 for the isolate HU2, a resistant isolate
- 514 Figure S10: PCA showing principal components (PC) 1 to 5 for the isolate IT6, a resistant isolate
- 515 **Figure S11:** PCA showing principal components (PC) 1 to 5 for the isolate MG618b, a susceptible isolate
- 516 Figure S12: PCA showing principal components (PC) 1 to 5 for the isolate DE6, a susceptible isolate
- 517 Figure S13: PCA showing principal components (PC) 1 to 5 for the isolate 0943, a susceptible isolate
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521

522 Figure S1: Concordance analysis of all samples compared to previously sequenced samples of the eight

isolates represented here. Each plot represents one previous sample compared to all current samples, points in
 red mean that this samples is supposed to be the same as the old sample. Lower values show lower discordance,

525 i.e. higher likelihood of the samples being of the same isolate.

### 527 Figure S2





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530

531 Figure S2: Benzoxazinoid (BX)-susceptible entomopathogenic nematodes (EPNs) can be selected to be as 532 infective as BX-resistant EPNs within three parasitism cycles in BX⁺-fed Western Corn Rootworm larvae (WCR). 533 EPNs were selected by rearing them in BX<sup>+</sup>-fed WCR larvae for 5 parasitism cycles (F<sub>0</sub> to F<sub>5</sub>) in BX<sup>+</sup>-fed WCR larvae (n=4 534 subpopulation per isolate and WCR diet). EPN infectivity (Mean ± SEM) was recorded by adding 50 EPNs to 5 WCR 535 larvae and visually inspecting the larvae for colour change daily between day 3 and day 7 after EPN addition. Means of 536 the subpopulation averages are shown. Red panel (left): BX-resistant EPN isolates. Yellow panel (right): BX susceptible 537 EPN isolates. Solid line: EPN infectivity in BX<sup>+</sup>-fed WCR. Dotted line: EPN infectivity in bx<sup>-</sup>-fed WCR. Diet: Diet of the 538 WCR larvae used for infectivity. Two-Way ANOVA on repeated measured were conducted. Stars indicate significant 539 differences between EPN infectivity on BX<sup>+</sup> and bx<sup>-</sup> fed WCR larvae within the day of observation. \*: p<0.05; \*\*: p<0.01; 540 \*\*\*: p<0.001.

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Legend

EPNs reared in W22-fed WCR

\_\_\_\_ EPNs reared in BX:W22-fed WCR

546 Figure S3: Fo infectivity rates of individual entomopathogenic nematode (EPN) isolates (Fo) in Western Corn 547 Rootworm larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx). EPN 548 infectivity rate (Mean ± SEM) was recorded by adding 50 EPNs to 5 WCR larvae and visually inspecting the larvae for 549 colour change daily between day 3 and day 7 after EPN addition (n=5). Red panel (left): BX-resistant EPN isolates. 550 Yellow panel (right): BX susceptible EPN isolates. Solid line: EPN infectivity in BX+-fed WCR. Dotted line: EPN infectivity 551 in bx-fed WCR. Diet: Diet of the WCR larvae used for infectivity. Two-Way ANOVA on repeated measured were 552 conducted. Stars indicate significant differences between EPN infectivity on BX<sup>+</sup> and bx<sup>-</sup>fed WCR larvae within the day 553 of observation. \*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001.

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## Legend — EPNs reared in W22-fed WCR - - - - EPNs reared in BX:W22-fed WCR

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558 Figure S4: F<sub>3</sub> infectivity rates of individual entomopathogenic nematode (EPN) isolates (F<sub>0</sub>) in Western Corn 559 Rootworm larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx). EPN 560 infectivity rate (Mean ± SEM) was recorded by adding 50 EPNs to 5 WCR larvae and visually inspecting the larvae for 561 colour change daily between day 3 and day 7 after EPN addition (n=5). Red panel (left): BX-resistant EPN isolates. 562 Yellow panel (right): BX susceptible EPN isolates. Solid line: EPN infectivity in BX+-fed WCR. Dotted line: EPN infectivity 563 in bx-fed WCR. Diet: Diet of the WCR larvae used for infectivity. Two-Way ANOVA on repeated measured were 564 conducted. Stars indicate significant differences between EPN infectivity on BX<sup>+</sup> and bx<sup>-</sup> fed WCR larvae within the day 565 of observation. \*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001.

### 568



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- EPNs reared in W22-fed WCR ————— EPNs reared in BX:W22-fed WCR

570 Figure S5: F₅ infectivity rates of individual entomopathogenic nematode (EPN) isolates (F₀) in Western Corn 571 Rootworm larvae (WCR) fed on wild type plants containing benzoxazinoids (BX<sup>+</sup>) and bx mutant plants (bx). EPN 572 infectivity rate (Mean ± SEM) was recorded by adding 50 EPNs to 5 WCR larvae and visually inspecting the larvae for 573 colour change daily between day 3 and day 7 after EPN addition (n=5). Red panel (left): BX-resistant EPN isolates. 574 Yellow panel (right): BX susceptible EPN isolates. Solid line: EPN infectivity in BX+-fed WCR. Dotted line: EPN infectivity 575 in bx-fed WCR. Diet: Diet of the WCR larvae used for infectivity. Two-Way ANOVA on repeated measured were 576 conducted. Stars indicate significant differences between EPN infectivity on BX<sup>+</sup> and bx-fed WCR larvae within the day 577 of observation. \*: p<0.05; \*\*: p<0.01; \*\*\*: p<0.001.

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585 STRUCTURE analysis of all samples, with K=1-K=8. Samples are ordered by isolates, and withing isolates by

treatment, first  $F_0$ , then  $F_5$  control (adapted to bx<sup>-</sup>) and then  $F_5$  treatment (adapted to BX<sup>+</sup>) The colors used in this plot

have no specific implications and only represent the difference between STRUCTURE groups. B) Phylogenetic tree of
 all samples, the colors represent the species, with cold colors representing resistant isolates and warm colors

589 representing susceptible isolates. C) A PCA analysis of all samples. The colors are the same ones as in the

590 Phylogeny, the different shades of one color represent the different treatments, with lighter colors showing  $F_5$ 

samples adapted to BX<sup>+</sup>, and dark colors showing F<sub>0</sub>. The median color is samples adapted to bx<sup>-</sup>.



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594 Figure S7:  $F_{ST}$  landscape of all comparisons between different treatments (susceptible isolates  $F_0$ , resistant 595 isolates  $F_0$ , both in  $F_5$  adapted to BX<sup>+</sup> and both in  $F_5$  adapted to bx<sup>-</sup>.  $F_{ST}$  landscapes of all comparisons present in 596 this study, the red dots represent windows with an  $F_{ST}$  value that is higher than the 3rd quartile plus 1.5 times the 597 interquartile range. From top to bottom: resistant  $F_0$  to resistant  $F_5$  bx; resistant  $F_0$  to resistant  $F_5$  BX<sup>+</sup>; resistant  $F_0$  to 598 susceptible  $F_0$ ; resistant  $F_0$  to susceptible  $F_5$  bx; resistant  $F_0$  to susceptible  $F_5$  BX<sup>+</sup>; resistant  $F_5$  bx to resistant  $F_5$  BX<sup>+</sup>; 599 resistant  $F_5$  bx to susceptible  $F_0$ ; resistant  $F_5$  bx to susceptible  $F_5$  bx; resistant  $F_5$  bx to susceptible  $F_5$  BX<sup>+</sup>; resistant 600  $F_5 BX^+$  to susceptible  $F_0$ ; resistant  $F_5 BX^+$  to susceptible  $F_5 bx^-$ ; resistant  $F_5 BX^+$  to susceptible  $F_5 bX^+$ ; susceptible  $F_0$  to 601 susceptible  $F_5$  bx<sup>-</sup>; susceptible  $F_0$  to susceptible  $F_5$  BX<sup>+</sup>; susceptible  $F_5$  bx<sup>-</sup> to susceptible  $F_5$  BX<sup>+</sup>. 602





605

606 Figure S8: PCA showing principal components (PC) 1 to 5 for the isolate VM1, a resistant isolate. Each of the four

 $\begin{array}{ll} 607 & \mbox{plots represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents F_5 bx^-, the \\ 608 & \mbox{medium color } F_5 BX^+ \mbox{ and the dark color } F_0. \end{array}$ 







612 Figure S9: PCA showing principal components (PC) 1 to 5 for the isolate HU2, a resistant isolate. Each of the four

block represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents  $F_5$  bx<sup>-</sup>, the

 $614 \qquad \text{medium color } F_5 \, BX^* \, \text{and the dark color } F_0.$ 

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618

619 Figure S10: PCA showing principal components (PC) 1 to 5 for the isolate IT6, a resistant isolate. Each of the four

blots represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents F<sub>5</sub> bx<sup>-</sup>, the

 $\label{eq:result} 621 \qquad \text{medium color } F_5 \, BX^* \text{ and the dark color } F_0.$ 

622



626 Figure S11: PCA showing principal components (PC) 1 to 5 for the isolate MG618b, a susceptible isolate. Each of

627 the four plots represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents  $F_5$  bx<sup>-</sup>, 628 the medium color  $F_5$  BX<sup>+</sup> and the dark color  $F_0$ .

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Figure S12: PCA showing principal components (PC) 1 to 5 for the isolate DE6, a susceptible isolate. Each of the
 four plots represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents F₅ bx<sup>-</sup>, the

 $636 \qquad \text{medium color } F_5 \, BX^* \text{ and the dark color } F_0.$ 

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640

641 Figure S13: PCA showing principal components (PC) 1 to 5 for the isolate 0943, a susceptible isolate. Each of the

642 four plots represents 2 PCs, with the lower PC always appearing on the x-axis. The lighter color represents  $F_5$  bx<sup>-</sup>, the

 $643 \qquad \text{medium color } F_5 \, BX^* \text{ and the dark color } F_0.$ 

644

# 646 Supplementary Tables

647

- 648 Table S1
- 649
- **Table S1:** List of entomopathogenic nematode (EPN) isolates, response to benzoxazinoids, andorigin.

Isolate	Response to benzoxazinoids	Origin	susceptibility
MG618b	Susceptible	Switzerland	Susceptible
09-43	Susceptible	Turkey	Susceptible
DE6	Susceptible	Germany	Susceptible
EN01	Susceptible	Germany	Susceptible
IT6	Resistant	Italy	Resistant
DE2	Resistant	Germany	Resistant
HU2	Resistant	Hungary	Resistant
VM1	Resistant	Spain	Resistant

## 653 Table S2

**Table S2:** List of samples of entomopathogenic nematode (EPN) isolates, that were reared to large enough quantities for DNA extraction and sequencing.

Isolate	Diet	Sus_res	Subgroup
09_43	F <sub>0</sub>	susceptible	F <sub>0</sub>
VM1	Wt	resistant	В
VM1	Wt	resistant	С
VM1	Wt	resistant	D
VM1	Bx1	resistant	А
VM1	Bx1	resistant	В
VM1	Bx1	resistant	С
MG618B	Wt	susceptible	D
MG618B	Bx1	susceptible	А
MG618B	Bx1	susceptible	В
MG618B	Bx1	susceptible	С
IT6E	Wt	resistant	А
IT6E	Wt	resistant	В
IT6E	Wt	resistant	С
IT6E	Wt	resistant	D
IT6E	Bx1	resistant	А
IT6E	Bx1	resistant	В
IT6E	Bx1	resistant	D
HU2	Wt	resistant	А
HU2	Wt	resistant	В
HU2	Wt	resistant	D
HU2	Bx1	resistant	А
HU2	Bx1	resistant	В
HU2	Bx1	resistant	С
HU2	Bx1	resistant	D
09_43	Wt	susceptible	А
09_43	Wt	susceptible	В
09_43	Wt	susceptible	С
09_43	Wt	susceptible	D
09_43	Bx1	susceptible	А
09_43	Bx1	susceptible	С
DE2	Bx1	resistant	С
DE6	Wt	susceptible	А
DE6	Wt	susceptible	В
DE6	Wt	susceptible	D
DE6	Bx1	susceptible	C
DE6	Bx1	susceptible	D
VM1	Wt	resistant	A
DE2	F <sub>0</sub>	resistant	F <sub>0</sub>
DE6	F <sub>0</sub>	susceptible	F <sub>0</sub>
ENOI	$F_0$	susceptible	F <sub>0</sub>
HU2	F <sub>0</sub>	resistant	F <sub>0</sub>
IT6E	$\mathbf{F}_0$	resistant	$F_0$

	MG618B	F <sub>0</sub>	susceptible	$F_0$
	VM1	F <sub>0</sub>	res	F <sub>0</sub>
656				

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## 1 General discussion

2 In this thesis, I investigated the mechanisms and biological consequences of benzoxazinoid 3 resistance in natural enemies of herbivores. We present a de-novo chromosome scale genome assembly of the entomopathogenic nematode (EPN) Heterorhabditis bacteriophora as well as a 4 5 comparative genomic analysis looking at genetic features that are unique to EPNs. We 6 disentangled the relative importance of the symbiotic bacterium Photorhabdus in the evolution 7 of different Heterorhabditis species and identified candidate genes for the difference in 8 susceptibility to plant toxins in H. bacteriophora isolates that share evolutionary history with 9 benzoxazinoids compared to those that do not. We also conducted a large-scale guided evolution experiment on a sample set of both resistant and susceptible isolates to distinguish 10 11 the genes most likely involved in the actual susceptibility to benzoxazinoids without accounting for genetic differences accumulated over prolonged evolutionary distance. We also confirmed 12 13 the chromosomal structure of H. bacteriophora using immunofluorescence imaging. Below, I 14 discuss the results of the chapters in my thesis, I particularly give a more general overview over 15 EPN specific genes and what is important in plant toxin tolerance, explain what will still have to 16 be done, and I discuss how these results impact the field.

17

## 18 What are features that are unique to EPNs?

19 EPNs are a monophyletic clade of nematodes that are identified by their life cycle involving a 20 period of time existing in the haemolymph of an insect or mollusc host, while feeding on 21 symbiotic bacteria that had been ejected into the host by the EPN itself (Ciche and Ensign 2003; 22 Poinar 1966; Martens et al. 2004; Ciche et al. 2006). As only two genera of EPNs are undisputedly 23 known (Heterorhabditis and Steinernema) and until recently not many high-quality genetic 24 resources have been available, the genetic basis of this unique life strategy has not been well 25 studied (Maushe et al. 2023). We use a gene-based approach to investigate the differences 26 between EPNs and nematodes with other life strategies, including mammalian parasites, plant 27 parasites and free-living nematodes (Chapter 1). Many of these nematodes share certain aspects of what defines the life strategy of the EPNs, for example mammalian parasites are also exposed 28 29 to an immune system within their host, or the free-living nematodes such as C. elegans, which is 30 also a bacterivore nematode. However other aspects of the EPN lifestyle are more restricted, 31 such as the (obligate) inclusion of a symbiotic bacterium (Sajnaga and Kazimierczak 2020; 32 Machado et al. 2018). While both genera can survive and kill host insects without the symbiotic 33 bacteria, Heterorhabditis relies on the symbiont for successful infection and reproduction inside

the insect host (Ruby 2008). Steinernema can infect their hosts without the symbiotic bacterium
(*Xenorhabdus*) but their mutualistic interaction aides the infection process greatly (Han and
Ehlers 2000).

37 The gene families that were found to potentially be involved in the EPN lifestyle include ones that could reasonably be supposed to play a role in response to the insect immune system (Chapter 38 39 1). Particularly striatin domain-containing proteins, which could be involved in pathways relating 40 to signalling proteins and could therefore be involved in the response to the host immune system (Tanti et al. 2023) as well as Heparan-alpha-glucosaminide N-acetyltransferase which is involved 41 42 in the lysosomal degradation of heparin sulfate and as a transferase playing a role degradation 43 could also be expected to interact with proteins other compounds produced by the host insect 44 as an immune response (Schiff et al. 2020). Glyco\_trans\_2-like domain-containing proteins, 45 however, while also being different in EPNs compared to other nematodes, could be more likely 46 expected to be involved in adaptation to the food source due to its role in glycosyltransferase 47 during the biosynthesis of sugars (Sobhanifar et al. 2016). Due to the special case of a mostly 48 bacterivorous nematode living in an insect host, the processing of nutrients could be expected 49 to differ somewhat from many of the other nematodes presented here. Even though there are 50 other bacterivorous nematodes represented in the study, such as C. elegans, the difference in 51 their environment could lead to different needs for processing and digestion of the food. Other 52 genes have previously been identified as being putatively involved in the parasitism of EPNs, 53 particularly in Steinernema, where proteases and protease inhibitors are postulated to be 54 important in the parasitic life strategy, which are known to be involved in digestion, development 55 and other processes as well as immune responses (Dillman et al. 2015). The other family of genes supported in that study are fatty acid- and retinol-binding proteins, which are inhibiting 56 57 eicosanoid biosynthesis in insect hosts, which is another way to circumvent the immune system 58 (Dillman et al. 2015). The gene families identified in this study differ from the ones described 59 above, but they can be considered to work towards the same goal, i.e. the evasion of the host 60 immune system in any way possible. Since this work includes both genera of EPNs, the 61 identification would off course be different than in (Dillman et al. 2015), as the two genera of 62 EPNs are phylogenetically relatively distantly related, since they are a paraphyletic group (Trejo-63 Meléndez et al. 2024).

## 65 How does *H. bacteriophora* contend with plant toxins in host haemolymph?

66 We present a list of candidate gene families that show differences between susceptible and 67 resistant isolates of H. bacteriophora (Chapter 2) and ones that show differences between susceptible isolates that have been adapted to BX<sup>+</sup> host insects and bx<sup>-</sup> host insects (Chapter 3). 68 69 Among these candidate genes are several that are potentially relevant in the ability of an 70 organism to cope with toxic compounds, particularly benzoxazinoids. BXs are known to have 71 quite a widespread effect on the organism they are affecting, as such they can interrupt the 72 digestive process, particularly affecting the breakdown of proteins, thereby reducing the energy 73 efficiency of an organism (Wouters et al. 2016). The genes that may help with that effect are 74 particularly ones involved in the regulation of protein synthesis, as increasing the production of 75 digestive enzymes may reduce the negative effect achieved by the BXs and allow for a smaller 76 loss of energy. Other candidate genes that may be involved in the response to this aspect of BX 77 toxicity are the ones affecting growth and development, since the lowered efficacy of the 78 digestive system likely leads to a necessary reallocation of energy reserves, and allocating a large 79 part of the resources to rapid growth and therefore quick reproduction would be a way to on one 80 hand ensure reproductive success and on the other hand allow more chances for offspring to 81 escape the presence of BXs, for example in their infective juvenile form, where they are protected 82 by a double cuticle and do not need to eat (Timper and Kaya 1989; Johnigk and Ehlers 1999), 83 thereby lowering the exposure to BXs.

BXs are also known to damage DNA by intercalating into them, which can lead to issues with downstream mechanisms including replication and translation which can lead to the production of dysfunctional proteins which in turn can lead to cellular issues and ultimately cell death (Du Fall and Solomon 2011). This is why it might be important for process involved in translation processes to adapt, particularly to ensure continued production of proteins such as the enzymes mentioned above that are essential to keep a productive digestive system.

90 Another effect BXs are known to have is the increase of oxidative stress through the production 91 of reactive oxygen species (ROS) which are unstable molecules which easily and detrimentally 92 interact with various structures within a cell, such as lipids, proteins and DNA (Bayir 2005). Two of these and their relative importance as well as potential ways for organisms to react to them 93 94 were already mentioned above, but the effect of ROS on cell functioning are widespread, and 95 since oxidative stress can detrimentally interact with membrane integrity (Stanley and Kim 2018), 96 the candidate gene related to the fatty acid metabolism pathway that was identified and that 97 plays a major role in the response to ROS can be essential in membrane integrity and therefore 98 in the maintenance of the cell structure and energy storage. Fatty acid metabolism has already

99 previously been identified to be important in EPNs in general (Dillman et al. 2015) where it was 100 found to be important in the ability of Steinernema to infect insects. It may be that the importance 101 in that context, which could be in accordance with the response to insect immune systems 102 would be exacerbated in a case where not only the autonomous immune system of the insect is 103 involved but also a defense compound, which could be considered to be part of the plant 104 immune system and has been hijacked by the insect itself, thereby increasing both the defense 105 of the insect as well as the need of the EPN to defend itself against it.

## 106 Outlook and further perspectives

This study provides, apart from a lot of resources for further analysis, a list of candidate genes for
EPN life strategy and for BX tolerance in *H. bacteriophora*. However, many questions still remain
to be answered and experiments to be conducted. Further remaining questions are:

- Are there differences between bacterivorous and non-bacterivorous nematodes, as well
   as between parasitic and non-parasitic nematodes, based on their environment?
- How do the genetic changes actually interact with the plant toxins?
- What are the molecular changes achieved by the genetic differences between
   susceptible and resistant isolates?
- Are the identified genetic changes in response to BX adaptation needed and sufficient to
  affect the changes observed?

To get a better understanding of the actual genes underlying the differences between susceptible 117 118 and resistant isolates, further experiments are needed (Chapter 3). Particularly a similar 119 experiment with more controlled conditions, so external, non-controllable effect would be less 120 pronounced. If these effects could not be completely ruled out, a method to allow comparison 121 of different generation at the same time would have to be established, be that by allowing an 122 intermediate generation in Galleria mellonella larvae to get the different generations to be of the same age at the time of the experiment or by establishing a method to stagnate the infective 123 124 juveniles at a certain age without the strong decline in both living nematodes and infectivity that 125 is observed when keeping infective juveniles for a prolonged period of time using the current 126 methods. One of the issues that would be encountered with the first of these options is that in a 127 G. mellonella larvae, which is many times bigger than a Diabrotica virgifera larva, many more generation could happen, which in turn may reduce the effect of the guided evolution experiment 128 129 strongly. Of course, given that all analyses presented here were conducted on nematodes that 130 had at least on generation in G. mellonella larvae before DNA extraction and sequencing, doing 131 the final infectivity assay on nematodes that had been subjected to the exact same treatment 132 may give even stronger results regarding the actual correlation of the genetic changes and the 133 changes in infectivity. However, getting the F<sub>0</sub> generation to a good, highly infective state with 134 only one intermediary generation in G. mellonella may not be very easy, as different isolates of 135 H. bacteriophora lose infectivity at different rates, and therefore some of the isolates may have a 136 much different infection rate after the have been re-amplified after multiple months in stasis. The 137 alternative method of storage is at the moment only a hypothetical option, as such a method would first have to established and well tested to ensure that the storage would not have any 138 139 major effects on the infection rate in the stored nematodes and to ensure no other aspects of the 140 nematode behaviour and biology would change.

141 A lager samples size, both for the number of isolates used for the experiment as well as for the 142 number of replicates within samples could also be useful in the future. This is naturally difficult 143 to accomplish, as the scope of the experiment with such an increase would be difficult to carry 144 out in a reasonable amount of time while ensuring similarity of treatment between different 145 replicates. The larger number of isolates, particularly of susceptible isolates would allow for 146 more statistical significance, both in the comparison of F<sub>0</sub> samples and in the comparison of the 147 comparisons of the different treatments in  $F_5$  of the isolates. Having more replicates, both for samples adapted to  $BX^+$  and  $bx^-$  would allow for a much stronger  $F_{ST}$  analysis and would make 148 149 any signatures less likely to be due to errors either in sequencing or other steps of the process. 150 The way the experiment presented in this thesis was conducted lead to a relatively small number 151 of F<sub>5</sub> samples that were adapted to either BX<sup>+</sup> or bx<sup>-</sup> hosts. In some cases, this leads to a very low-152 confidence  $F_{ST}$  analysis, particularly in the case of MG618b, where only a single sample adapted 153 to bx was sequenced, which makes the  $F_{ST}$  analysis, a population-based differentiation analysis, 154 less strong than would be preferred. Given the still high cost of sequencing many high-quality 155 whole genome sequences, it may be possible to lay a stronger focus on the sequencing of the 156 susceptible isolates, as a reduced number of resistant isolates especially in the F5 generation 157 would still allow for a relevant and significant control.

158 The types of analyses conducted here were limited, partly due to time constraints and partly due to sample size issues. There are further analyses that would support the ones conduced here 159 160 and hopefully uncover more interesting aspects of the adaptation of EPNs to benzoxazinoids. 161 Particularly analysis identifying selective sweeps would be very interesting, as selective sweeps 162 can be more informative regarding recent positive selection, as would be expected from such a 163 relatively short evolution experiment. Selective sweeps are especially indicative of selection, 164 being considered direct evidence thereof due to a rapid increase in frequency of a beneficial 165 mutation, which leads to a decrease of genetic variation in the regions surrounding this mutation

166 (Smith and Haigh 1974), whereas a high  $F_{ST}$  value must not necessarily be due to positive 167 selection and can be an artifact, for example due to genetic drift. Selective sweeps also allow a 168 more in-depth insight into the temporal dynamics of adaptation, as they have properties that 169 leave discernible patterns in linkage disequilibrium and haplotype structures, which is not the 170 case for  $F_{ST}$  analysis, which in turn merely show the presence or absence of mutations.

171 To establish the importance of the candidate genes identified in this study and in any additional 172 analysis that may be conducted in the future, targeted testing will have to be conducted. One 173 way to do this is to use a process called RNAi, which exploits a cell's innate defences to silence 174 specific genes. The way this is done is by artificially creating a piece of double stranded RNA 175 according to the gene that is supposed to be silenced and exposing the cell to it. This dsRNA is 176 then recognized by the cell and cleaved into small interfering RNA by the enzyme Dicer, which 177 are then incorporated into the RNA induced silencing Complex where they are guided to 178 complementary mRNA sequences (the ones produced by the cell itself) which leads to the 179 cleavage and degradation of those mRNAs, thereby silencing the effect of the genes without any 180 genome editing (Kim and Rossi 2008). One of the main issues with this technique and therefore 181 one of the main reasons this has not been done yet in this project, is the difficulty of conducting 182 RNAi experiments in Heterorhabditis bacteriophora. While it is a widely used and generally well-183 established practice, the main stumbling block for its application is the way the dsRNA gets to 184 the cells. While this has been tried and tested in other nematode species, such as C. elegans, 185 where the nematode is exposed to the dsRNA through one of three methods, feeding (by altering 186 their food source, Escherichia coli, to produce the dsRNA (Timmons and Fire 1998)), soaking 187 (which incubates the nematode in a solution containing the dsRNA (Tabara et al. 1998)) or microinjection (which uses extremely thin glass needles to precisely puncture the nematode and 188 189 administer the dsRNA solution (Fire et al. 1998)), none of those methods have been used to great 190 success in our lab in *H. bacteriophora*. The feeding method is of course much more difficult given 191 that the preferred method of reproduction and therefore feeding for *H. bacteriophora* is in an 192 insect host. It is possible to grow the nematodes outside of insects, be that on agar plates 193 containing the symbiotic bacterium Photorhabdus or in bioreactors, but the dsRNA would need 194 to be somehow introduced into the bacteria or produced by the bacteria themselves, which is 195 much more difficult to accomplish in a bacterium that is much less well studied than E. coli. Both 196 of the other methods mentioned above, soaking (Moshayov et al. 2013; Ciche and Sternberg 2007) and microinjection (Ratnappan et al. 2016) have been used successfully in H. 197 198 bacteriophora, however, as neither of these protocols are established in the lab, we have not had 199 the chance to test the importance of the identified candidate genes yet. However, to confidently

identify the genes that are at the basis of this phenotype, such a next step is necessary and willhave to be conducted.

## 202 Impact of the presented work

203 This work provides the field with a new, high-quality chromosome scale genome assembly of the 204 EPN species *H. bacteriophora*, which will make more studies into the genetics of EPNs in general 205 and *H. bacteriophora* in particular feasible. It will allow for a clearer understanding of how this 206 species can be used in agriculture and which regions of the genome are responsible for certain 207 responses to different biotic and abiotic conditions (Chapter 1). While this study focuses solely 208 on the interaction of H. bacteriophora with the western corn rootworm Diabrotica virgifera and 209 the maize toxin benzoxazinoid sequestered therein, it still gives a basis to be able to potentially 210 extrapolate from the data collected here and get a better overview of how the nematode handles 211 challenges that it may not be used to, as the environment and challenges faced by the 212 nematodes are constantly changing and evolving, thus forcing the nematode itself to also change 213 in an evolutionary arms race. As this type of interaction is very common and extends far beyond 214 the constraints of both the study system and application, it can provide valuable insights into the 215 process in general.

216 Apart from insights into the interaction between EPNs and their host, the data presented here 217 can also be helpful to further the knowledge regarding specifics of the parasitic lifestyle (Chapter 218 1). The genes highlighted during this work are a starting point to establish more knowledge about 219 the genes and mutations therein that would be important for such a widespread and still unique 220 lifestyle. Even though this work only focuses on nematode parasites, even more specifically 221 nematodes that are entomopathogenic, it provides knowledge to aspects of the parasitic 222 lifestyle, and the genome that is presented can be used further to compare with other types of 223 parasites in all genera that have parasitic representatives, in order to find similarities that are 224 special to parasites. It would of course not be expected that the same genes would be involved 225 in parasitism in all organisms but knowing what mutations all the different types of parasites have 226 could be used to more easily find parasitism genes in new, non-model organisms, and could 227 therefore be used to protect against harmful parasites while providing knowledge about how to 228 support 'beneficial' parasites.

The commercial use of this nematode is already happening, but from our data it can be seen that the most widely commercially distributed isolate of *H. bacteriophora*, EN01, is actually one of the few isolates that do not have the ability to cope with the presence of BXs in their host haemolymph (Chapters 2&3). Therefore, it may be helpful to identify the specific genes 233 responsible for this adaptation to facilitate easier crossing of a resistant isolate with already 234 established isolates, which are high performing in other important aspects, such as desiccation 235 or heat tolerance, to increase the resistance of such a generally available isolate, and therefore 236 increasing the area of applicability for this product. The knowledge that can be gathered from this 237 new genomic resource can of course also be applied to other aspects of nematode biology, 238 ecology and physiology, helping to identify mutations and adaptations to other types of stressors, 239 be they biotic, such as fungi or viruses; or abiotic, such as UV stress or desiccation, which are 240 important factors in the success and applicability of EPNs (Maushe et al. 2023). While EPNs with 241 high tolerance to these various stressors have been successfully produced through conventional 242 methods of crossbreeding of various isolates with beneficial mutations, knowing the specific loci 243 responsible for these differences would be helpful to create more consistent and widely 244 successful isolates more easily.

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336	

## 1 Annex I

## 2 Chapter 4 – Chromosome structure of Heterorhabditis bacteriophora

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## 9 Abstract

10 While the genome of Heterorhabditis bacteriophora, an entomopathogenic nematode, has been 11 sequenced, significant gaps remain in our understanding of its chromosomal structure. This 12 study aims to characterize the chromosomal organization of H. bacteriophora and comparing it 13 with Caenorhabditis elegans. Our findings revealed a unique chromosomal architecture in H. 14 bacteriophora, where TEs are predominantly concentrated in the central regions of 15 chromosomes, while genes are less biased toward the center and, in some cases, more 16 prevalent at the chromosomal ends. In contrast, C. elegans showed the expected pattern of gene-rich central regions and TE accumulation at the chromosomal ends. Further comparative 17 18 analysis across *Heterorhabditis* species identified patterns of reduced  $F_{ST}$  and  $d_{XY}$  in the central regions, suggesting evolutionary conservation. Yet, immunofluorescence staining confirmed 19 20 that H. bacteriophora exhibits holocentric chromosomes, with centromeric activity distributed 21 along the entire chromosome length. These results suggest that, despite central TE 22 accumulation, these regions do not function as conventional centromeres. This study advances 23 our understanding of H. bacteriophora genome architecture and raises important questions 24 about the role of TEs in holocentric chromosomes, warranting further investigation into their 25 evolutionary and functional implications.

## 26 Introduction

The genome of *Heterorhabditis bacteriophora*, an entomopathogenic nematode, provides a valuable model for understanding the genetics of parasitism and symbiosis with bacterial partners. With a genome size of approximately 85 megabases (Mb) and around 17,787 predicted protein-coding genes (Ogi et al. in review), *H. bacteriophora* displays a compact genome, similar in some respects to that of *Caenorhabditis elegans*, the most well-characterized nematode model (C. elegans Sequencing Consortium 1998). *C. elegans* has long served as a reference for

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33 studying genome structure, organization, and chromosomal dynamics due to its well-resolved 34 six chromosomes (C. elegans Sequencing Consortium 1998). Despite the similarities in overall 35 genome size and coding potential between *H*. bacteriophora and *C*. elegans, much remains to 36 be uncovered about the detailed chromosomal structure of H. bacteriophora, particularly with 37 regard to the spatial organization of its genome (Ogi et al. in review). Our previous work provided 38 a chromosome-scale assembly of the H. bacteriophora genome (Ogi et al. in review), key 39 chromosomal features, such as the location of centromeres and specific patterns of 40 recombination, are still underexplored.

41 The chromosomal structure of *H. bacteriophora*, consisting of five autosomes and one sex 42 chromosome, remains less defined in terms of the precise positioning of essential genomic 43 elements like the centromeres. Centromeres play a crucial role in chromosome segregation 44 during cell division, and understanding their positioning and function is central to deciphering 45 genome dynamics and stability (Black and Cleveland 2011). In C. elegans, centromeres are 46 holocentric, meaning that spindle attachment occurs along the entire length of the chromosome 47 (Albertson and Thomson 1993). Whether H. bacteriophora follows a similar pattern or exhibits distinct centromeric regions is currently unknown. 48

49 Comparative studies of chromosomal dynamics in different Heterorhabditis isolates, being 50 susceptible or resistant to plant benzoxazinoids, revealed reduced genetic divergence (lowered 51  $F_{ST}$  and  $d_{XY}$  in the central regions of chromosomes, suggesting that these areas may play a critical 52 role in maintaining genetic integrity or adapting to environmental pressures (Ogi et al. in review). 53 Additionally, an accumulation of Mutator Retrotransposons in the centre of the H. bacteriophora 54 chromosomes as previously reported (Ogi et al. in review), potentially indicating that these 55 transposons are either tolerated in or drawn to centromeric regions, possibly due to the reduced 56 recombination and the structural organization of centromeres. Such patterns raise important 57 questions about the structure and function of these central chromosomal regions in H. 58 bacteriophora as these regions may be associated with centromeric activity or lower 59 recombination rates. Understanding the chromosomal dynamics within H. bacteriophora in the 60 context of these patterns will provide important insights into the evolutionary history of this 61 species.

This study aims to address these gaps by characterizing the centromeric regions of *H*. *bacteriophora* through a combination of genomic and cytogenetic approaches. By comparing
gene and TE densities, and chromosomal divergence between *H. bacteriophora* and *C. elegans*,
we aimed at better understanding the relationship between chromosomal structure and

- 66 function, and at identifying potential centromeric regions based on patterns of genetic diversity.
- 67 Finally, we provide a direct visualization of centromeric markers by immunofluorescence to
- 68 elucidate the positioning of these critical regions in *H. bacteriophora*. Together, these
- 69 approaches will enhance our understanding of the genome structure of this important nematode
- 70 species but also contribute to broader insights into chromosomal evolution and dynamics in
- 71 parasitic nematodes.





Figure 5: Difference between monocentric (left) and holocentric (right) Chromosomes during metaphase (top) and
 anaphase (bottom). Centromeric regions are highlighted in red, microtubules in green

75

## 76 Materials and Methods

### 77 Genomic aspects

78 For genomic analyses, the H. bacteriophora reference genome, annotation and WGS data 79 created in (Ogi et al. in review). The distribution of TEs (annotated using EDTA) and genes 80 (annotated using maker for H. bacteriophora, downloaded from ncbi for C. elegans) along the 81 chromosomes for both H. bacteriophora and C. elegans was calculated in windows of 1 Mbp, and visualization of the data was done in R (Team RDC 2010). The analysis of the  $F_{st}$ ,  $d_{xy}$  and  $\pi$ 82 83 was also done in R, using the PopGenome package (Pfeifer et al. 2014). The comparisons 84 conducted here were done between different species of Heterorhabditis, namely H. 85 bacteriophora, H. beicherriana, H. zacatecana, H. georgiana and H. ruandica. All F<sub>ST</sub> and d<sub>XY</sub> 86 comparisons were done between *H. bacteriophora* and each of the other species,  $\pi$  was 87 calculated for all species.

#### 88 Immunofluorescence

The chromosome structure of *H. bacteriophora* embryos was investigated using an 89 immunofluorescence methanol fixation protocol originally adapted for C. elegans from a 90 91 combination of a protocol by Pierre Gönczy and (Lee et al. 2016). In detail, 10 nematodes washed 92 in salt water were applies to a microscopy slide coated in poly-L-lysine (1-1.5 mg/ml) and covered 93 with a cover slip. The slide was then placed on a metal block that had been pre-cooled on dry ice 94 and left for at least five minutes. The coverslip was quickly removed by flipping it with a 95 razorblade, upon which the slide was put into -20°C methanol for 30 minutes. Rehydration was 96 done in PBS for 5 minutes, followed by blocking in 200 µl AbDil (4% BSA and 0.1% Triton X-100 in 97 PBS) at room temperature in a humid chamber for 20 minutes. The slide was then incubated with primary antibodies (MAB2609 (1:500) and HCP-3 (1:200)) at 4C overnight in a humid chamber, 98 99 followed by washing in PBS twice for 5 minutes, a secondary incubation in the secondary 100 antibody (1:1000 goat anti-mouse/Alexa 488 and 1:1000 goat anti rabbit/Cy3 in PBS) for 45 min 101 and again washing twice in PBS. The slide was then carefully dried, 6 µl of mounting medium was 102 added to the coverslip which was sealed to the slide using nail polish. Pictures of the resulting 103 sample were taken on a fluorescence microscope to evaluate the chromosomal structure of the 104 nematode embryos.

105

## 106 Results

### 107 Gene and TE density

The comparison of Gene and TE density of *H. bacteriophora* and *C. elegans* showed a very different distribution (Figure 2). While in *C. elegans* the majority of TEs was accumulated along the ends of chromosomes, whereas the genes tended to be slightly more common in the centre of the chromosomes. On contrast, in *H. bacteriophora*, TEs appeared to be more concentrated in the centres of the chromosomes, whereas the distribution of the genes was less clearly biased toward the middle of the chromosome, in some cases even being more at the ends of the chromosomes.

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Figure 2: Distribution of TEs and genes along the chromosomes of *H. bacteriophora* and *C. elegans*. A) The
 distribution along *H. bacteriophora* chromosomes. TEs are shown in red, Genes in black, the change in background
 denotes a switch in chromosomes. Sliding windows of size 1,000,000bp with a 100,000bp jump. B) the same analysis

119 for C. elegans. These data were obtained from (Ogi et al. in review).

120

## 121 $F_{\text{ST}}$ , $d_{\text{XY}}$ and $\pi$

122 The comparisons of the different Heterorhabditis species showed a distinct pattern of lowered

123  $F_{ST}$  and  $d_{XY}$  in the centre of chromosomes (Figure 3). This, along with an increase in  $\pi$  in these

same regions, is a pattern that is not very well described. This is the result that led to the

125 confirmation of the pattern using immunofluorescence staining.

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- 128 Figure 3:  $F_{sT}$ ,  $d_{XY}$  and  $\pi$  of different comparisons of other Heterorhabditis strains to *H. bacteriophora*. From top 129 to bottom,  $\pi$  of *H. bacteriophora*; and then always the  $F_{sT}$ , then  $d_{XY}$  and finally  $\pi$  of *H. beicherriana*, *H. zacatecana*, *H.*
- 130 ruandica and H. georgiana in order, with the  $F_{st}$  and  $d_{xy}$  comparisons always being to H. bacteriophora and the  $\pi$
- being just the species mentioned. *These data were obtained from (Ogi et al. in review).*

## 132 Immunofluorescence staining

- 133 The resulting pictures were assessed optically, and when comparing the DAPI staining which
- 134 highlights the AT rich regions of the chromosome and therefore shows where the chromosomes
- 135 are located, to the CempA staining which highlights centromeric regions, is could be seen that

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- 136 the CempA stained along the entirety of the chromosome, suggesting that there is evidence for
- 137 monocentric chromosomes (Figure 4).

#### 138



139

Figure 4: Microscope picture of germline cells of H. bacteriophora. A) Picture of the DAPI staining of the germline
 cells, highlighting the AT regions of the DNA, therefore showing where the chromosomes are located. B) Picture of
 the same region with a cempA staining which highlights the centromeric activity.

## 143 Discussion

The primary genomic results suggested that the chromosome structure would be different from the structure found in *C. elegans*, especially the strikingly different distributions of TEs and genes along the chromosomes. This result in combination with the surprising dip in  $F_{ST}$  and  $d_{XY}$  in the centres of the chromosomes led to conducting the visual assessment of the actual structure present in the nuclei. The results showed that the supposition that many nematodes are monocentric is in fact true.

150 The comparison of gene and TE density between H. bacteriophora and C. elegans revealed striking differences in chromosomal organization. In C. elegans, the majority of TEs are 151 152 concentrated towards the chromosomal ends, while genes are more densely packed in the 153 central regions, a pattern that is well-established in nematode genomics (Laricchia et al. 2017). 154 This distribution likely reflects a balance between functional gene-rich regions and the 155 accumulation of repetitive elements in areas with lower selective pressure, such as the telomeric and subtelomeric regions. In contrast, H. bacteriophora displays a distinct organization, with TEs 156 predominantly concentrated in the center of the chromosomes, while the distribution of genes 157 158 shows no clear central bias, and in some cases, they are even more frequent toward the chromosomal ends (Ogi et al. in review). 159

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160 This unique pattern in H. bacteriophora raises interesting questions about the forces driving 161 genome architecture in this species. The central accumulation of TEs may indicate that these 162 regions are less active in terms of recombination and selective pressure, allowing for a greater 163 tolerance of TE insertions. This contrasts with C. elegans, where central chromosomal regions 164 are more gene-dense and under stronger selective constraint. The tendency of genes in H. 165 bacteriophora to be distributed more evenly, or even towards the chromosomal ends, suggests 166 that different evolutionary pressures or structural constraints may govern chromosomal 167 organization in this species. It is possible that H. bacteriophora chromosomes have evolved 168 distinct strategies to maintain gene expression integrity while tolerating the central 169 accumulation of TEs.

170 The comparative analysis across different *Heterorhabditis* species and isolates further supports 171 the idea that the central regions of chromosomes in *H. bacteriophora* are subject to unique 172 evolutionary dynamics. The lowered  $F_{ST}$  and  $d_{XY}$  values in the centers of chromosomes, combined 173 with an increase in nucleotide diversity, suggest that these regions are highly conserved and 174 possibly functionally important. This pattern, which has not been widely reported in other 175 nematodes, indicates that the central chromosomal regions may play a crucial role in 176 maintaining genetic integrity, potentially by harboring essential genes or regulatory elements that 177 are preserved across species.

178 To explore whether these conserved central regions could correspond to centromeres, we 179 employed immunofluorescence staining using CempA, a known centromere marker. The results 180 showed CempA staining along the entirety of the chromosomes, suggesting the presence of 181 monocentric chromosomes. Contrary to our hypothesis that the centromeres would be 182 concentrated in the central regions of the chromosomes, the staining revealed a holocentric 183 chromosome structure. This holocentric organization is consistent with what has been observed 184 in other nematodes, such as C. elegans, where spindle attachment during cell division occurs 185 along the entire chromosome. The discovery of holocentricity in *H. bacteriophora* aligns with 186 previous findings in nematodes but adds an interesting contrast given the accumulation of 187 transposable elements in the center of the chromosomes, which may indicate other structural 188 or functional roles for these regions beyond typical centromeric activity. Understanding the 189 implications of this holocentric organization in combination with the central TE accumulation will 190 require further investigation, particularly in terms of how it influences chromosomal stability and 191 segregation during meiosis and mitosis.
#### Annex

192 In summary, the divergent chromosomal organization in *H. bacteriophora*, characterized by 193 central TE accumulation and a less defined gene distribution, reveals unique evolutionary and 194 structural dynamics in this species. The holocentric nature of its chromosomes contrasts with 195 the central TE accumulation and the absence of a clear pattern of gene enrichment in the middle 196 of the chromosomes, raising interesting questions about the functional role of these central 197 regions. The concentration of TEs in these regions could play a role in maintaining chromosomal 198 stability or influencing chromatin structure, possibly contributing to the genome's evolutionary 199 adaptability. Further investigation into the interplay between TE accumulation, chromosomal 200 structure, and holocentric activity will be crucial for understanding the evolutionary pressures 201 shaping H. bacteriophora's genome architecture, as well as its broader implications for 202 nematode chromosome biology.

#### 203 Acknowledgements

We thank the Next Generation Sequencing Platform of the University of Bern for performing the high-throughput sequencing experiments. The work of VO, DM, and ME was supported by the Swiss National Science Foundation [310030\_192564]. The work of CAMR was supported by the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme [ERC-2019-STG949595] and the Swiss National Science Foundation [310030\_189071].

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### Acknowledgments

Over the last 4 years I have had the help of many people, without whom this journey would not have been possible the way it went.

First, I would like to thank my team of supervisors, who stood by my side and supported me through all the ups and downs that are inherent to a PhD project. Prof. Dr. Christelle Robert, for supporting me in all questions about nematode, lab work and other things, and always being willing to listen to any issues that arose. Prof. Dr. Christian Parisod for supporting me in all things genetics and genomics and supporting me throughout this process. And Prof. Dr. Matthias Erb for allowing me to do this PhD project and his support in conducting it. I would also like to express my gratitude to Prof. Dr. Shuqing Xu, who kindly agreed to be my external evaluator and took the time to read and evaluate my thesis.

The experimental work conducted during this time was greatly helped by the support of particularly my co-PhD student Dorothy Maushe, who helped in organizing and carrying out all the lab work presented in this thesis. Lucie Kesner was a major help in the beginning of my work and built the basis for all further experiments. Also, and a number of student helpers who were involved in various aspects of the experiments. The time they spent weighing soil, counting larvae, writing down numbers and pipetting was much appreciated.

Then I would also like to thank all the current and past members of all the labs, from the Ecological genomics lab in Fribourg, as well as the Biotic Interactions group and the Chemical Ecology group in Bern for their support in both technical and methodological questions and issues that were encountered over the course of this work, as well as many constructive and helpful discussions.

I am grateful to Stefan Grob and Marc Schmid for providing their expertise for the HiCscaffolding, to Ricardo Machado for providing his expertise regarding nematode and bacterial taxonomy and to Cindy Chen and Sara Höfer who supported the work for immunofluorescence in the lab of Peter Meister, whom I would also like to thank for making this possible and working with us to establish a new method for the use in *H. bacteriophora*.

The administration, gardeners, technical staff and housekeeping of the IPS have also always been supportive and helpful and have contributed to a good working environment.

I would also like to thank my friends and immediate and extended family for always being willing to hear about any issues that were plaguing me and either allowing me to vent or letting me if needed.

# **Declaration of consent**

on the basis of Article 18 of the PromR Phil.-nat. 19

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Title of the thesis:	Population Genomics of Benzoxazinoid Resistance in Entomopathogenic Nematodes
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I declare herewith that this thesis is my own work and that I have not used any sources other than those stated. I have indicated the adoption of quotations as well as thoughts taken from other authors as such in the thesis. I am aware that the Senate pursuant to Article 36 paragraph 1 litera r of the University Act of September 5th, 1996 and Article 69 of the University Statute of June 7th, 2011 is authorized to revoke the doctoral degree awarded on the basis of this thesis. For the purposes of evaluation and verification of compliance with the declaration of originality and the

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